

# Computational modeling of NMR chemical shifts for structure elucidation: From empirical modeling to quantum chemistry and machine learning

Raghunathan Ramakrishnan  
ramakrishnan@tifrh.res.in

Tata Institute of Fundamental Research Hyderabad, India

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NMR Meets Biology 6  
25-31 January 2026, Gokarna, Karnataka, India

## **Some concepts behind the methods we will use tomorrow during the hands-on session**

- Empirical additivity-based models
- Quantum chemistry
- Machine learning

**For students, ORCA quantum chemistry software can be installed on laptop after the talk.**

**Don't Leave!**

# (From synthesis to) Structure elucidation workflow

Extract and isolate a compound

High-resolution mass spectroscopy

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
- $^{13}\text{C}$  NMR + DEPT

- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

2D NMR

- COSY, HSQC, HMBC

- ◆ Structural details: H-H connectivity (rings, chains), H-C attachment, long range C-H links

Propose candidate structures

Quantum chemistry / ML models

- ◆ Conformational search, geometry optimization, NMR shielding, solvent effects

Final validation of the structure

- ◆ Statistical and probabilistic error analysis

Assign stereochemistry (ECD, VCD)

Confirm with quantum chemistry

# Empirical models: power and ambiguity

Extract and isolate a compound

High-resolution mass spectroscopy

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)

Initial spectroscopic analysis

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- $^1\text{H}$  NMR
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- ◆ Functional groups (CO, OH, etc.)
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Empirical models (sanity check)

# Empirical models: power and ambiguity

Extract and isolate a compound

High-resolution mass spectroscopy

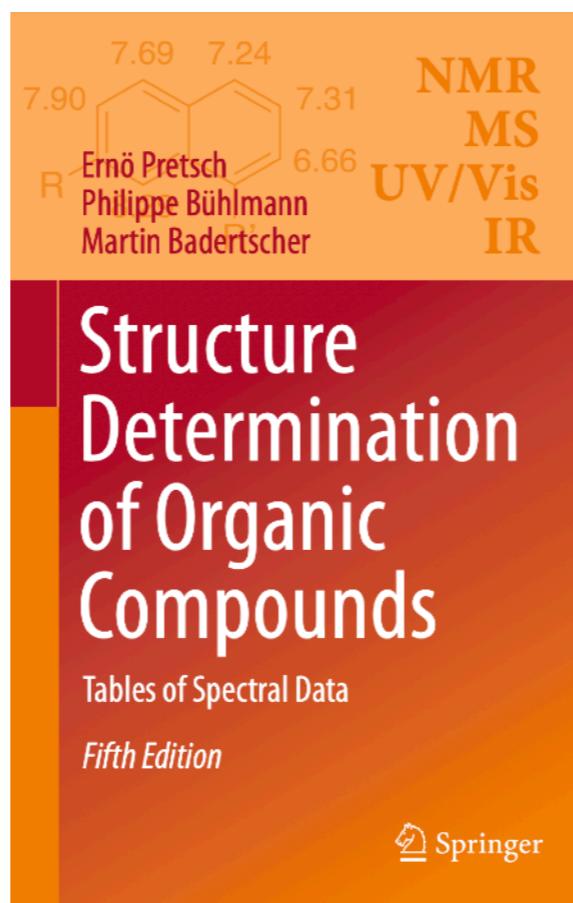
- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
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- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

↓  
→ **Empirical models (sanity check)**



Parameters for H and C environments

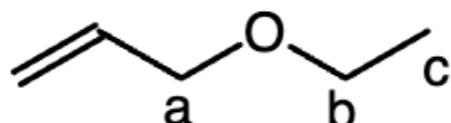
No dependence on dihedral angles, stereochemistry, or 3D structure

# Empirical additivity models for $^1\text{H}$ chemical shifts

methyl  $\delta_{\text{CH}_3} = 0.9 + \sum(\beta + \gamma)$   $\text{CH}_3-\text{C}-\text{C}-$   
 $\beta \quad \gamma$

methylene  $\delta_{\text{CH}_2} = 1.2 + \sum(\alpha + \beta + \gamma)$   
 $\text{-CH}_2-\text{C}-\text{C}-$   
 $\alpha \quad \beta \quad \gamma$

methine  $\delta_{\text{CH}} = 1.5 + \sum(\alpha + \beta + \gamma)$   
 $\text{H}-\text{C}-\text{C}-\text{C}-$   
 $\alpha \quad \beta \quad \gamma$



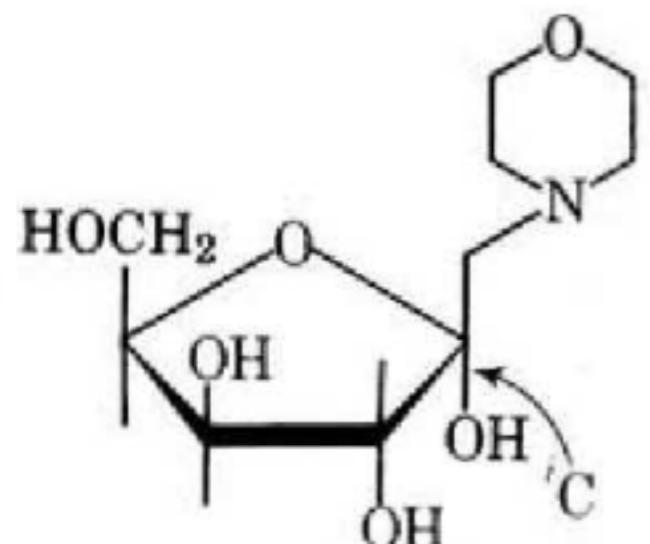
	a	b	c
Actual $\delta$ (ppm)	4.0	3.5	1.2
Calculated $\delta$ (ppm)	1.2	1.2	0.9
$\text{C}=\text{C}-$	0.8	0.2	0.1
$\text{R}-\text{O}-$	<u>2.1</u>	<u>2.1</u>	<u>0.3</u>
Total	4.1	3.5	1.3

X	$\alpha$	$\beta$	$\gamma$
$\text{R}-$	0.0	0.0	0.0
$\text{R}_2\text{C}=\text{CR}-$	0.8	0.2	0.1
$\text{RC}\equiv\text{C}-$	0.9	0.3	0.1
$\text{Ar}-$	1.4	0.4	0.1
$\text{F}-$	3.2	0.5	0.2
$\text{Cl}-$	2.2	0.5	0.2
$\text{Br}-$	2.1	0.7	0.2
$\text{I}-$	2.0	0.9	0.1
$\text{HO}-$	2.3	0.3	0.1
$\text{RO}-$	2.1	0.3	0.1
$\text{R}_2\text{C}=\text{CRO}-$	2.5	0.4	0.2
$\Delta\text{rO}-$	2.8	0.5	0.3

## A Short Set of $^{13}\text{C}$ -NMR Correlation Tables

D. W. Brown

University of Bath, Bath, BA2 7AY, Avon, England



$$\begin{aligned}\delta &= -2.3 + (2\alpha^1 + 2\alpha^2 + 2\beta^1 + \beta^2 + \beta^3 + 5\gamma^1 + \gamma^2) \\ &\quad + (4^\circ \rightarrow 3^\circ + 4^\circ \rightarrow 2^\circ + 4^\circ \rightarrow 2^\circ + 4^\circ \rightarrow 1^\circ) \\ &= -2.3(18.2 + 98.0 + 18.4 + 10.1 + 11.3 - 12.5 - 6.2) \\ &\quad + (-15.0 - 8.4 - 8.4 - 1.5) = 101.7 \text{ (observed 97.6)}\end{aligned}$$

# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab

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Network: nmr\_meets\_biology  
Wifi password: nointernet1

## Images

Veracity  
- large basis frequencies  
- ConnGO

Value  
- high-throughput DFT  
- expert curation

Variety  
- propertywise structure  
- raw unstructure

Volume  
- ground state properties  
- all excited states

bigQM7w

MOLDIS

Polya theorem

7332134754508 panchromatic molecules

76 PAH

sphere

rod

500

1000

1500

2000

2500

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Tata Institute of Fundamental Research (TIFR) Hyderabad  
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⋮

MolDis

Presently the datasets are classified according to their domains of application. This project is funded by TIFR which is a National Centre of the Government of ...

# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab

**MOLDIS**

*A big data analytics platform for molecular discovery*

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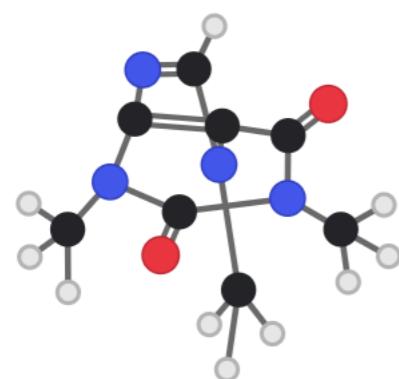
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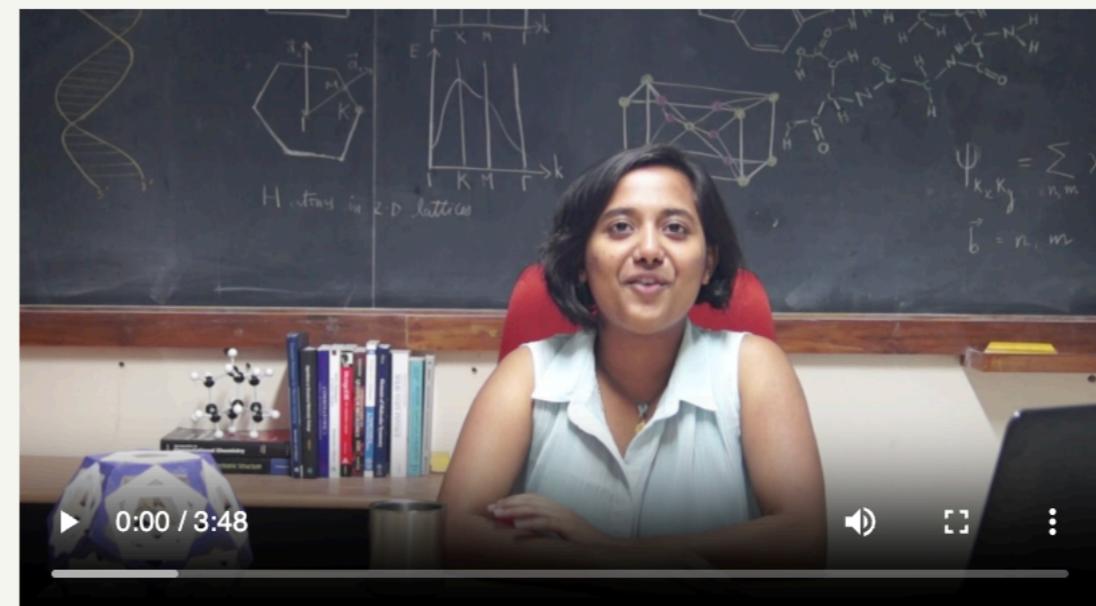
[Load a random molecule from MolDis](#)



$\text{C}_8\text{H}_{10}\text{N}_4\text{O}_2$   
194.19 g/mol

CN1C=NC2=C1C(=O)N(C(=O)N2C)C

The MolDis big data analytics platform developed at the Tata Institute of Fundamental Research's (TIFR's) Centre for Interdisciplinary Sciences aims to provide free access to computed datasets of molecular properties. Presently the datasets are classified according to their domains of application. This project is funded by TIFR which is a National Centre of the Government of India, under the umbrella of the Department of Atomic Energy.



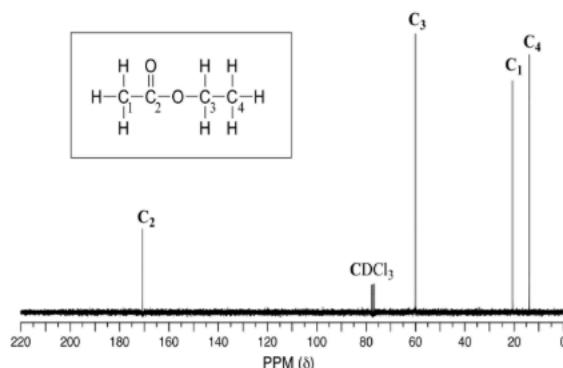
# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab

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$^{13}\text{C}$  NMR



## $^{13}\text{C}$ NMR Spectra of Small Organic Molecules

This educational tool allows you to explore predicted  $^{13}\text{C}$  NMR spectra based on basic NMR rules such as chemical shift trends, local bonding environments, and common functional groups. The predictions are designed to help students understand how molecular structure influences carbon NMR signals. More complex effects (aromatic or heteroaromatic splitting patterns, etc.) are not yet included.

[Access](#)

# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab

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**SMILES →  $^{13}\text{C}$  Shifts**  
Paste SMILES, render 2D structure, compute  $^{13}\text{C}$  shifts.

SMILES  
`CC(=O)Oc1ccccc1C(=O)O`

Try: `c1ccccc1` (benzene), `CCO` (ethanol), `CC(=O)O` (acetic acid)

Show atom numbers

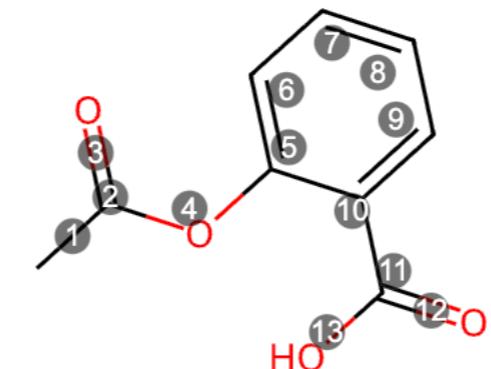
**Rendered + computed  $^{13}\text{C}$  shifts.**

## Notes:

- This tool is intended for educational use. Predicted values are approximate and should be interpreted with caution in production or applied settings.
- The ML-based  $^{13}\text{C}$  predictor is trained on the QM9NMR dataset (C, H, N, O, F atoms only) and will not work for molecules containing other elements.
- ML prediction may take a few seconds to compute the aBoB-RBF(4) descriptor. After clicking *Predict from 3D / XYZ*, please wait and do not refresh the page.

**Structure Viewer + Output**  
SMILES: `CC(=O)Oc1ccccc1C(=O)O`

`c1ccccc1`  
`c1ccccc1`



## $^{13}\text{C}$ shifts predicted with a minimal additivity model

**Model scope:** This prediction uses a minimal empirical additivity model. It is intended for small to medium organic molecules and typical functional groups. Results may be unreliable for large, highly branched, strained, hydrogen-bonded, substituted aromatic or strongly conjugated systems.

```
1: 22.1 ppm: +sp3+nA+nB+nB+Me
2: 169.9 ppm: +C=O+nA+nA+Asp3OR+nB+Bsp2C
5: 128.5 ppm: Ar(6): 128.5
6: 128.5 ppm: Ar(6): 128.5
7: 128.5 ppm: Ar(6): 128.5
8: 128.5 ppm: Ar(6): 128.5
9: 128.5 ppm: Ar(6): 128.5
10: 128.5 ppm: Ar(6): 128.5
11: 170.9 ppm: +C=O+nA+Asp2C+nB+Bsp2C+nB+Bsp2C+nA+Asp3OH
```

# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab

**MOLDIS**

*A big data analytics platform for molecular discovery*

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## SMILES → $^{13}\text{C}$ Shifts

Paste SMILES, render 2D structure, compute  $^{13}\text{C}$  shifts.

SMILES

C(O)(CN2CCOCC2)1C(O)C(O)C(CO)O1



Try: c1ccccc1 (benzene), CCO (ethanol), CC(=O)O (acetic acid)

Show atom numbers

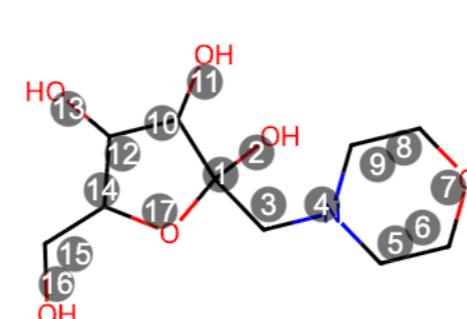
Rendered + computed  $^{13}\text{C}$  shifts.

Notes:

- This tool is intended for educational use. Predicted values are approximate and should be interpreted with caution in production or applied settings.
- The ML-based  $^{13}\text{C}$  predictor is trained on the QM9NMR dataset (C, H, N, O, F atoms only) and will not work for molecules containing other elements.
- ML prediction may take a few seconds to compute the aBoB-RBF(4) descriptor. After clicking *Predict from 3D / XYZ*, please wait and do not refresh the page.

## Structure Viewer + Output

SMILES: C(O)(CN2CCOCC2)1C(O)C(O)C(CO)O1



### $^{13}\text{C}$ shifts predicted with a minimal additivity model

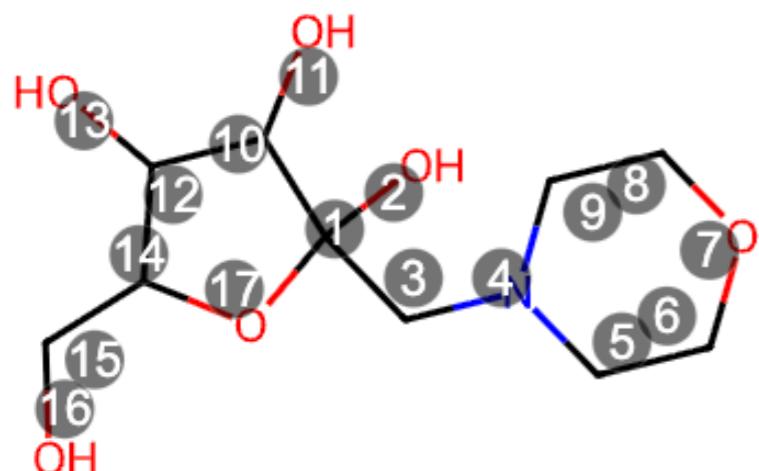
**Model scope:** This prediction uses a minimal empirical additivity model. It is intended for small to medium organic molecules and typical functional groups. Results may be unreliable for large, highly branched, strained, hydrogen-bonded, substituted aromatic or strongly conjugated systems.

```
1: 110.7 ppm: +sp3+R5+nA+AO+nA+nB+Q+nA+nB+Q+nB+Q+CO+nA+AO+nB+Q
3: 74.3 ppm: +sp3+nA+nB+3rdM+nB+CO+nB+nA+AN+nB+nB
5: 57.8 ppm: +sp3+R6+nA+AN+nB+nB+nA+nB
6: 65.3 ppm: +sp3+R6+nA+nB+nA+AO+nB
8: 65.3 ppm: +sp3+R6+nA+AO+nB+nA+nB
9: 57.8 ppm: +sp3+R6+nA+nB+nA+AN+nB+nB
10: 83.3 ppm: +sp3+R5+nA+nB+T+nB+T+nB+T+nA+AO+nA+nB+T+nB+T+CO
12: 70.9 ppm: +sp3+R5+nA+nB+T+CO+CO+nB+T+nA+AO+nA+nB+T+CO+nB+T
14: 74.1 ppm: +sp3+R5+nA+nB+T+CO+nB+T+nA+nB+T+nA+AO+nB+T+CO
15: 64.3 ppm: +sp3+nA+nB+CO+nB+nA+AO
```

Predicted  $^{13}\text{C}$  spectrum ( $\delta$  / ppm)

Lock 0–220 ppm

# Empirical additivity model for $^{13}\text{C}$ chemical shifts on MolDis-Lab



D. W. Brown, *J. Chem. Educ.*, 62, 209 (1985).

$$\begin{aligned}\delta &= -2.3 + (2\alpha^1 + 2\alpha^2 + 2\beta^1 + \beta^2 + \beta^3 + 5\gamma^1 + \gamma^2) \\ &\quad + (4^\circ \rightarrow 3^\circ + 4^\circ \rightarrow 2^\circ + 4^\circ \rightarrow 2^\circ + 4^\circ \rightarrow 1^\circ) \\ &= -2.3(18.2 + 98.0 + 18.4 + 10.1 + 11.3 - 12.5 - 6.2) \\ &\quad + (-15.0 - 8.4 - 8.4 - 1.5) = 101.7 \text{ (observed 97.6)}\end{aligned}$$

## $^{13}\text{C}$ shifts predicted with a minimal additivity model

**Model scope:** This prediction uses a minimal empirical additivity model. It is intended for small to medium-sized molecules with up to 17 carbons and a few functional groups. Results may be unreliable for large, highly branched, strained, hydrogen-bonded, or conjugated systems.

1:	110.7 ppm:	$+sp^3 + R5 + nA + A0 + nA + nB + Q + nA + nB + Q + nB + Q + CO + nA + A0 + nB + Q$
3:	74.3 ppm:	$+sp^3 + nA + nB + 3rdM + nB + CO + nB + nA$
5:	57.8 ppm:	$+sp^3 + R6 + nA + AN + nB + nB + nA + nB$
6:	65.3 ppm:	$+sp^3 + R6 + nA + nB + nA + A0 + nB$
8:	65.3 ppm:	$+sp^3 + R6 + nA + A0 + nB + nA + nB$
9:	57.8 ppm:	$+sp^3 + R6 + nA + nB + nA + AN + nB + nB$
10:	83.3 ppm:	$+sp^3 + R5 + nA + nB + T + nB + T + nB + T +$
12:	70.9 ppm:	$+sp^3 + R5 + nA + nB + T + CO + CO + nB + T$
14:	74.1 ppm:	$+sp^3 + R5 + nA + nB + T + CO + nB + T + nA$
15:	64.3 ppm:	$+sp^3 + nA + nB + CO + nB + nA + A0$

## A Very Brief, Rapid, Simple, and Unified Method for Estimating Carbon-13 NMR Chemical Shifts

The BS Method<sup>1</sup>

*J. Chem. Educ.*, 64, 915 (1987).

Ben Shoulders

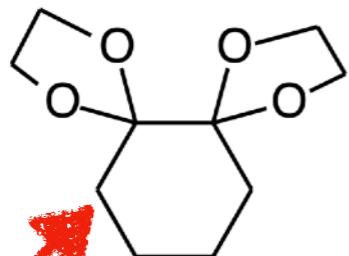
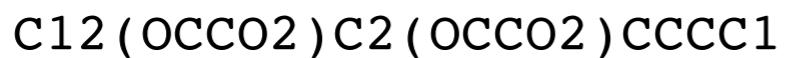
The University of Texas, Austin, TX 78712

Steven C. Welch<sup>2</sup>

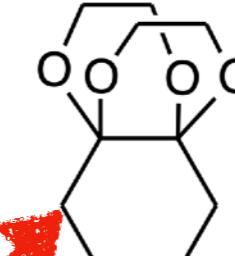
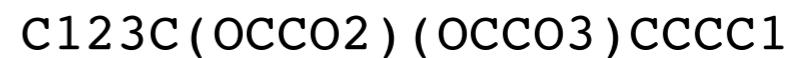
University of Houston, Houston, TX 77004

Empirical models offer instantaneous predictions for sanity checking

# Empirical degeneracy



11:	36.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+CO+CO+nB+nB+nA+nB
12:	21.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+CO+CO+nA+nB
13:	21.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+nA+nB+CO+CO
14:	36.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+nA+nB+nB+nB+CO+CO



11:	36.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+CO+CO+nB+nB+nA+nB
12:	21.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+CO+CO+nA+nB
13:	21.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+nA+nB+CO+CO
14:	36.4 ppm:	+sp <sup>3</sup> +R <sub>6</sub> +nA+nB+nA+nB+CO+CO+nB+nB

Identical empirical chemical shifts for different structures  
(lack of long-range effects and 3D interactions)

Perhaps both structures have same <sup>1</sup>H (sub) spectrum?

# Can the proposed structure go wrong?

Extract and isolate a compound

High-resolution mass spectroscopy

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
- $^{13}\text{C}$  NMR + DEPT

2D NMR

- COSY, HSQC, HMBC

Propose candidate structures

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)
- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

Empirical models (sanity check)

- ◆ Structural details: H-H connectivity (rings, chains), H-C attachment, long range C-H links

# Structure assignment going wrong for hexacyclinol

[cen.acs.org/articles/84/i31/Hexacyclinol-Debate-Heats.html](https://cen.acs.org/articles/84/i31/Hexacyclinol-Debate-Heats.html)



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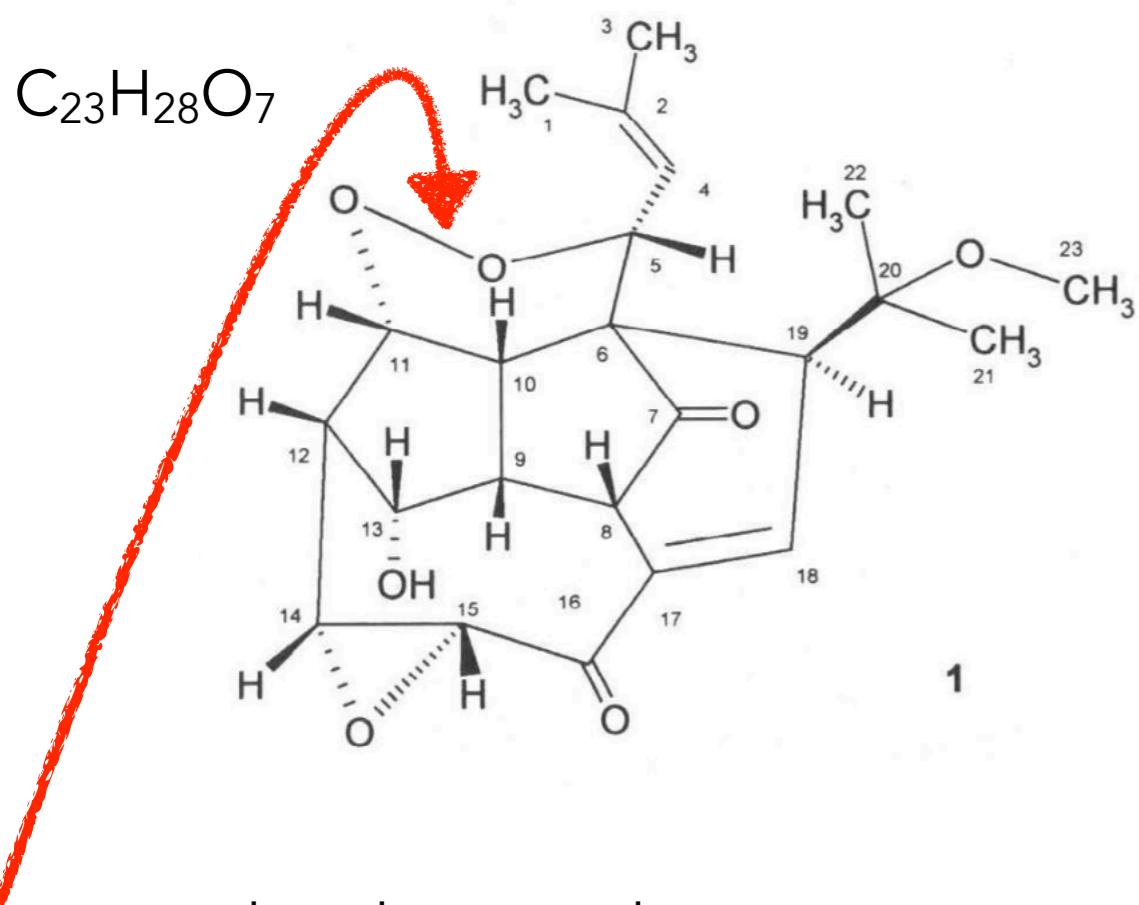
Analytical Chemistry

## Hexacyclinol Debate Heats Up

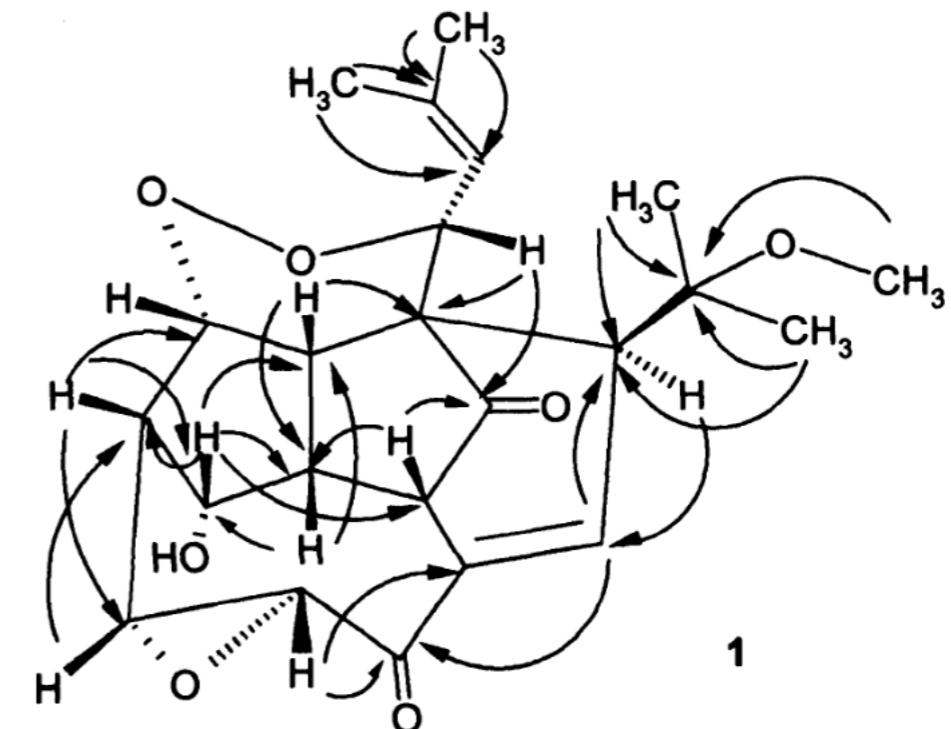
Second of two total syntheses casts doubt on earlier structure, synthesis

"Occasionally, blatantly wrong science is published, and to the credit of synthetic chemistry, the corrections usually come quickly and cleanly," comments Harvard University chemistry professor [E. J. Corey](#).

# Initial structure assignment of hexacyclinol extracted (in 2002)



strained endoperoxide



Structure elucidation of 1 (Fig. 1a) was done using optical spectroscopy, mass spectrometry, 1D and 2D NMR spectroscopy (1H, 13C, DEPT, COSY, HMQC, HMBC, NOESY). Absorbances at 1625, 1698, 1700 and

# Initial structure assignment of hexacyclinol *synthesized* (in 2006)

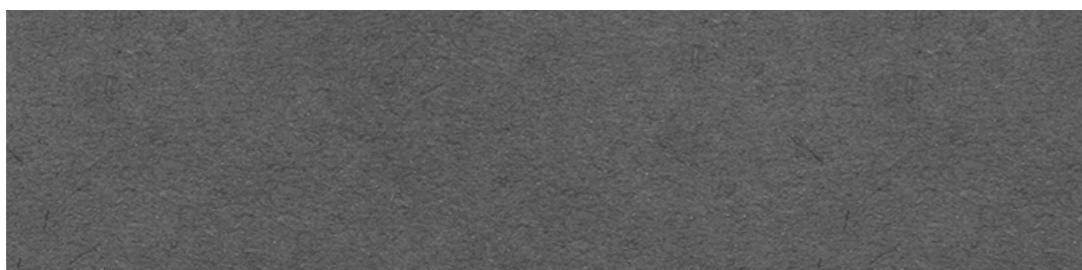
ⓘ This article has been retracted on Nov 14, 2012

Antimalarial Drugs

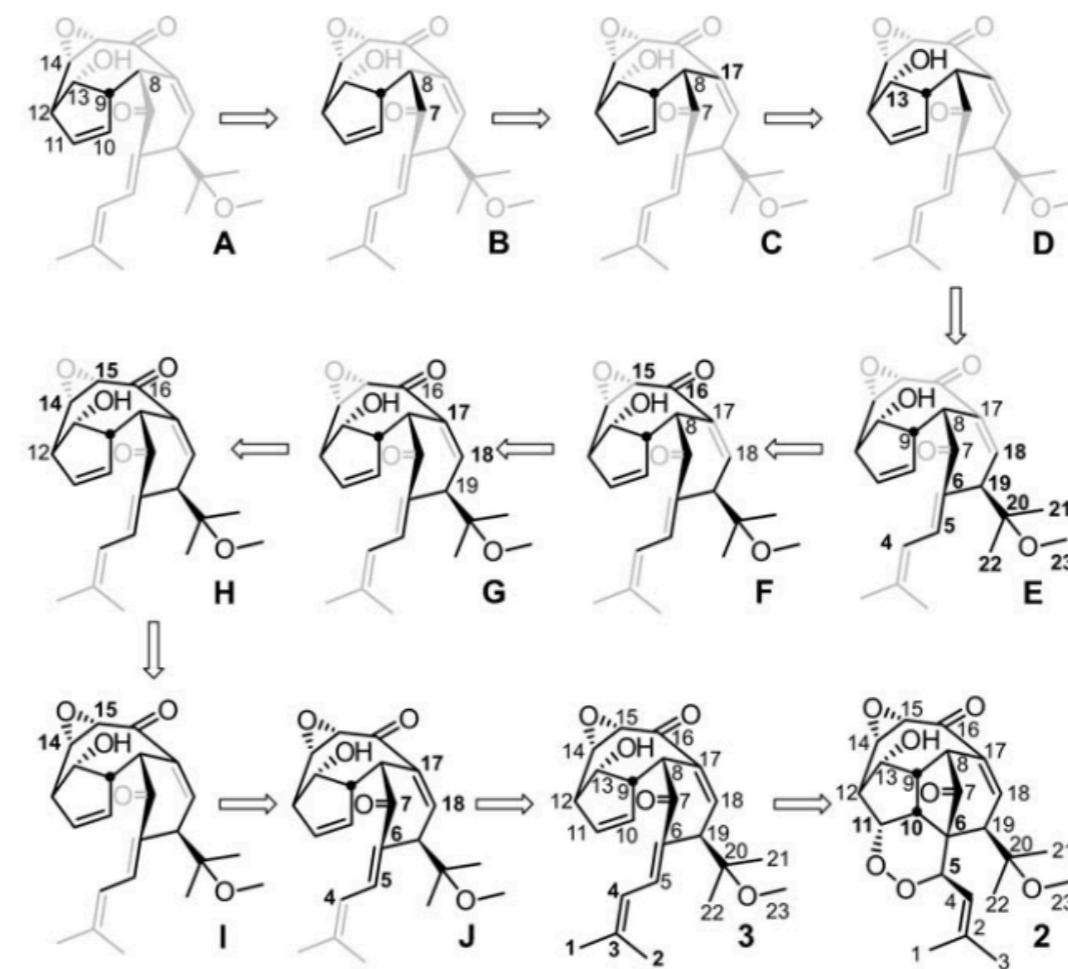
VIP

DOI: 10.1002/anie.200504033

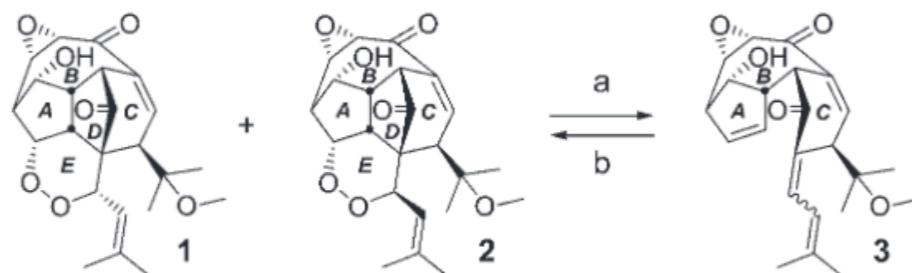
## Total Syntheses of Hexacyclinol, 5-*epi*-Hexacyclinol, and Desoxohexacyclinol Unveil an Antimalarial Prodrug Motif\*\*



Hexacyclinol (**1**) was isolated by Gräfe and co-workers from the basidiospores collected from *Panus rufus* growing on dead betula woods in Siberia.<sup>[1]</sup> In 1999, our exploration into German fungal cultures provided a strain of *P. rufus* 99-329 that was not only capable of the biosynthesis of **1** but also provided trace amounts of *epi*-5-hexacyclinol (**2**) and desoxohexacyclinol (**3**).<sup>[2]</sup> Further study indicated that the retrocycloaddition of **1** and **2** released oxygen to afford a mixture of trienes **3** (Scheme 1). Subsequent [2+2+2] cycloaddition of **3**



**Scheme 2.** Synthetic plan depicting the strategic intermediates **A–J**. Completed bonds are shown in black, and the skeleton is depicted in gray.



**Scheme 1.** Hexacyclinol interconversions: a) in vacuo, neat, 95%; b)  $O_2$ , rose bengal, MeOH,  $h\nu$ , 0 °C, 89%.

of the C17–C18 bond, and ending with installation of the C14–C15 epoxide.

Intermediate **A** was developed from bis(acetate) **4**.<sup>[3]</sup> Protection with TBS, deacetylation, and nosylation of the primary alcohol afforded **5** (Scheme 3). Under these conditions, nosylate **5** was obtained along with a bis(nosylate) derivative (3–5 % yield), which was removed after treatment of the mixture with sodium cyanide in DMSO to convert **5**

# NMR data

Position	1		
	$\delta_{\text{C}}$	$\delta_{\text{H}}$	COSY
1	18.6 (q)	1.77 s	-
2	142.2 (s)	-	-
3	26.1 (q)	1.72 s	-
4	120.7 (d)	4.82 d, 10.1	H-5
5	75.8 (d)	5.46 d, 10.1	H-4
6	60.5 (s)	-	-
7	202.9 (s)	-	-
8	53.1 (d)	3.23 d, br, 3.5	H-9, H-10
9	54.5 (d)	3.64 m	H-8, H-10, H-13
10	47.8 (d)	2.74 dd, 5.2, 7.8	H-9, H-11
11	71.5 (d)	4.99 dd, 5.2 br	H-10, H-12
12	40.4 (d)	3.55 m	H-11, H-13
13	72.7 (d)	3.80 dd, 9.5, 1.5; 2.54 br (OH)	H-12, H-9
14	61.0 (d)	3.51 dd, 2.9, 0.5	H-12, H-15
15	53.2 (d)	3.29 d, 3.2	H-14
16	192.8 (s)	-	-
17	132.5 (s)	-	-
18	139.6 (d)	6.73 dd 5.3, 2.4 (allyl)	H-19
19	40.9 (d)	3.59 d, 5.3	H-18
20	77.3 (s)	-	-
21	26.6 (q)	1.26 s	-
22	24.7 (q)	1.15 s	-
23	49.1 (q)	3.02 s	-

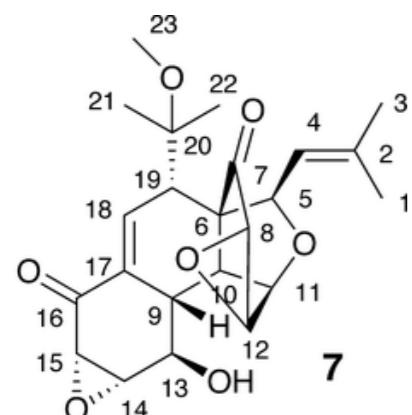
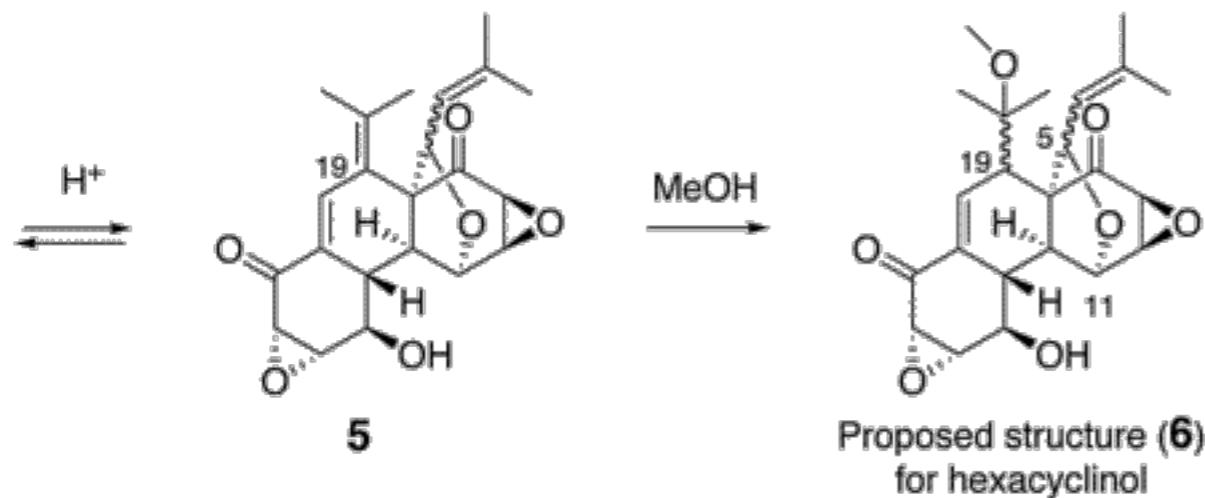
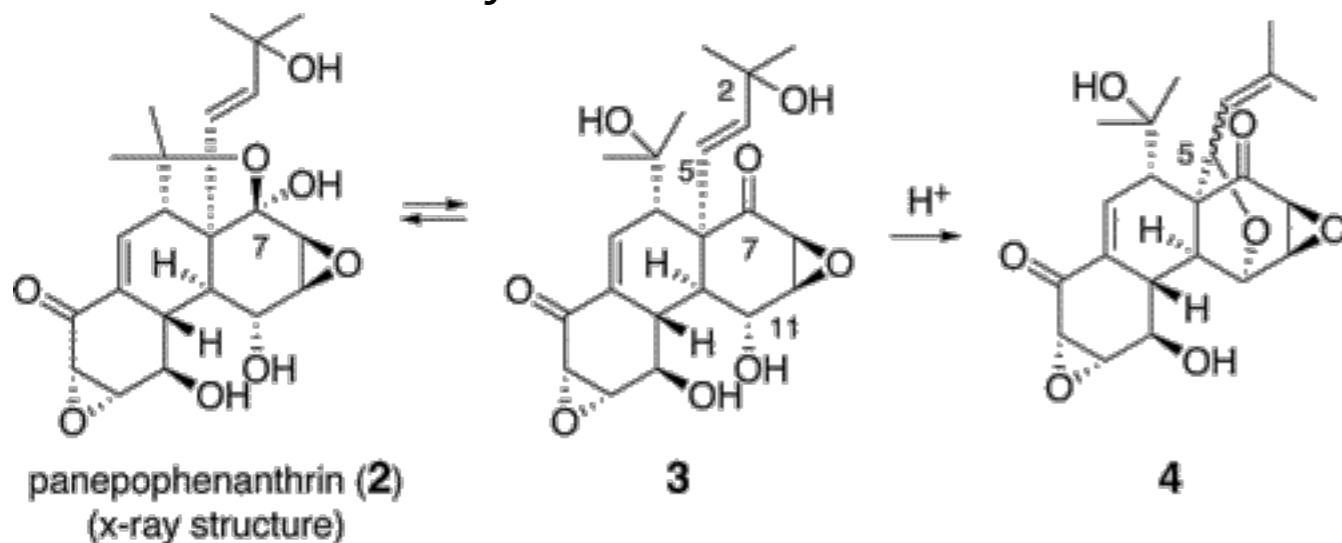
2002

POSITION	1		
	$\delta_{\text{H}}$	COSY	
1	1.77 s		
2			
3	1.73 s		
4	5.46 d, 10.1	H-5	
5	4.81 d, 10.1	H-4	
6			
7			
8	3.24 db, 3.6	H-9, H-18	
9	3.64 m	H-8, H-10, H-13	
10	2.75 dd, 5.2, 7.9	H-9, H-11	
11	4.99 dd, 5.2, br	H-10, H-12	
12	3.55 m	H-11, H-13	
13	3.81 dd, 9.5, 1.6	H-12, H-9	
14	3.51 dd, 2.8, 0.5	H-12, H-15	
15	3.29 d, 3.0	H-14	
16			
17			
18	6.73 dd, 5.3, 2.4	H-19, H-8	
19	3.59 d, 5.3	H-18	
20			
21	1.27 s		
22	1.15 s		
23	3.03 s		

2006

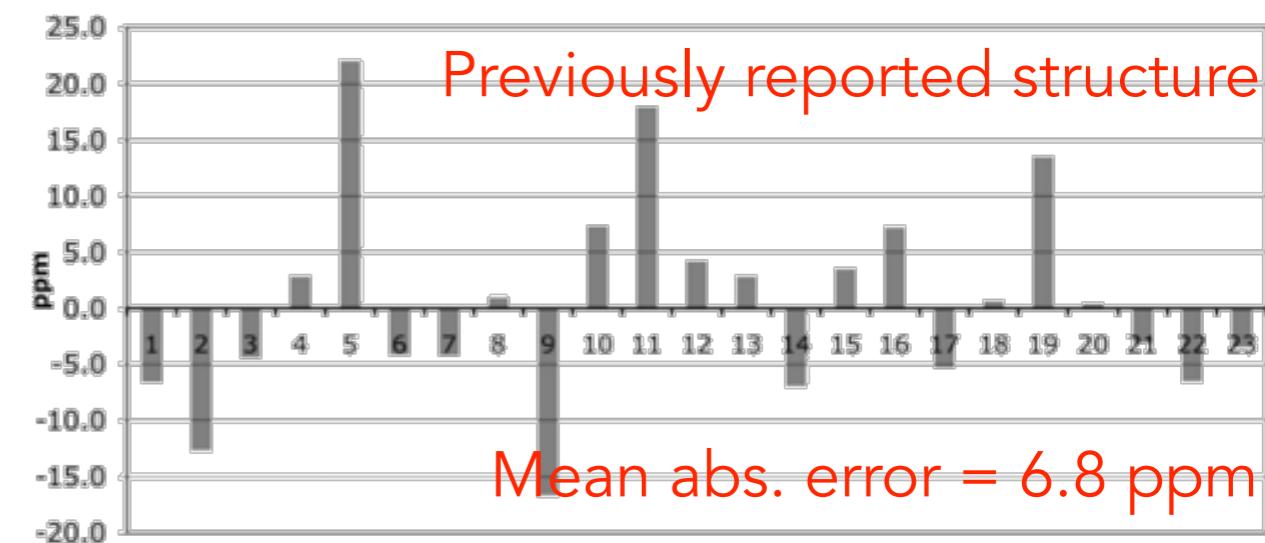
# Reassignment of the structure of hexacyclinol with DFT-based NMR

synthetic route



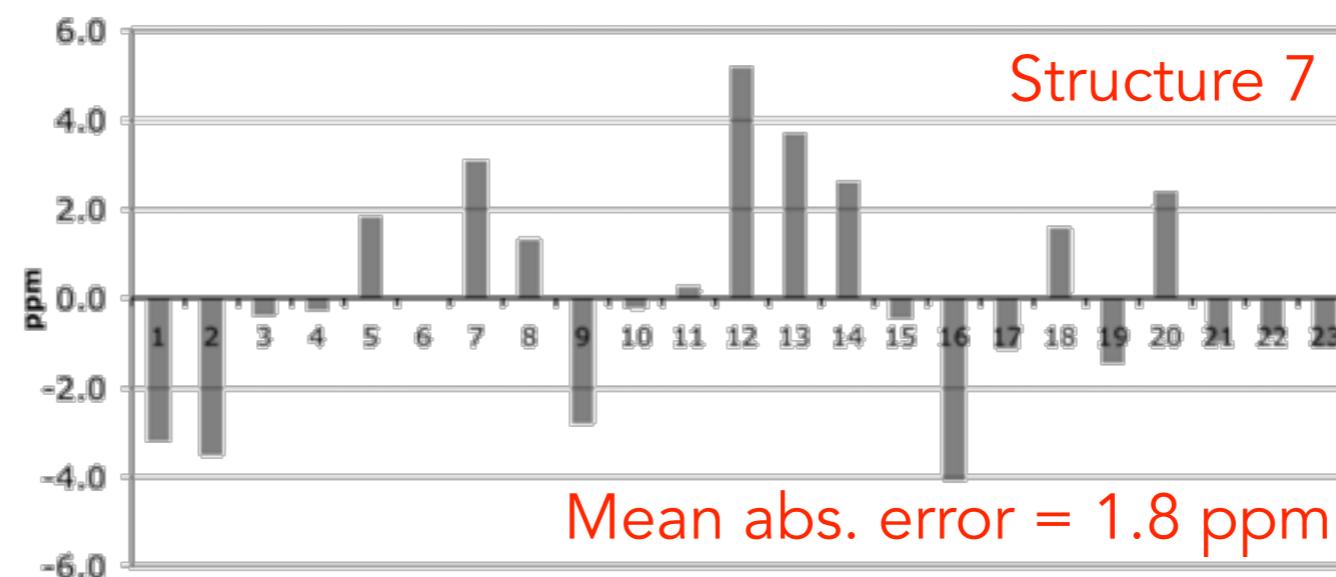
Conformer of **6**

DFT-exp. <sup>13</sup>C chemical shifts



DFT modeling:  
Geometry: HF/3-21G  
<sup>13</sup>C NMR: mPW1PW91/6-31G(d,p)  
Methanol solvent

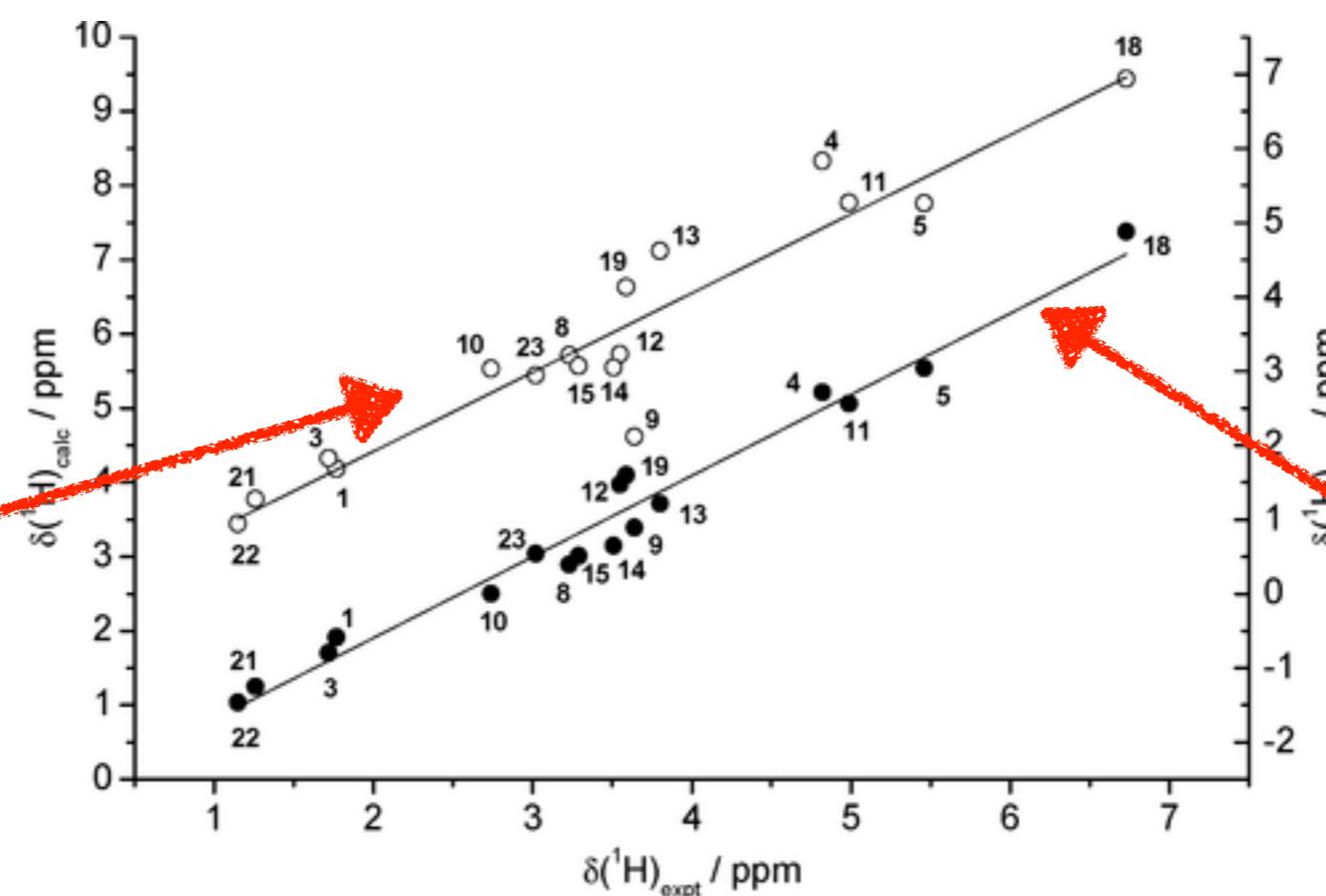
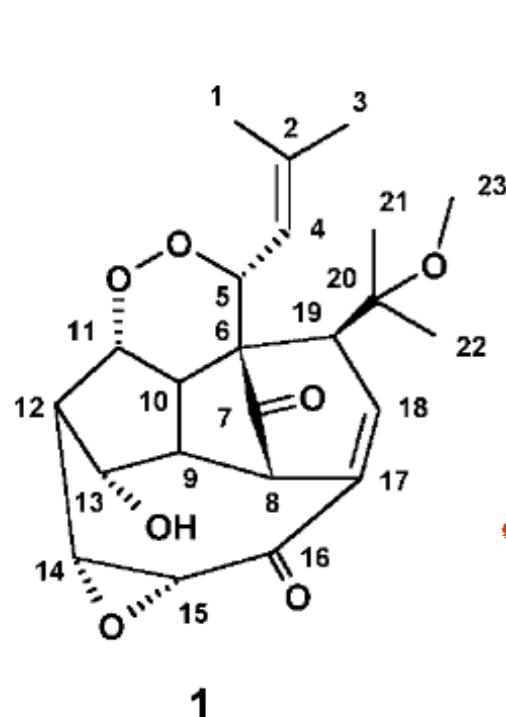
Has an error of 1-2 ppm for similar compounds



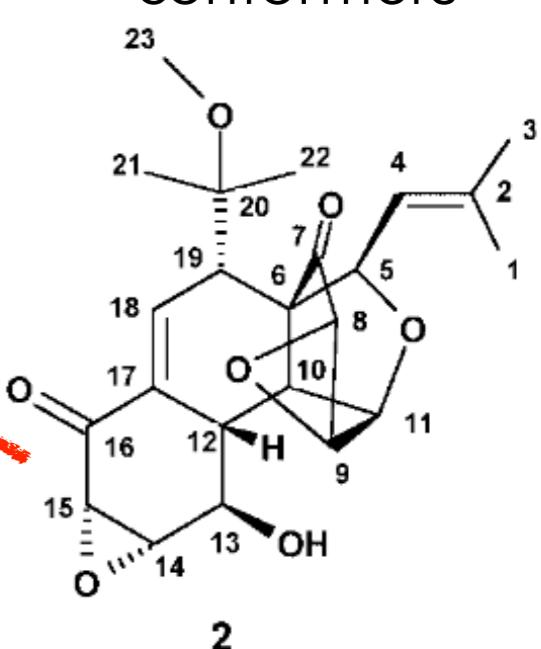
Can Two Molecules Have the Same  
NMR Spectrum? Hexacyclinol RevisitedGiacomo Saielli<sup>†</sup> and Alessandro Bagnò<sup>\*,‡</sup>

DFT modeling:

Geometry: B3LYP/6-31G(d,p)

<sup>1</sup>H NMR: B97-2/cc-pVTZ

Mean abs. error = 0.4 ppm

averaged over two  
conformers

Mean abs. error = 0.2 ppm

# Probabilistic error metrics for high confidence structure assignment

- DP4 score: Penalizes a structure with outliers in computed chemical shifts

Bayesian probability that a candidate structure  $i$  (with  $N$  centers) is correctly assigned to the experimental data

$$P(i | \delta_1, \delta_2, \dots, \delta_N) = \frac{\prod_{k=1}^N [1 - T_\nu(t)]}{\sum_{j=1}^m \prod_{k=1}^N [1 - T_\nu(t)]}$$

$T_\nu(t)$ : Cumulative probabilities for Student's  $t$ -distribution with  $\nu$  degrees of freedom

$t$ : standard score of scaled prediction error

$$t = \frac{|\left(\delta_{\text{scaled},k}^i - \delta_{\text{exp},k}\right) - \mu|}{\sigma}$$

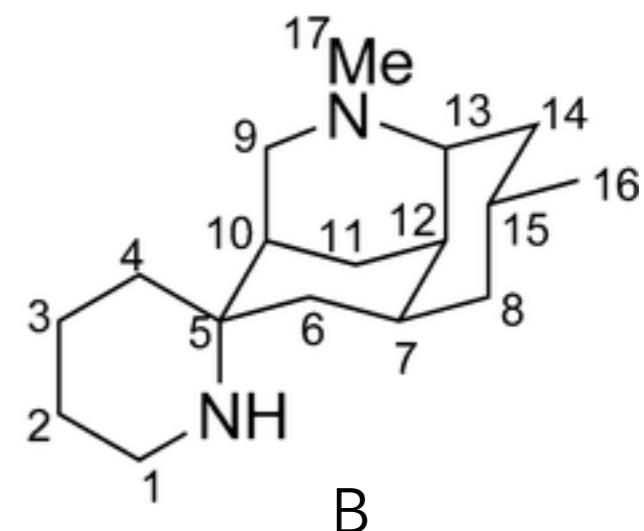
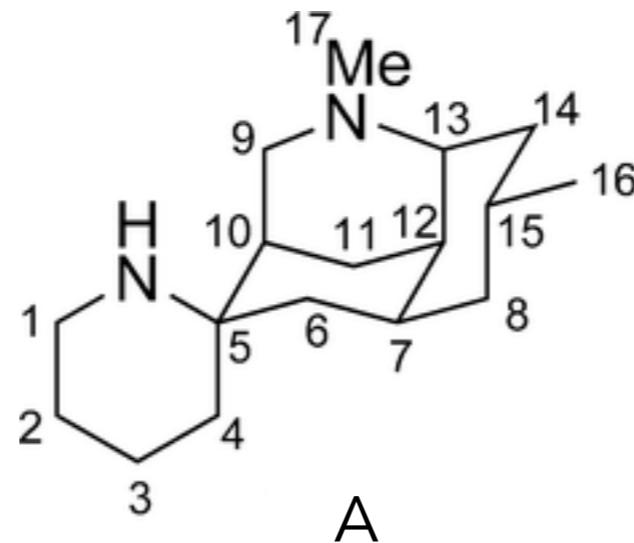
$\delta_{\text{scaled},k}^i$ : Linearly corrected calculated values against experimental values

$\mu, \sigma, \nu$ : Free parameters (unlike in hypothesis testing)

For partially or unassigned peaks, permute computed values to maximize accuracy

# DP4 probability vs. statistical metrics

Error metrics for comparing computed chemical shifts of two structures A and B against experimental values



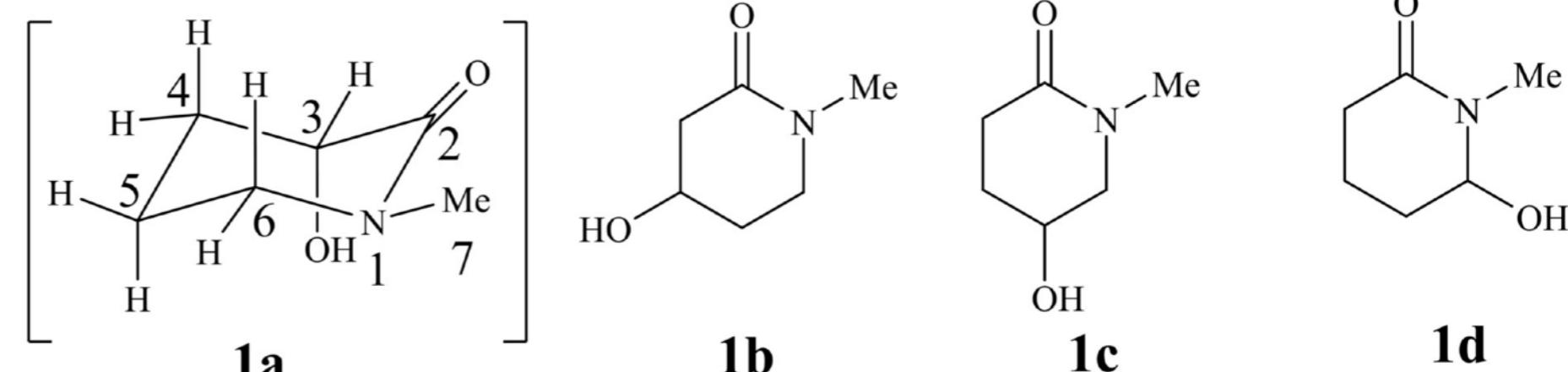
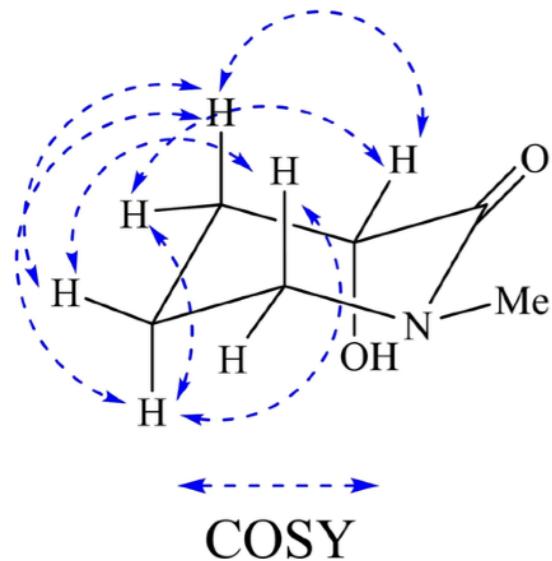
## <sup>13</sup>C NMR

Mean absolute error	1.50	1.62
Standard deviation of the error	1.59	1.83
DP4 probability	79.5%	20.5%

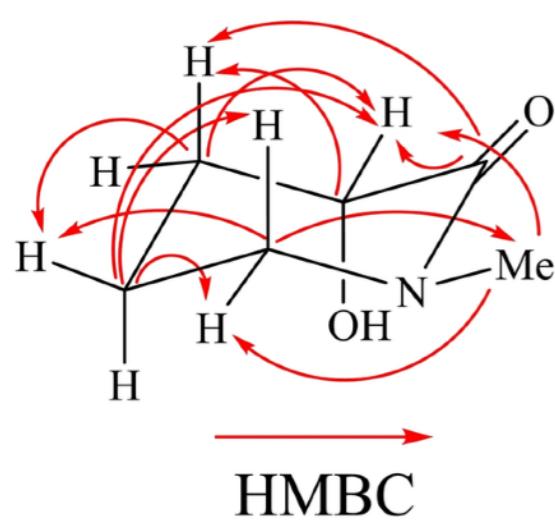
## <sup>1</sup>H NMR

Mean absolute error	0.11	0.18
Standard deviation of the error	0.13	0.22
DP4 probability	100%	0%

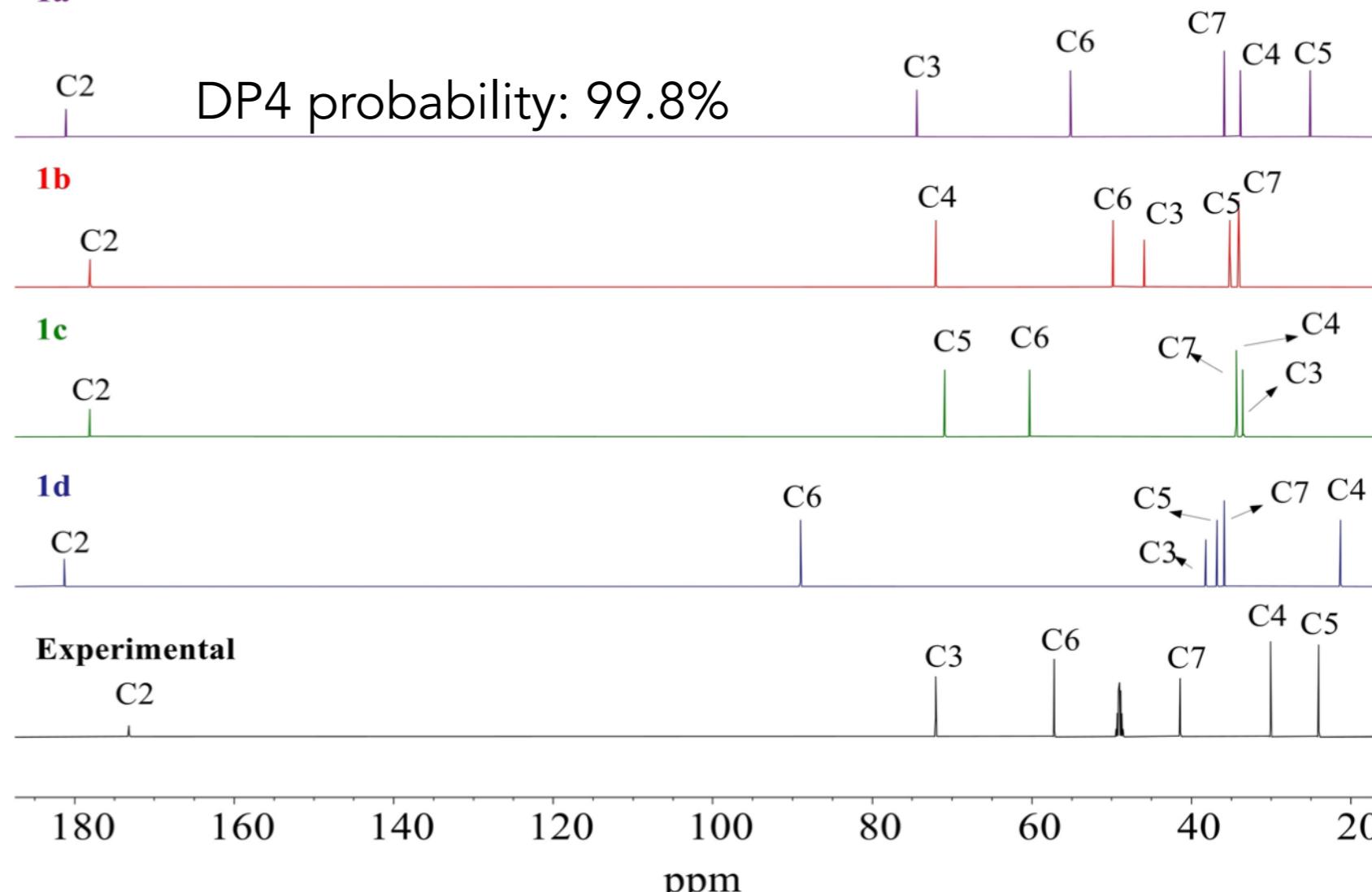
# Example structure assignment of a natural product



Proposed candidate structures for a natural product



DFT spectrum of the lowest energy conformer for each candidate



DFT modeling:

Geometry: B3LYP/6-311+G(2d,p)

NMR: M06-2X/6-31+G(d,p)

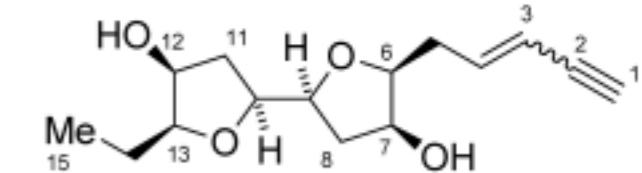
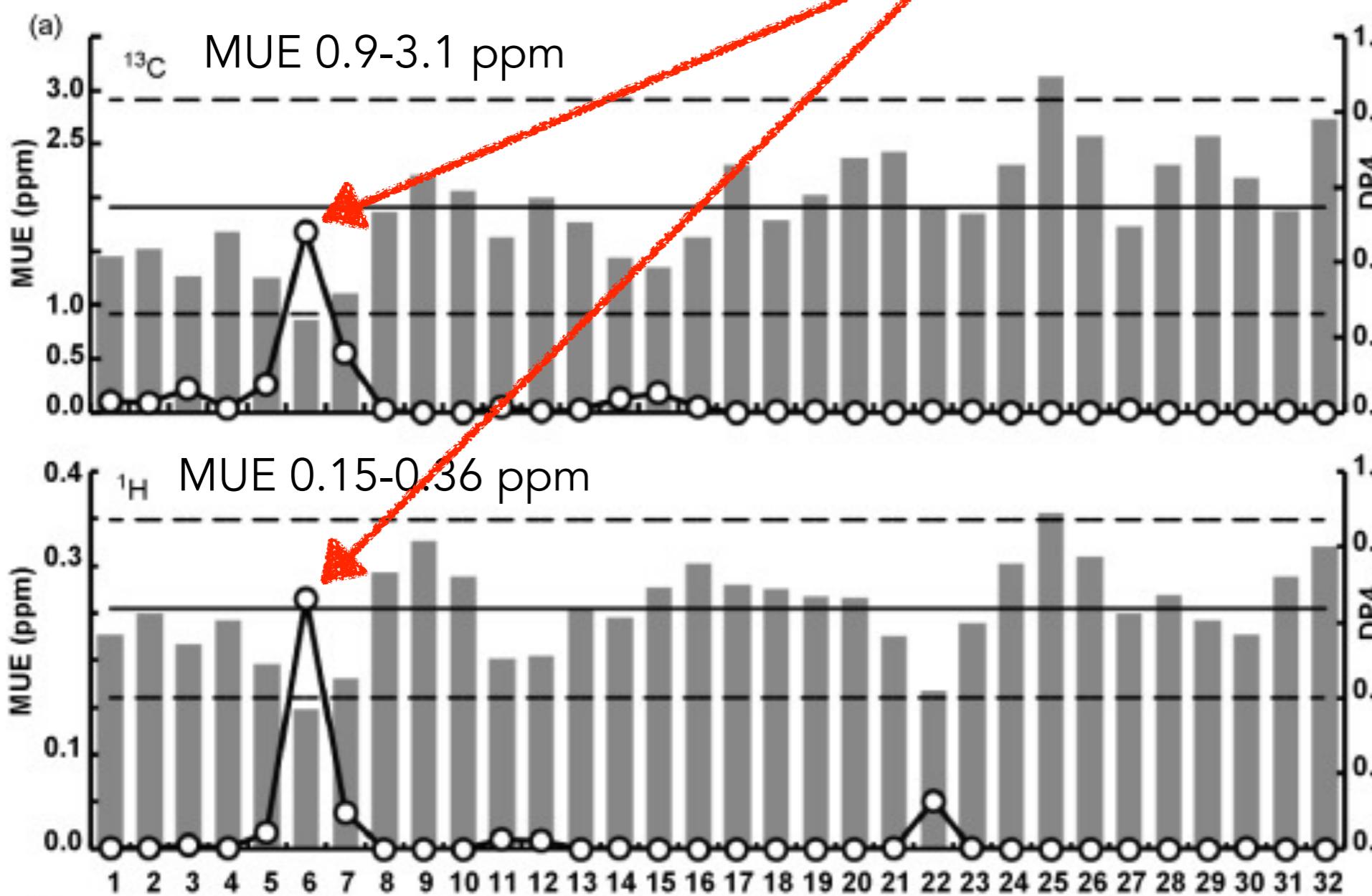
Methanol solvent

# High-confidence structure assignment of laurefurenyne (32 diastereomers)

DFT modeling: Geometry: wB97XD/6-31G(d), Shielding: mPW1PW91/6-311G(d,p)

Boltzmann weighing of conformers sampled with Monte Carlo search (and MMFF)

Diastereomer 5 has the highest DP4 probability



# Quantum chemistry of NMR parameters

Extract and isolate a compound

High-resolution mass spectroscopy

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
- $^{13}\text{C}$  NMR + DEPT

2D NMR

- COSY, HSQC, HMBC

Propose candidate structures

Quantum chemistry / ML models

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)
- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

Empirical models (sanity check)

- ◆ Structural details: H-H connectivity (rings, chains), H-C attachment, long range C-H links

◆ Conformational search

# Ensemble averaging of chemical shifts: conformational / vibrational effects

- Quantum mechanical (nuclear QM) ensemble

$$\langle \sigma \rangle_T = \int d\mathbf{R} \sum_n \frac{e^{-\beta E_n}}{Z} |\Psi_n(\mathbf{R})|^2 \sigma(\mathbf{R})$$

- Classical-/ab initio-MD ensemble (classical nuclei)

$$\langle \sigma \rangle_T = \frac{1}{Z} \int d\mathbf{R} d\mathbf{P} e^{-\beta H_{\text{nuc}}^{cl}(\mathbf{R}, \mathbf{P})} \sigma(\mathbf{R})$$

- Discrete conformer approximation (common practice)

$$\langle \sigma \rangle_T = \sum_i w_i \sigma_i; \quad w_i = \frac{e^{-\beta E_i}}{\sum_j e^{-\beta E_j}}$$

- Local vibrational correction for anharmonic effects (within each conformer)

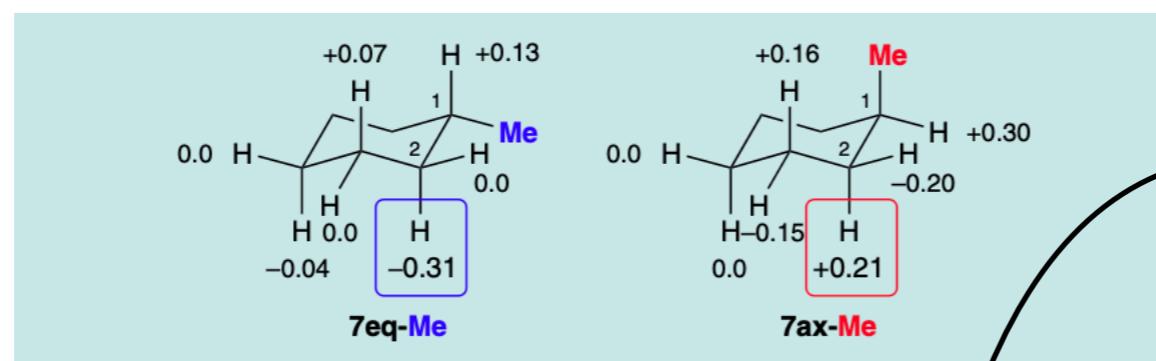
$$\sigma(\mathbf{R}) \approx \sigma_0 + \sum_k \left( \frac{\partial \sigma}{\partial Q_k} \right) Q_k + \frac{1}{2} \sum_k \left( \frac{\partial^2 \sigma}{\partial Q_k^2} \right) Q_k^2 + \dots$$

Needed for high-level benchmarking of computer NMR against precise experimental data

# Boltzmann weighing of conformers

energy

$(T = 298.15\text{K})$   
 weights =  $\exp(-E/RT)$        $w_1 = 1.0$        $w_2 = 0.034$        $Z = w_1 + w_2 = 1.034$   
 $p_1 = w_1/Z = 96.7\%$        $p_2 = w_2/Z = 3.3\%$



$p_1\delta_1 + p_2\delta_2$   
 Boltzmann-weighted  
 chemical shifts

$\delta(\text{comp})$	Proton	$\delta(\text{comp})$	$\delta(\text{comp})$	$\delta(\text{exp})^{25}$
1.29	H1	1.84	1.30	— 1.34
1.63	H2 <sub>b</sub>	1.54	1.63	— 1.65
0.88	H2 <sub>a</sub>	1.49	0.90	— 0.88
1.26	H3 <sub>b</sub>	1.36	1.27	— 1.23
1.67	H3 <sub>a</sub>	1.54	1.67	— 1.68
1.63	H4 <sub>b</sub>	1.22	1.62	— 1.62
1.15	H4 <sub>a</sub>	1.64	1.16	— 1.14
0.86	Me	1.03	0.87	— 0.86
MAE	0.02	0.32	0.02	

# NMR parameters

Extract and isolate a compound

High-resolution mass spectroscopy

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
- $^{13}\text{C}$  NMR + DEPT

2D NMR

- COSY, HSQC, HMBC

Propose candidate structures

Quantum chemistry / ML models

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)
- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

Empirical models (sanity check)

- ◆ Structural details: H-H connectivity (rings, chains), H-C attachment, long range C-H links

- ◆ NMR shielding (and scalar coupling)

# Molecular properties as derivatives of electronic total energy

- In quantum chemistry, the total energy is the central observable.
- Molecular properties emerge as a response (of the electron density and nuclei) to an external perturbation ( $\varepsilon$ ) can be represented as a Taylor expansion of the energy around the unperturbed value

$$E(\varepsilon) = E(\varepsilon = 0) + \frac{dE}{d\varepsilon} \bigg|_{\varepsilon=0} \varepsilon + \frac{1}{2!} \frac{d^2E}{d\varepsilon^2} \bigg|_{\varepsilon=0} \varepsilon^2 + \dots$$

$$E(\varepsilon_1, \varepsilon_2) = E(\varepsilon_1 = 0, \varepsilon_2 = 0) + \frac{dE}{d\varepsilon_1} \bigg|_{\varepsilon_1=0} \varepsilon_1 + \frac{dE}{d\varepsilon_2} \bigg|_{\varepsilon_2=0} \varepsilon_2 + \frac{1}{2!} \frac{d^2E}{d\varepsilon_1^2} \bigg|_{\varepsilon_1=0} \varepsilon_1^2 + \frac{1}{2!} \frac{d^2E}{d\varepsilon_1 d\varepsilon_2} \bigg|_{\varepsilon_1=0, \varepsilon_2=0} \varepsilon_1 \varepsilon_2 + \frac{1}{2!} \frac{d^2E}{d\varepsilon_2^2} \bigg|_{\varepsilon_2=0} \varepsilon_2^2 + \dots$$

- When the external perturbation ( $\varepsilon$ ) is the electric field

$$\text{dipole moment } (\mu) \hat{=} - \frac{dE}{d\varepsilon} \bigg|_{\varepsilon=0} \quad (\text{first derivative})$$

$$\text{polarizability } (\alpha) \hat{=} - \frac{d^2E}{d\varepsilon^2} \bigg|_{\varepsilon=0} \quad (\text{second derivative})$$

$$\text{first hyperpolarizability } (\beta) \hat{=} - \frac{d^3E}{d\varepsilon^3} \bigg|_{\varepsilon=0} \quad (\text{third derivative})$$

# Availability of response equations (analytic second derivatives) for NMR

$\frac{dE}{d\varepsilon_i}$	dipole moment; in a similar manner also multipole moments, electric field gradients, etc.	$\frac{d^2E}{dB_\alpha dB_\beta}$	magnetizability
$\frac{d^2E}{d\varepsilon_\alpha d\varepsilon_\beta}$	polarizability	$\frac{d^2E}{dm_{Kj} dB_\alpha}$	nuclear magnetic shielding tensor; relative NMR shifts
$\frac{d^3E}{d\varepsilon_\alpha d\varepsilon_\beta d\varepsilon_\gamma}$	(first) hyperpolarizability	$\frac{d^2E}{dI_{Ki} dI_{Lj}}$	indirect spin-spin coupling constant
$\frac{dE}{dx_i}$	forces on nuclei; stationary points on potential energy surfaces, equilibrium and transition state structures	$\frac{d^2E}{dB_\alpha dJ_\beta}$	rotational g-tensor; rotational spectra in magnetic field
$\frac{d^2E}{dx_i dx_j}$	harmonic force constants; harmonic vibrational frequencies	$\frac{d^2E}{dI_{Ki} dB_\alpha}$	nuclear spin-rotation tensor; fine structure in rotational spectra
$\frac{d^3E}{dx_i dx_j dx_k}$	cubic force constants; vibrational corrections to distances and rotational constants	$\frac{dE}{dm_{Kj}}$	spin density; hyperfine interaction constants
$\frac{d^4E}{dx_i dx_j dx_k dx_l}$	quartic force constants; anharmonic corrections to vibrational frequencies	$\frac{d^2E}{dS_i dB_\alpha}$	electronic g-tensor
$\frac{d^2E}{dx_i d\varepsilon_\alpha}$	dipole derivatives; infrared intensities within the harmonic approximation		
$\frac{d^3E}{dx_i d\varepsilon_\alpha d\varepsilon_\beta}$	polarizability derivative; Raman intensities		

Gaussian, NWChem, GAMESS, Orca, Molpro, Qchem, and other programs for molecules CASTEP, VASP, Quantum Espresso for solids  
CFOUR for molecules

Second derivatives	
HF	Pople <i>et al.</i> (1979)
DFT	Handy <i>et al.</i> (1993), Johnson, Frisch (1994)
MCSCF	Schaefer, Handy <i>et al.</i> (1984)
MP2	Handy <i>et al.</i> (1985), Bartlett <i>et al.</i> (1986)
MP3, MP4	Gauss and Stanton (1997)
CISD	Schaefer <i>et al.</i> (1983)
CCSD	Koch, Jørgensen, Schaefer <i>et al.</i> (1990)
CCSD(T)	Gauss and Stanton (1997)
CCSDT-n	Gauss and Stanton (2000)

# Calculation of NMR shielding

Nuclear magnetic shielding tensor  
of nucleus A

$$\sigma_{\alpha\beta}^{(A)} = \frac{\partial^2 E}{\partial B_\alpha \partial m_{A,\beta}}$$

$E$  : total electronic energy

$\alpha, \beta$  : components x, y, z

$\mathbf{B}$ : external magnetic field vector

$\mathbf{m}_A$  : magnetic moment of nucleus A

$$\sigma_{\alpha\beta} = \sigma_{\alpha\beta}^{\text{dia}} + \sigma_{\alpha\beta}^{\text{para}}$$

$$\sigma_{\alpha\beta}^{\text{dia}} = \langle \Psi_0 | \hat{O}_{\text{dia}}^{\alpha\beta} | \Psi_0 \rangle$$

$$\sigma_{\alpha\beta}^{\text{para}} = -2 \sum_{n \neq 0} \frac{\langle \Psi_0 | \hat{P}_{\text{para}}^\alpha | \Psi_n \rangle \langle \Psi_n | \hat{Q}_{\text{para}}^\beta | \Psi_0 \rangle + \alpha \leftrightarrow \beta}{E_n - E_0}$$

$$\hat{P}_{\text{para}}^\alpha = \sum_{i \in \text{electrons}} L_{ia}; \quad \mathbf{L}_i = \mathbf{r}_i \times \mathbf{p}_i$$

$$\hat{Q}_{\text{para}}^\alpha = \sum_{i \in \text{electrons}} L_{iA\alpha} r_{iA}^{-3}; \quad \mathbf{L}_{iA} = (\mathbf{r}_i - \mathbf{R}_A) \times \mathbf{p}_i$$

$$\sigma_{\alpha\beta}^{(A)} = \sum_{\mu, \nu \in \text{AOs}} \frac{\partial^2 h_{\mu\nu}}{\partial B_\alpha \partial m_{A,\beta}} + \sum_{\mu, \nu \in \text{AOs}} \frac{\partial D_{\mu\nu}}{\partial B_\alpha} \frac{\partial h_{\mu\nu}}{\partial m_{A,\beta}}$$

Linear response form used in calculations

# Electronic Hamiltonian of a molecule in magnetic field

- Sum of one- and two-electron terms

$$\hat{H} = \sum_i \hat{h}(i) + \sum_{i < j} \hat{g}(i, j)$$

- $\hat{g}(i, j) = 1/r_{ij}$ : two-electron operator, Coulomb
- $\hat{h}(i)$ : one-electron operator contains magnetic field

$$\hat{h}(i) = \frac{1}{2} (\hat{\mathbf{p}}_i + \mathbf{A}(\mathbf{r}_i))^2 - \sum_A \left[ \frac{Z_A}{|\mathbf{r}_i - \mathbf{R}_A|} - \mathbf{m}_A \cdot \mathbf{B}(\mathbf{r}_i) \right]$$

magnetic vector potential,  $\mathbf{B}(\mathbf{r}) = \nabla \times \mathbf{A}(\mathbf{r})$

$$\frac{1}{2} (\hat{\mathbf{p}} + \mathbf{A})^2 = \underbrace{\frac{\hat{\mathbf{p}}^2}{2} + \frac{1}{2} (\hat{\mathbf{p}} \cdot \mathbf{A} + \mathbf{A} \cdot \hat{\mathbf{p}})}_{\text{paramagnetic term}} + \underbrace{\frac{1}{2} \mathbf{A}^2}_{\text{diamagnetic term}}$$

- Pure gauge transformation (uniform field)  $\mathbf{A}'(\mathbf{r}) = \mathbf{A}(\mathbf{r}) + \nabla \chi(\mathbf{r})$ ,  $\mathbf{B} = \mathbf{B}_0$  unchanged
- $\mathbf{B}' = \nabla \mathbf{A}' = \nabla \times (\mathbf{A} + \nabla \chi) = \nabla \times \mathbf{A} + \nabla \times \nabla \chi = \nabla \times \mathbf{A} + 0 = \mathbf{B}$

# Gauge-origin dependence in finite basis set

- Gauge independence of exact wavefunction

- Let  $\hat{H}\Psi = \frac{1}{2}(\hat{\mathbf{p}} + \mathbf{A})^2\Psi + V(\mathbf{r})\Psi$
- $\mathbf{A}'(\mathbf{r}) = \mathbf{A}(\mathbf{r}) + \nabla\chi(\mathbf{r}) \longrightarrow \hat{H}(\mathbf{A}')\Psi' = \frac{1}{2}(\hat{\mathbf{p}} + \mathbf{A} + \nabla\chi)^2\Psi' + V(\mathbf{r})\Psi'$
- $\nabla \times \mathbf{A}' = \nabla \times \mathbf{A} \longrightarrow \Psi'(\mathbf{r}) = e^{i\chi(\mathbf{r})}\Psi(\mathbf{r}) \implies \langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi' | \hat{H}' | \Psi' \rangle$

- Shifting the global origin of the vector potential

Let  $\mathbf{A}(\mathbf{r}) = \frac{1}{2}\mathbf{B}_0 \times (\mathbf{r} - \mathbf{R}_0)$ , and  $\mathbf{A}'(\mathbf{r}) = \frac{1}{2}\mathbf{B}_0 \times (\mathbf{r} - \mathbf{R}_0 + d\mathbf{R}_0) = \frac{1}{2}\mathbf{B}_0 \times (\mathbf{r} - \mathbf{R}'_0)$   
 $\mathbf{A}'(\mathbf{r}) - \mathbf{A}(\mathbf{r}) = \frac{1}{2}\mathbf{B}_0 \times (\mathbf{R}_0 - \mathbf{R}'_0) = \nabla\chi(\mathbf{r})$ ; where  $\chi(\mathbf{r}) = \frac{1}{2}[\mathbf{B}_0 \times (\mathbf{R}_0 - \mathbf{R}'_0)] \cdot \mathbf{r}$

- MOs expanded on a finite basis of AOs:  $\psi(\mathbf{r}) = \sum c_\mu \phi_\mu(\mathbf{r})$

- Finite AO basis cannot represent  $e^{i\chi(\mathbf{r})}\phi_\mu(\mathbf{r})$ , i.e.,  $\phi_\mu$  cannot be expanded as  $\sum_\mu d_\mu \phi_\mu(\mathbf{r})$

- For magnetic properties, gauge-including AOs (GIAOs) are used

$$\phi_\mu^{\text{GIAO}}(\mathbf{r}) = \exp\left[\frac{i}{2}(\mathbf{B}_0 \times \mathbf{R}_\mu) \cdot \mathbf{r}\right] \phi_\mu(\mathbf{r})$$

GIAO removes dependence on  $\mathbf{R}_0$  by attaching the correct phase to each AO.

# Linear response and coupled-perturbed equations

- For Hartree-Fock formalism

$$\sigma_{\alpha\beta}^{(A)} = \sum_{\mu,\nu \in \text{AOs}} \frac{\partial^2 h_{\mu\nu}}{\partial B_\alpha \partial m_{A,\beta}} + \sum_{\mu\nu \in \text{AOs}} \boxed{\frac{\partial D_{\mu\nu}^{\text{HF}}}{\partial B_\alpha}} \frac{\partial h_{\mu\nu}}{\partial m_{A,\beta}}$$

implicit dependence on  $\mathbf{B}$

$$D_{\mu\nu}^{\text{HF}} = \sum_i^{\text{occ}} C_{\mu i} C_{\nu i}$$

charge-density bond-order matrix

$$\psi_i^{\text{MO}}(\mathbf{r}) = \sum_{\mu} c_{\mu i} \phi_{\mu}^{\text{basis}}(\mathbf{r})$$

e.g. LCGTO (Gaussian-type orbitals)

$$\frac{D_{\mu\nu}^{\text{HF}}}{\partial B_\alpha} = \sum_i^{\text{occ}} \left( C_{\mu i}^{(0)} \boxed{\frac{\partial C_{\nu i}}{\partial B_\alpha}} + \frac{\partial C_{\mu i}}{\partial B_\alpha} C_{\nu i}^{(0)} \right)$$

solution of coupled-perturbed Hartree-Fock (CPHF) equations

$$(\mathbf{F} - \varepsilon_i \mathbf{S}) \frac{\partial \mathbf{C}_i}{\partial B_\alpha} = - \left( \frac{\partial \mathbf{F}}{\partial B_\alpha} - \frac{\partial \varepsilon_i}{\partial B_\alpha} \mathbf{S} \right) \mathbf{C}_i^{(0)}$$

In Kohn-Sham DFT, coupled-perturbed Kohn-Sham equations are solved

# Post-Hartree-Fock corrections (follow energy corrections)

- MP2 correlation correction to HF energy

$$E^{(2)} = \frac{1}{4} \sum_{ijab} \frac{|\langle ij || ab \rangle|^2}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b}$$

- ♦  $i, j$ : occupied MOs from HF
- ♦  $a, b$ : virtual MOs from HF
- ♦  $\epsilon_p$ : energies of MOs from HF
- ♦  $\langle ij || ab \rangle$ : antisymmetrized two-electron integrals

- Using double-excitation amplitudes

$$t_{ij}^{ab} = \frac{\langle ij || ab \rangle}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b} = \frac{V_{ijab}}{\Delta_{ij}^{ab}}$$

$$E^{(2)} = \frac{1}{4} \sum_{ijab} t_{ij}^{ab}$$

- MP2 correlation correction to HF shielding tensor

$$\sigma_{\alpha\beta}^{(2)} = \frac{\partial^2 E^{(2)}}{\partial B_\alpha \partial m_{A,\beta}} = \frac{1}{4} \sum_{ijab} \frac{\partial^2}{\partial B_\alpha \partial m_{A,\beta}} \left[ t_{ij}^{ab} V_{ijab} \right]$$

$$\sigma_{\alpha\beta}^{(2)} = \frac{1}{4} \sum_{ijab} \left[ \frac{\partial^2 t_{ij}^{ab}}{\partial B_\alpha \partial m_{A,\beta}} V_{ijab} + \frac{\partial t_{ij}^{ab}}{\partial B_\alpha} \frac{\partial V_{ijab}}{\partial m_{A,\beta}} + \frac{\partial V_{ijab}}{\partial B_\alpha} \frac{\partial t_{ij}^{ab}}{\partial m_{A,\beta}} + \frac{\partial^2 V_{ijab}}{\partial B_\alpha \partial m_{A,\beta}} t_{ij}^{ab} \right]$$

Requires CP-MP2 equations.

# Coupled-cluster theory for high-precision quantum chemistry

$$|\Phi\rangle = e^{\hat{C}} |\Psi_0\rangle \quad \hat{C} \text{ is the cluster operator, and } e^{\hat{C}} = 1 + \hat{C} + \frac{1}{2!} \hat{C}^2 + \frac{1}{3!} \hat{C}^3 + \dots$$

The effect of the cluster operator is defined as the sum

$$\hat{C} |\Psi_0\rangle = \hat{C}_1 |\Psi_0\rangle + \hat{C}_2 |\Psi_0\rangle + \dots + \hat{C}_N |\Psi_0\rangle$$

with

$$\hat{C}_1 |\Psi_0\rangle = \sum_{a,r} t_a^r |\Psi_a^r\rangle, \quad \hat{C}_2 |\Psi_0\rangle = \sum_{a,b,r,s} t_{a,b}^{r,s} |\Psi_{a,b}^{r,s}\rangle$$

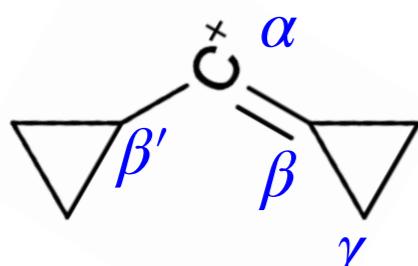
where the excitation amplitudes,  $t$ , are determined by a non-linear iterative optimization

- Truncating the sum gives rise to CCSD, CCSDT, etc., approximations. One of the most popular approximations is CCSD(T), where the triples energy correction is estimated using the perturbation theory. CCSD(T) with a large LCAO expansion is commonly used for accurate modelling of spectroscopic properties (very) small molecules.

# CCSD(T) settles the disputes based on approximate modeling

CCSD(T) calculation of NMR chemical shifts:  
consistency of calculated and measured  $^{13}\text{C}$  chemical shifts  
in the 1-cyclopropylcyclopropylidenemethyl cation

John F. Stanton <sup>a</sup>, Jürgen Gauss <sup>b,c,1</sup>, Hans-Ullrich Siehl <sup>d,e,2</sup>



- An earlier MP2 calculation suggested geometric reorganization in the solvent to influence the  $^{13}\text{C}$  shift of  $\text{C}_\alpha$

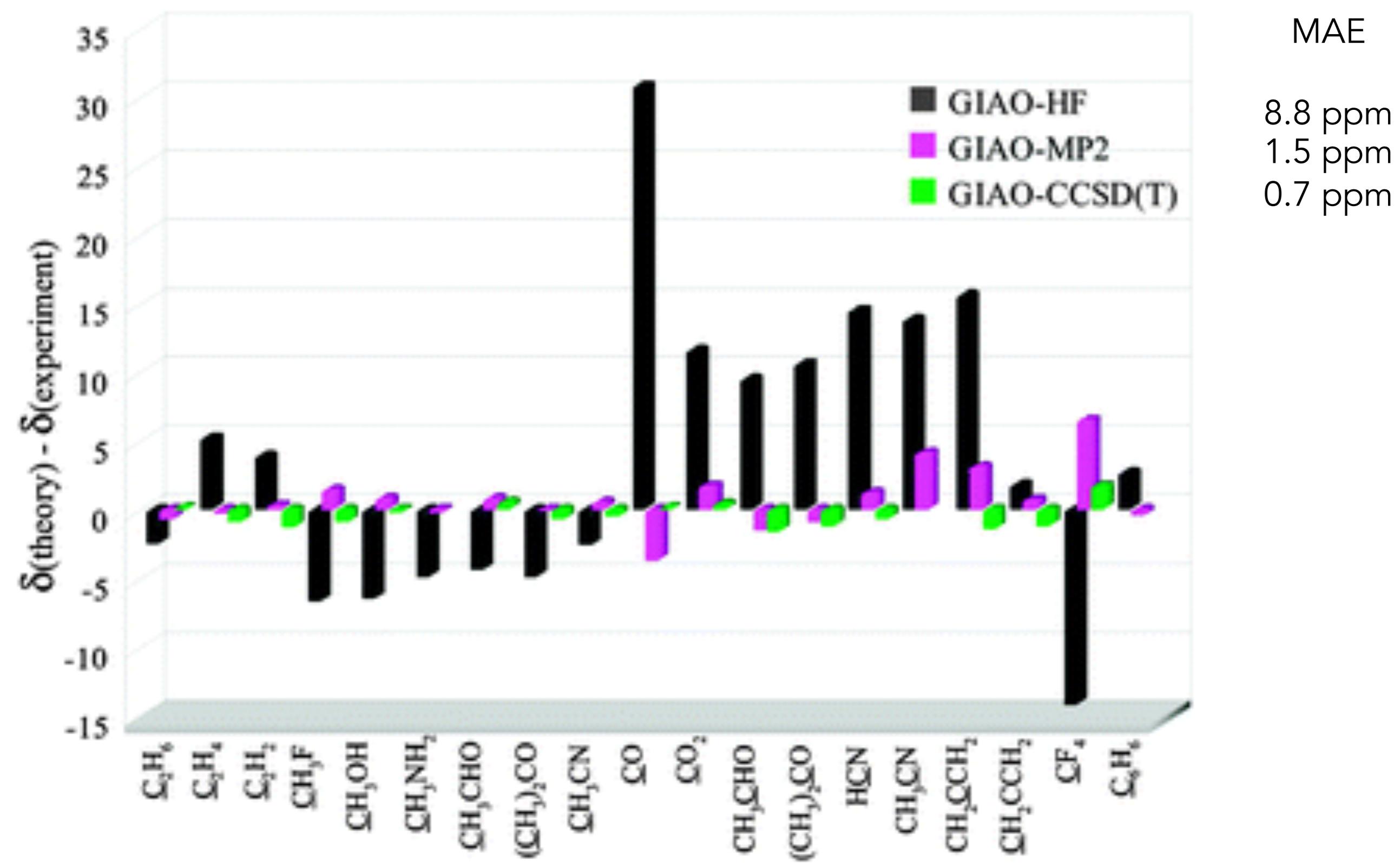
Table 1

$^{13}\text{C}$  NMR chemical shifts (in ppm) <sup>a</sup> for **1** with the tzp/dz basis described in Ref. [19] with various treatments of electron correlation. Also included are experimental results from Ref. [3]

	SCF	MBPT(2)	CCSD	CCSD(T)	Expt.
$\text{C}_\alpha$	276.9	211.1	244.4	234.1	234.2
$\text{C}_\beta$	50.1	53.6	51.7	51.9	51.7
$\text{C}_{\beta'}$	12.0	22.6	20.5	22.3	21.2
$\text{C}_\gamma$	33.3	49.0	43.2	45.4	43.9
$\text{C}_{\gamma'}$	34.5	42.3	39.8	41.0	38.9

<sup>a</sup> Relative to TMS. For the conversion of absolute shieldings to relative shifts see footnote 8.

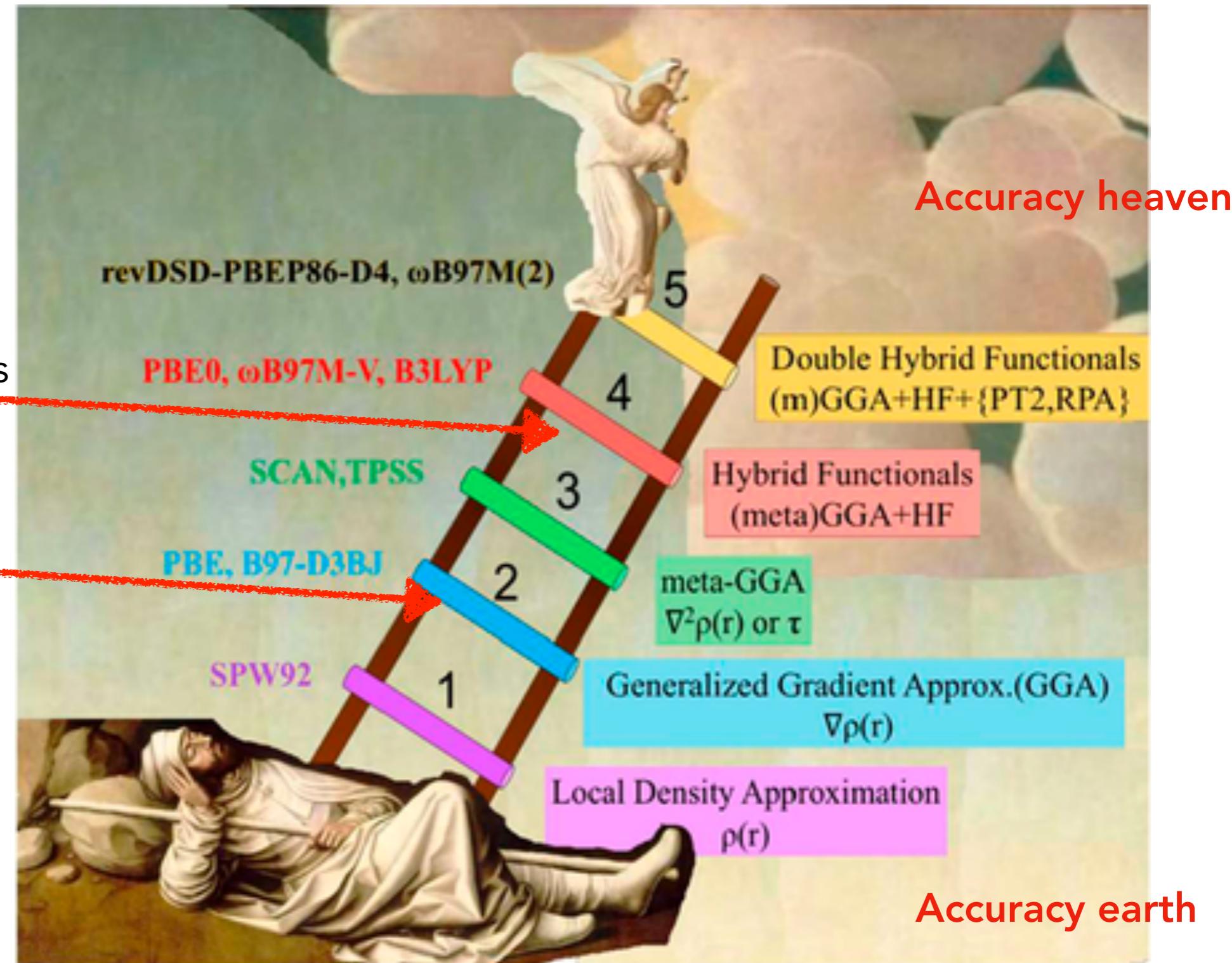
# Some quantum chemistry benchmarks



# Jacob's ladder in DFT approximations

workhorse for molecules

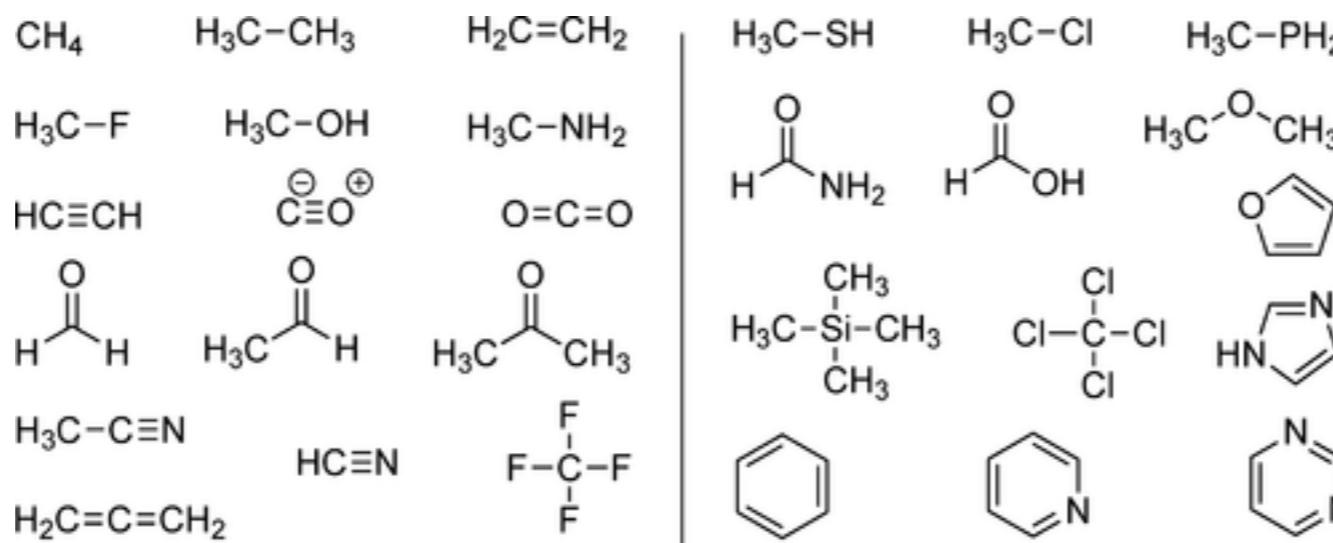
workhorse for solids



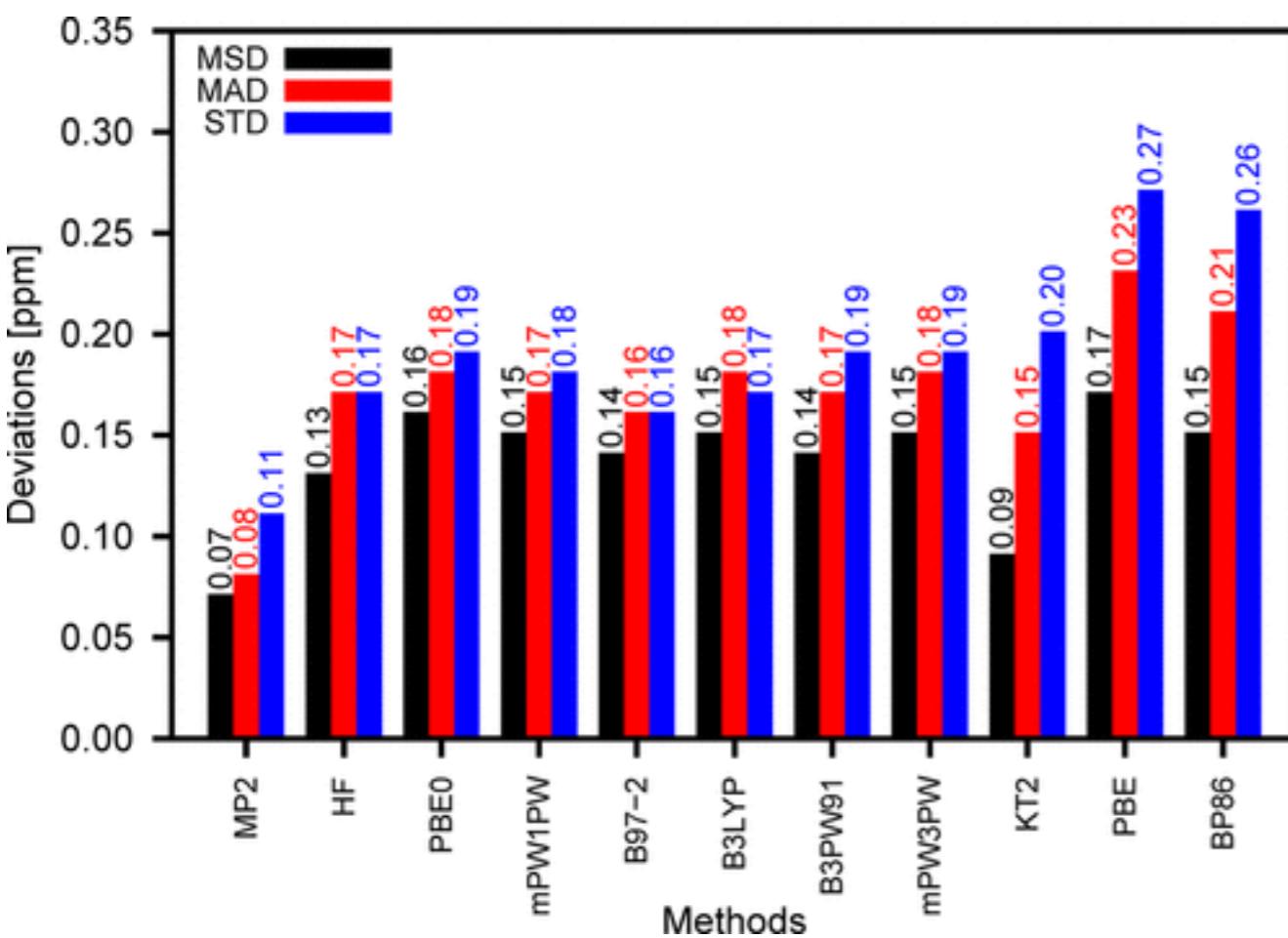
J. M. L. Martin, G. Santra, *Israel J. Chem.* 60, 787 (2020)

J. P. Perdew, K. Schmidt, *AIP Conf. Proc.* 577, 1 (2001)

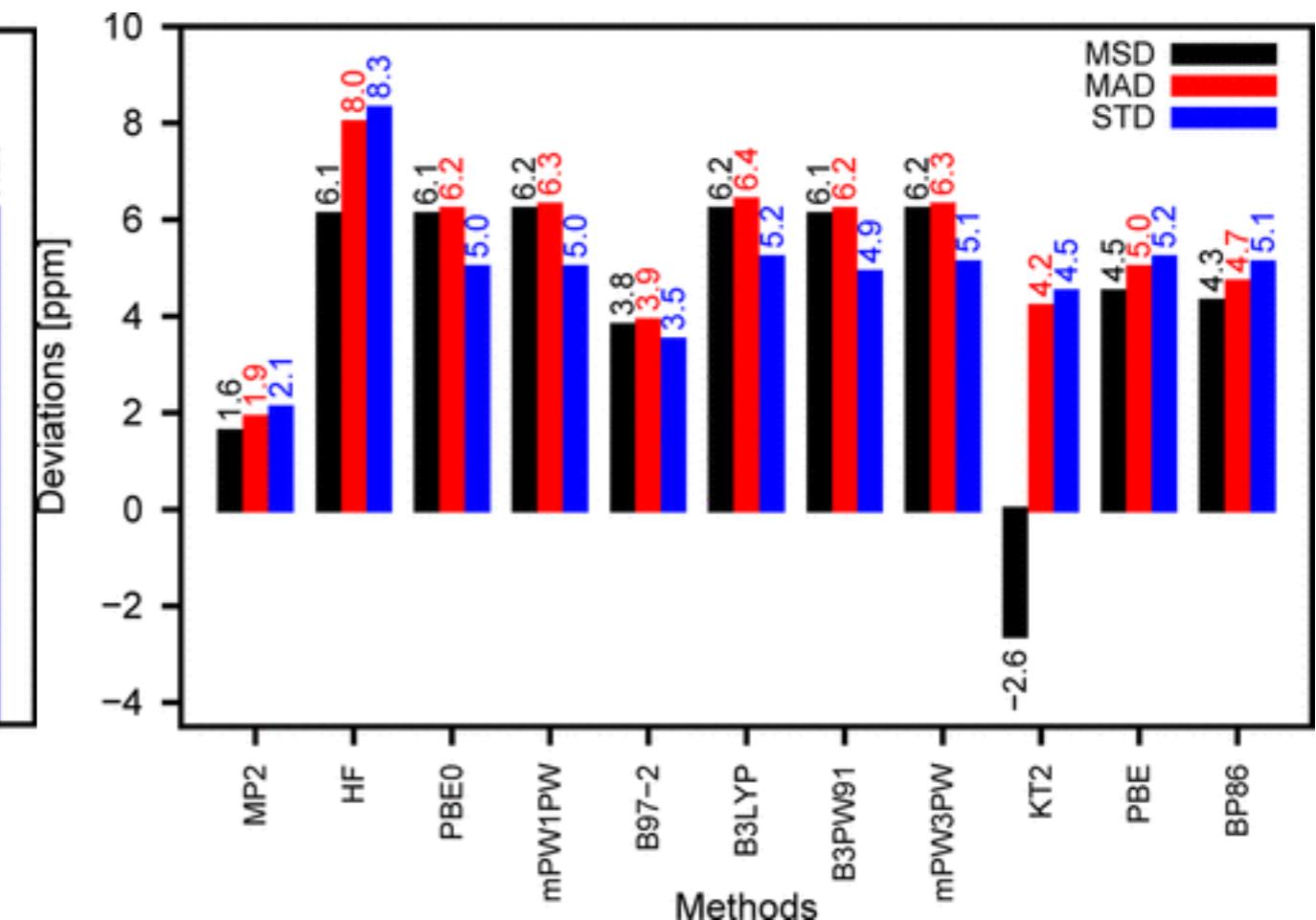
# HF, MP2 and DFT benchmarks with CCSD(T) reference



$^1\text{H}$



$^{13}\text{C}$



# (From synthesis to) Structure elucidation workflow

Extract and isolate a compound

High-resolution mass spectroscopy

- ◆ Molecular formula
- ◆ Degree of unsaturation (DBE)

Initial spectroscopic analysis

- IR
- $^1\text{H}$  NMR
- $^{13}\text{C}$  NMR + DEPT

- ◆ Functional groups (CO, OH, etc.)
- ◆ H environments, population, coupling
- ◆ C environments, CH/CH<sub>3</sub>/CH<sub>2</sub>/Cq

2D NMR

- COSY, HSQC, HMBC

- ◆ Structural details: H-H connectivity (rings, chains), H-C attachment, long range C-H links

Propose candidate structures

Quantum chemistry / ML models

Machine learning models as surrogates  
of quantum chemistry

Quantum chemistry calculations are time-consuming

The goal is to develop ML models trained on large datasets that offer quantum chemistry accuracy at empirical speed

# Example web-app for electronic excitation energy of BODIPY dyes

<https://moldis.tifrh.res.in/db/bodipy>

# MOLDIS

## Machine for $S_0 \rightarrow S_1$ excitation energy of BODIPYs

$-H$   
00

$-CH_2$   
06

$-CH_2F$   
12

$-CH=CHCH_3$   
18

$-C=NH$   
24

$NH_2$   
33

$-CH_2OCH_3$   
42

$-CH_3$   
01

$-C=N$   
07

$-NHCH_3$   
13

$-CH_2CH=CH_2$   
19

$-N=CHNH_2$   
25

$-NHCH_2CH_3$   
34

$-CH_2CH_2CH_2$   
43

$-NH_2$   
02

$-CH-NH$   
08

$-CH_2OH$   
14

$-CH_2C=N$   
20

$-NHCHO$   
26

$-CH_2CH_2OH$   
35

$-CHF$   
36

$-OH$   
03

$-CHO$   
09

$-OCH_3$   
15

$-CH_2CHO$   
21

$-OCHO$   
28

$-CH_2CH_2CH_3$   
30

$-OCH_2CH_3$   
38

$-CH_2NHCH_3$   
39

$-CH_2CH_2NH_2$   
41

$-F$   
04

$-CH_2CH_3$   
10

$-CH_2C=CH$   
16

$-COCH_3$   
22

$-CHCH_3$   
31

$NH_3$   
40

$O^-$   
41

$-CH_2O$   
46

$-C=CH$   
05

$-CH_2NH_2$   
11

$-C=CCH_3$   
17

$-NHCH=NH$   
23

$-CH_2CH_2NH_2$   
32

$O^-$   
41

1:

2:

3:

7:

4:

6:

5:

**Query**

Write indices of substituents as

`<grp1> <grp2> <grp3> <grp4> <grp5> <grp6> <grp7>`

with one entry per line in the same order of their positions as shown above. Example:

0 0 1 0 0 0 1  
1 2 3 4 5 6 7

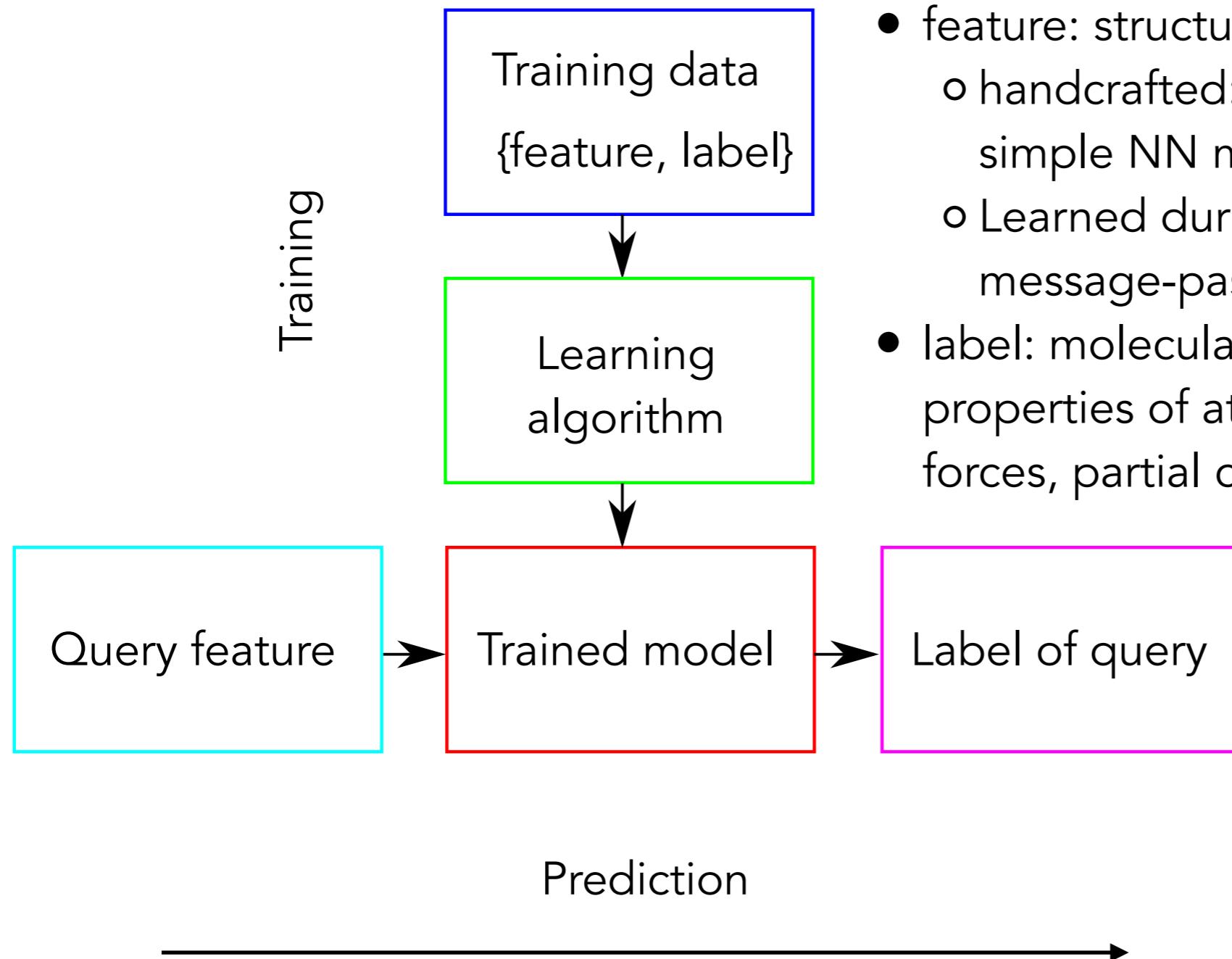
### Machine predicted S0 -> S1 excitation energy (in eV)

3.328787

2.944635

- ML model trained on TDDFT data with 5-10% error
- Enables rapid screening of 253 Billion possible combinations (empirical speed)

# ML workflow



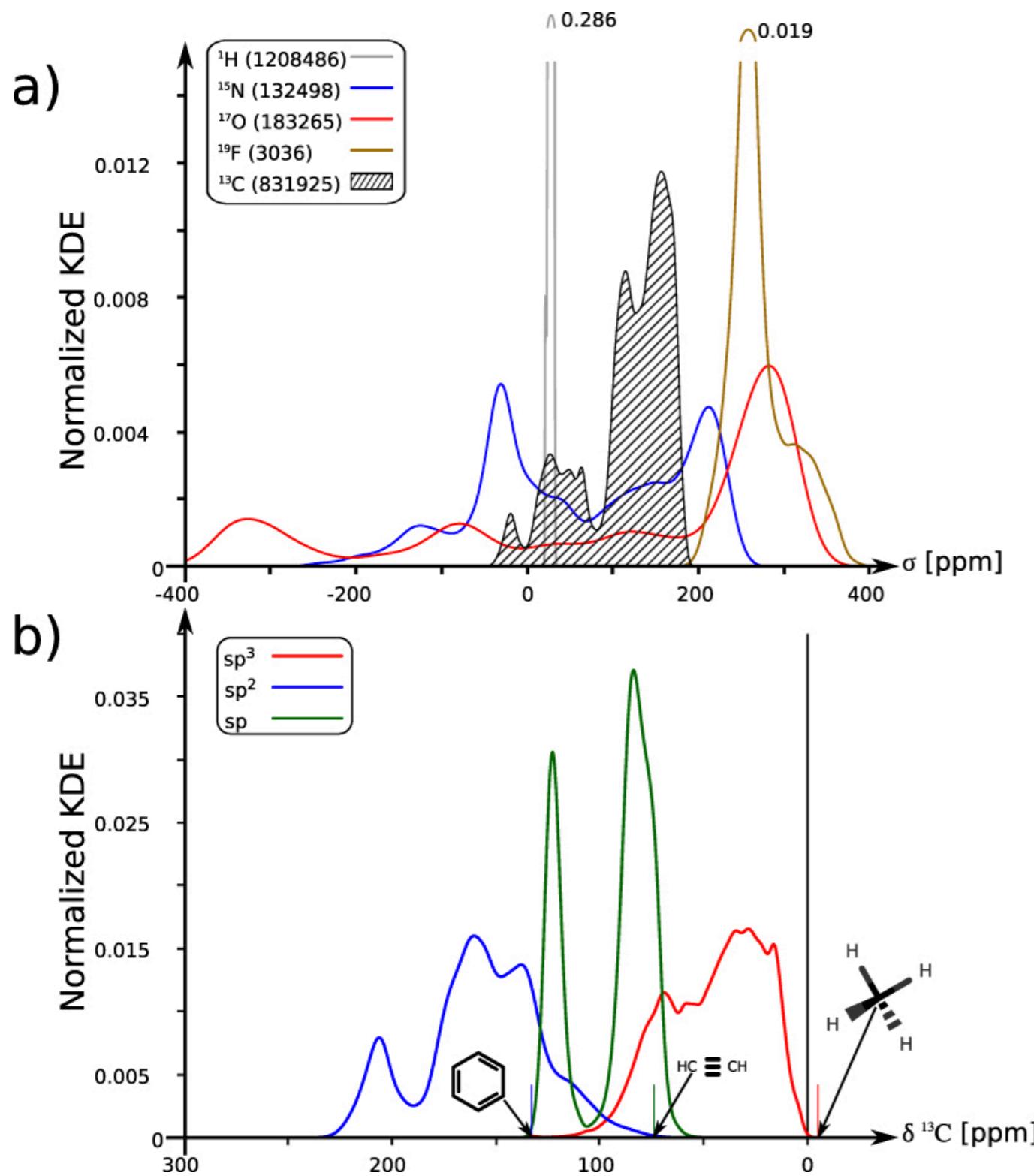
- feature: structural descriptor of an atom
  - handcrafted: simple NN models, Kernel-based models
  - Learned during training: message-passing NN
- label: molecular properties (energy), properties of atoms-in-molecules (atomic forces, partial charges, NMR shielding)

# Taxonomy of ML models used in chemistry problems

Architecture			
	Neural network	Kernel	Linear
Modeling paradigm	<b>Scalar-only</b>	<p><i>Training and prediction on scalar observables</i></p> <ul style="list-style-type: none"> <li>Properties independent of PES modeling, properties (e.g. energies) across compositions</li> </ul>	<ul style="list-style-type: none"> <li>★ BPNN</li> <li>★ ANI</li> <li>★ MGCN</li> <li>★ KRR</li> <li>★ GAP (SOAP)</li> </ul>
	<b>Energy/Force (with joint-loss)</b>	<p><i>Training and prediction on atomic or total energies and corresponding forces</i></p> <ul style="list-style-type: none"> <li>Forces are conservative by design</li> </ul>	<ul style="list-style-type: none"> <li>★ DeePMD</li> <li>★ HIPNN</li> <li>★ CENT</li> <li>★ SchNet</li> <li>★ DimeNet</li> <li>★ MGNN</li> <li>★ PhysNet</li> <li>★ GemNet</li> <li>★ PaiNN</li> <li>★ ENINET</li> <li>★ SpookyNet</li> <li>★ NequiP</li> <li>★ MACE</li> <li>★ Allegro</li> <li>★ SO3krates</li> <li>★ ViSNet</li> </ul>
	<b>Gradient-domain</b>	<p><i>Training and prediction on forces (conservative)</i></p> <ul style="list-style-type: none"> <li>Forces are derived as gradients of a learned energy</li> </ul>	<ul style="list-style-type: none"> <li>★ KRR</li> <li>★ GAP (SOAP)</li> </ul>
	<b>Vector-only</b>	<ul style="list-style-type: none"> <li>★ NewtonNet</li> </ul>	<ul style="list-style-type: none"> <li>★ MTP</li> <li>★ SNAP</li> <li>★ ACE</li> </ul>
		<ul style="list-style-type: none"> <li>★ GD-KRR</li> <li>★ GDML/sGDML</li> </ul>	
		<ul style="list-style-type: none"> <li>★ ForceNet</li> </ul>	<ul style="list-style-type: none"> <li>★ KRR (local-PCA)</li> </ul>

**Figure 6.** A logical classification of machine-learned potentials (MLPs) organized by modeling paradigm (rows) and architectural class (columns) that distinguishes conservative, force-only, and scalar models while grouping them under neural, kernel, or linear frameworks. All acronyms used here are defined in the main text.

# QM9NMR dataset

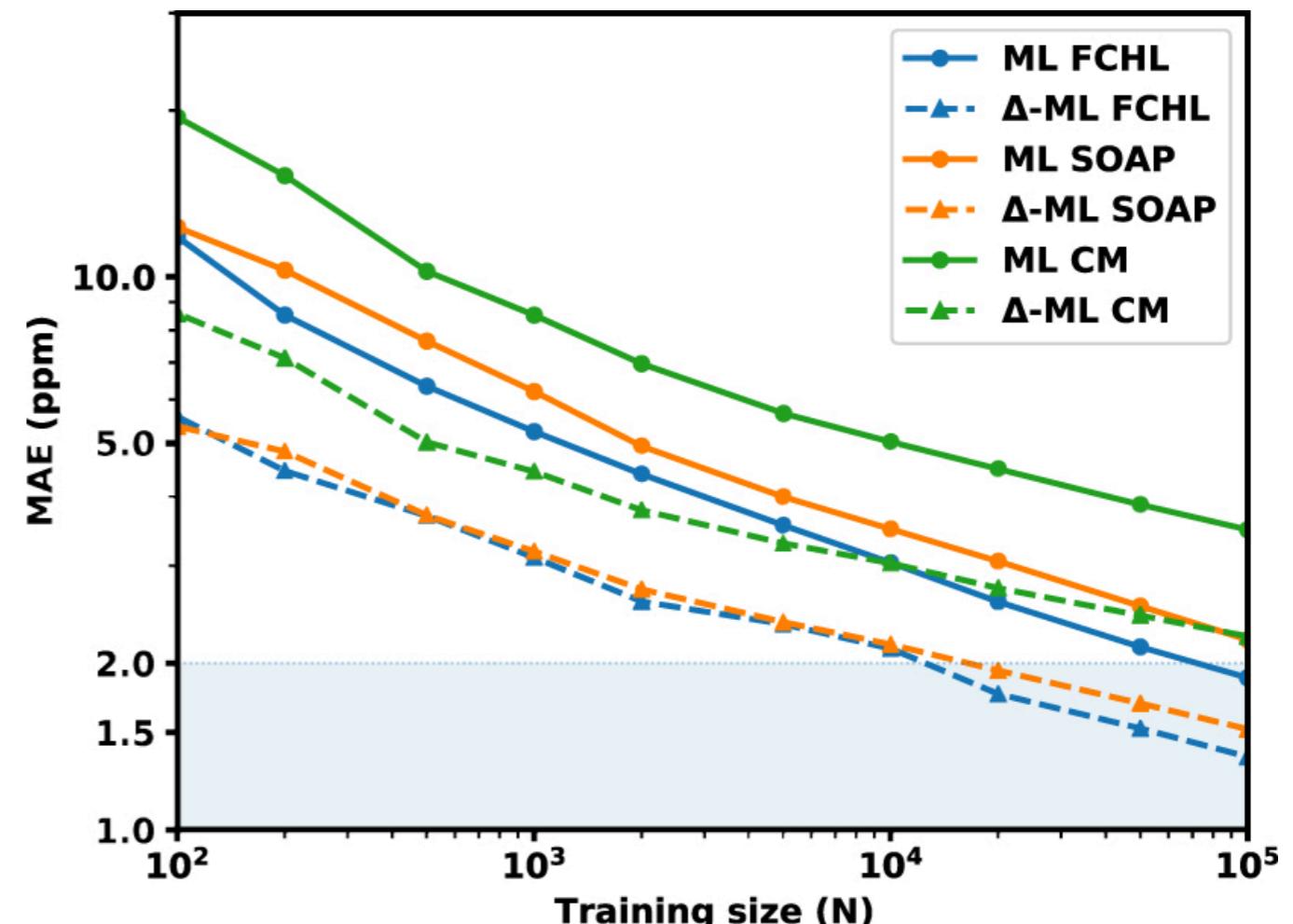
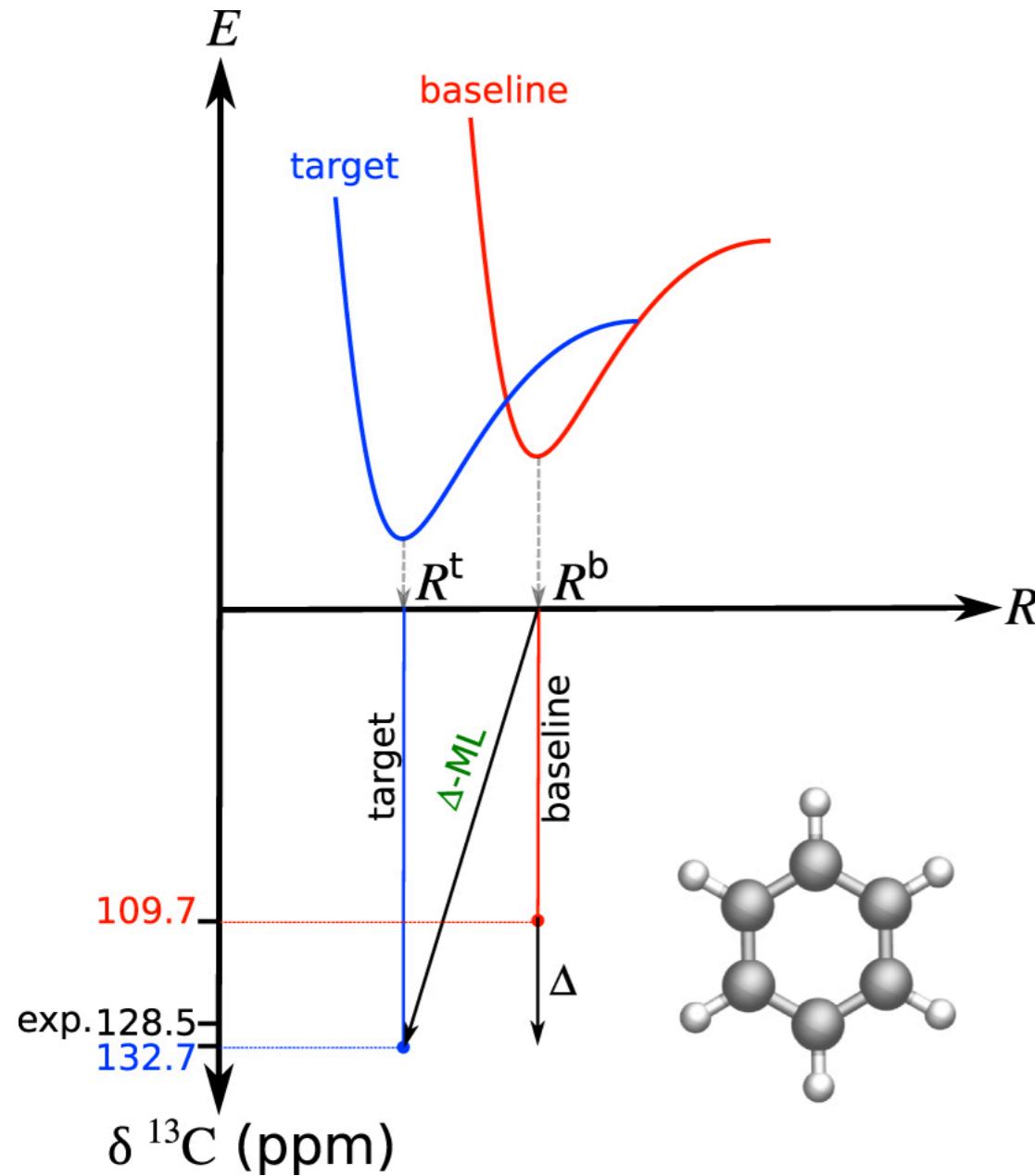


- Contains isotropic shielding of CHONF atoms in 134,000 QM9 molecules (with upto 9 CONF atoms).
- mPW1PW91/6-311+G(2d,p)@B3LYP/6-31G(2df,p) level DFT modelling in vacuum and with continuum models of five commonly used polar and non-polar organic solvents: acetone, CCl<sub>4</sub>, DMSO, methanol, and THF
- The dataset contains 0.8 Million  $^{13}\text{C}$  shielding and 1.2 Million  $^1\text{H}$  shielding in each phase

R. Ramakrishnan, et al. *Scientific Data* (2014).

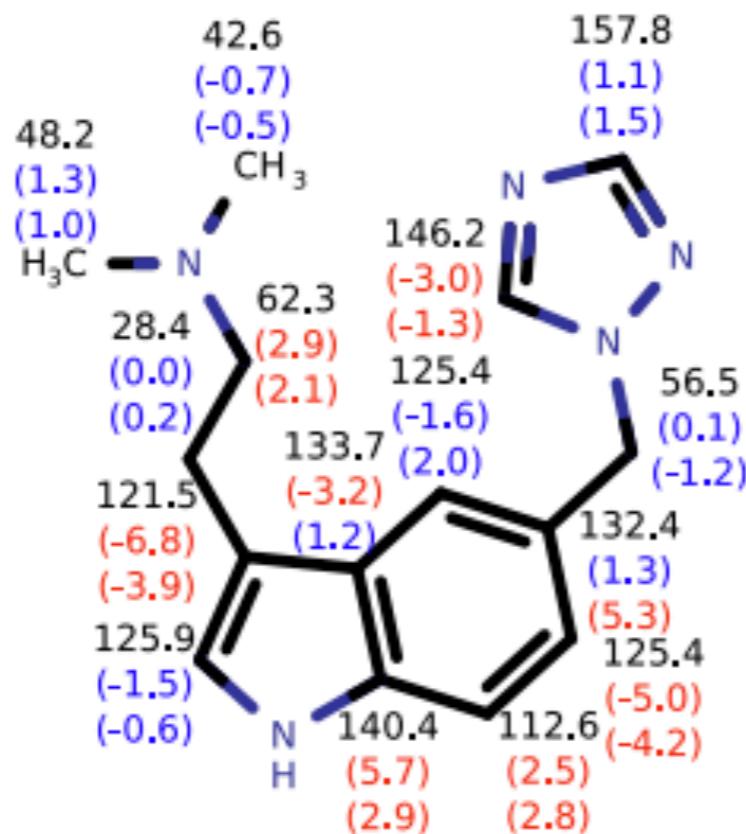
A. Gupta, et al. *Mach. Learn.: Sci. Technol.* (2021).

# $\Delta$ ML modeling of $^{13}\text{C}$ isotropic shielding



- $\Delta$ ML modeling is done with PM7 geometries for generating structural descriptors (FCHL, SOAP, CM)
- B3LYP/STO3G level shielding is the baseline
- The targetline is mPW1PW91/6-311+G(2d,p)@B3LYP/6-31G(2df,p)

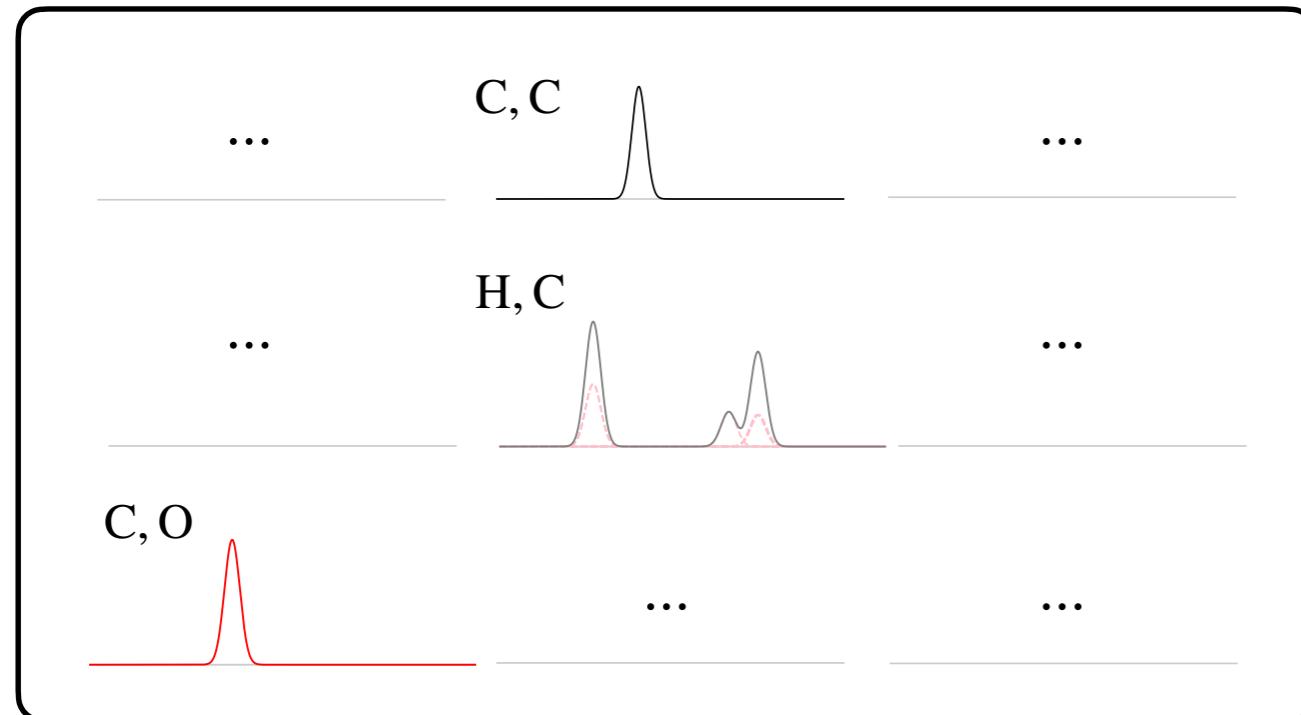
# Transferability to large drug molecules unknown to the model



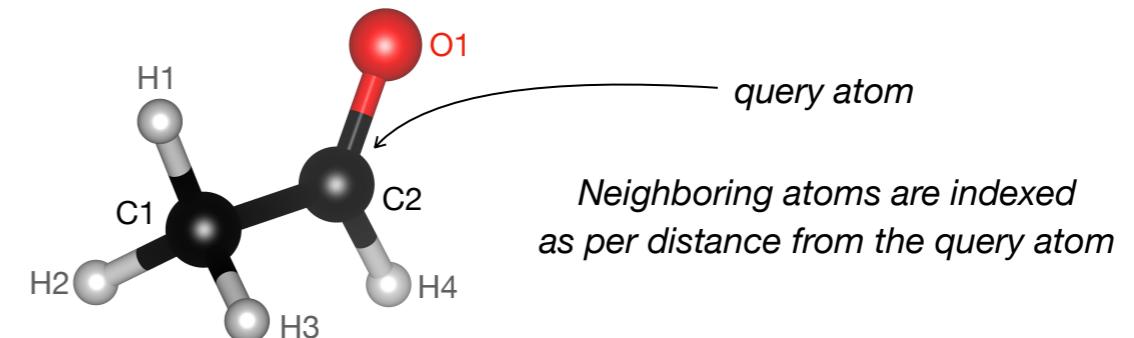
# Neighborhood-informed features for $^{13}\text{C}$ chemical shifts

Pairwise functions:

$$\mathbf{d}^{(A,B)}(r) = \sum_{J \neq I, I \in A, J \in B} g_{IJ}(r) \cdot \frac{Z_I Z_J}{R_{IJ}} \cdot s(R_{IJ})$$



Scaling term:  $\frac{1}{R^4}$  (like dipole-induced dipole)



$\mathbf{d}(0) = \mathbf{d}_{C2}$  Query atom's descriptor vector

$\mathbf{d}(1) = \mathbf{d}_{C2} \mid \mathbf{d}_{H4}$  First neighbour's descriptor is padded

$\mathbf{d}(2) = \mathbf{d}_{C2} \mid \mathbf{d}_{H4} \mid \mathbf{d}_{O1}$  Second neighbour

$\mathbf{d}(3) = \mathbf{d}_{C2} \mid \mathbf{d}_{H4} \mid \mathbf{d}_{O1} \mid \mathbf{d}_{C1}$  Third neighbour

$\mathbf{d}(4) = \mathbf{d}_{C2} \mid \mathbf{d}_{H4} \mid \mathbf{d}_{O1} \mid \mathbf{d}_{C1} \mid \mathbf{d}_{H1}$  Fourth neighbour

$\mathbf{d}(5) = \mathbf{d}_{C2} \mid \mathbf{d}_{H4} \mid \mathbf{d}_{O1} \mid \mathbf{d}_{C1} \mid \mathbf{d}_{H1} \mid \mathbf{d}_{H2}$  Fifth neighbour

Concatenated pairwise functions:

$$\mathbf{d}(r) = [\mathbf{d}^{(H,H)}(r), \mathbf{d}^{(C,C)}(r), \dots, \mathbf{d}^{(H,C)}(r), \dots, \mathbf{d}^{(C,N)}(r), \mathbf{d}^{(C,O)}(r), \dots]$$

aBoB-RBF(4): Out-of-sample mean prediction error 1.69 ppm

# MLQM9NMR Python module (XYZ to $^{13}\text{C}$ shifts)

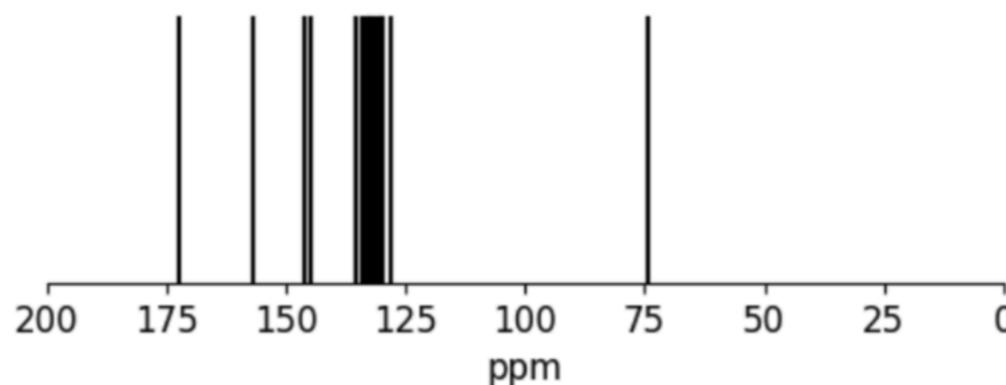
```
from mlqm9nmr import calc_nmr
from mlqm9nmr import plot_nmr

filename = 'drug12_07.xyz'
descriptor = 'abob_rbf_4'

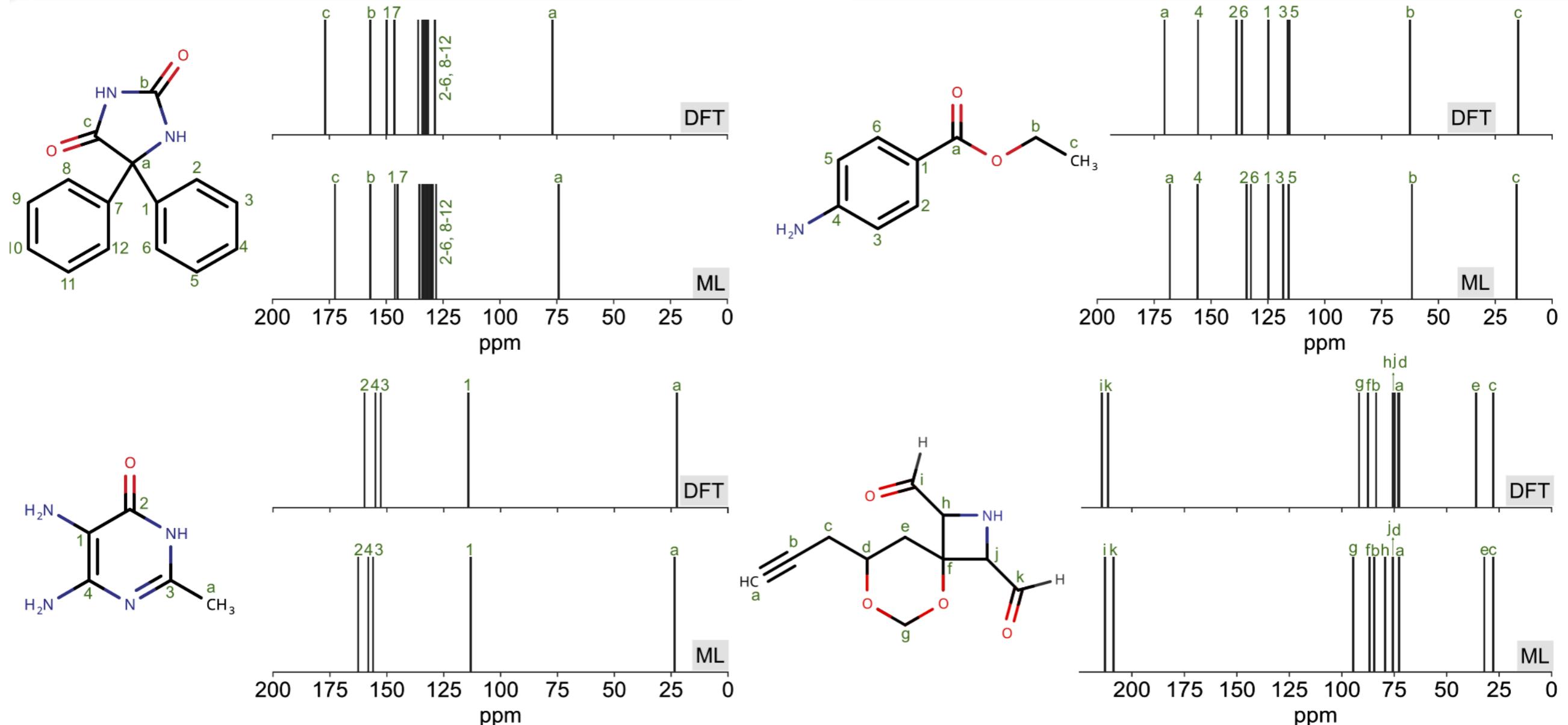
cs = calc_nmr(filename,descriptor,di_path='bz2')
plot_nmr(cs)
```

Python

C1	74.23 ppm (<p25)
C2	144.94 ppm (<p5)
C3	130.43 ppm (<p5)
C4	132.22 ppm (<p5)
C5	129.61 ppm (<p5)
C6	132.44 ppm (<p5)
C7	135.34 ppm (<p5)
C8	146.21 ppm (<p5)
C9	128.06 ppm (<p5)
C10	130.75 ppm (<p5)
C11	133.35 ppm (<p5)
C12	134.16 ppm (<p5)
C13	131.44 ppm (<p5)
C14	172.51 ppm (<p5)
C15	156.95 ppm (<p5)



# Comparison with target-level data for larger drug molecules



# DFT-level $^{13}\text{C}$ chemical shifts predicted with ML on MolDis-Lab

**MOLDIS**

*A big data analytics platform for molecular discovery*

 tifr

**SMILES →  $^{13}\text{C}$  Shifts**  
Paste SMILES, render 2D structure, compute  $^{13}\text{C}$  shifts.

SMILES  
 

Try: c1ccccc1 (benzene), CCO (ethanol), CC(=O)O (acetic acid)

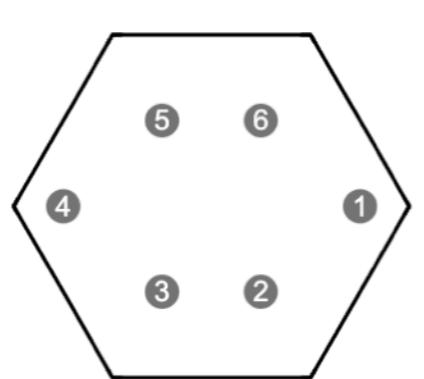
Show atom numbers

ML  $^{13}\text{C}$  spectrum (from 3D XYZ) plotted.

Notes:

- This tool is intended for educational use. Predicted values are approximate and should be interpreted with caution in production or applied settings.
- The ML-based  $^{13}\text{C}$  predictor is trained on the QM9NMR dataset (C, H, N, O, F atoms only) and will not work for molecules containing other elements.
- ML prediction may take a few seconds to compute the aBoB-RBF(4) descriptor. After clicking *Predict from 3D / XYZ*, please wait and do not refresh the page.

**Structure Viewer + Output**  
SMILES: C1CCCCC1



**$^{13}\text{C}$  shifts predicted with a minimal additivity model**

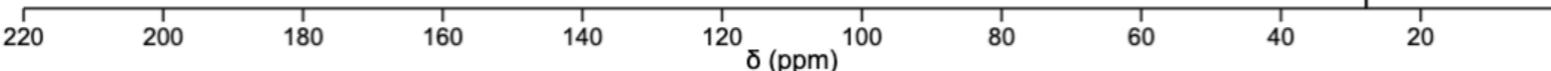
**Model scope:** This prediction uses a minimal empirical additivity model. It is intended for small to medium organic molecules and typical functional groups. Results may be unreliable for large, highly branched, strained, hydrogen-bonded, substituted aromatic or strongly conjugated systems.

1:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB
2:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB
3:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB
4:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB
5:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB
6:	27.8 ppm:	+sp <sup>3</sup> +R6+nA+nB+nA+nB

Predicted  $^{13}\text{C}$  spectrum ( $\delta$  / ppm)  Lock 0–220 ppm

Scoll down

# DFT-level $^{13}\text{C}$ chemical shifts predicted with ML on MolDis-Lab



$^{13}\text{C}$  shifts predicted with a KRR-ML model (using 3D / XYZ)

[Predict  \$^{13}\text{C}\$  shifts using KRR-ML](#)

**Model scope:** This ML model is trained on the QM9NMR dataset and supports molecules containing only C, H, N, O, and F atoms. Predictions for very large molecules or molecules containing other elements are not supported and may fail or return incorrect results.

1: 29.68 ppm  
2: 29.67 ppm  
3: 29.66 ppm  
4: 29.66 ppm  
5: 29.68 ppm  
6: 29.69 ppm

$^{13}\text{C}$  shifts with mPW1PW91/6-311+G(2d,p) calculated on B3LYP/6-31G(2df,p) geometries

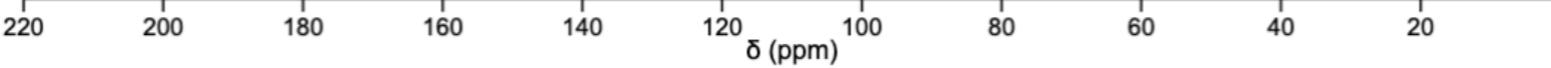
CPU time ~1 hour for Aspirin  
Several hours for larger molecules

Predicted with KRR-aBoB-RBF(4) model using SMILES as input

~30 sec for any size

ML-predicted  $^{13}\text{C}$  spectrum ( $\delta$  / ppm)

[Download ML spectrum image](#)



# Pics from Kang-Yatse-2 expedition, September 2025



**See you tomorrow for the hands-on!**

