

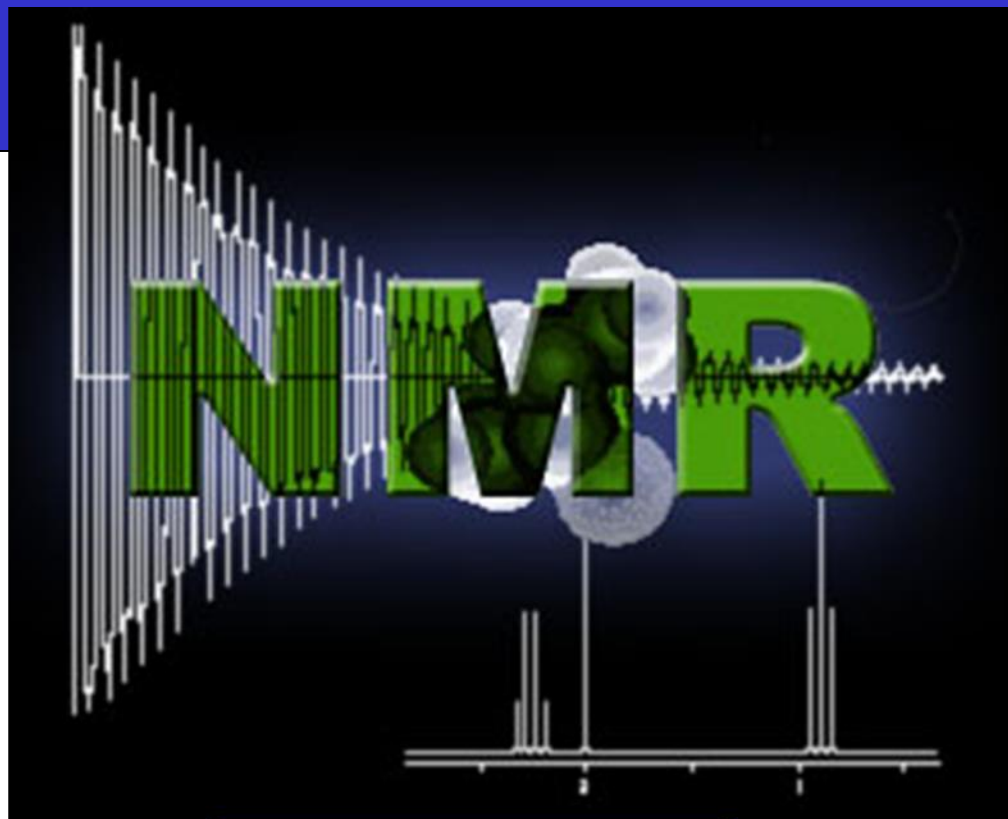
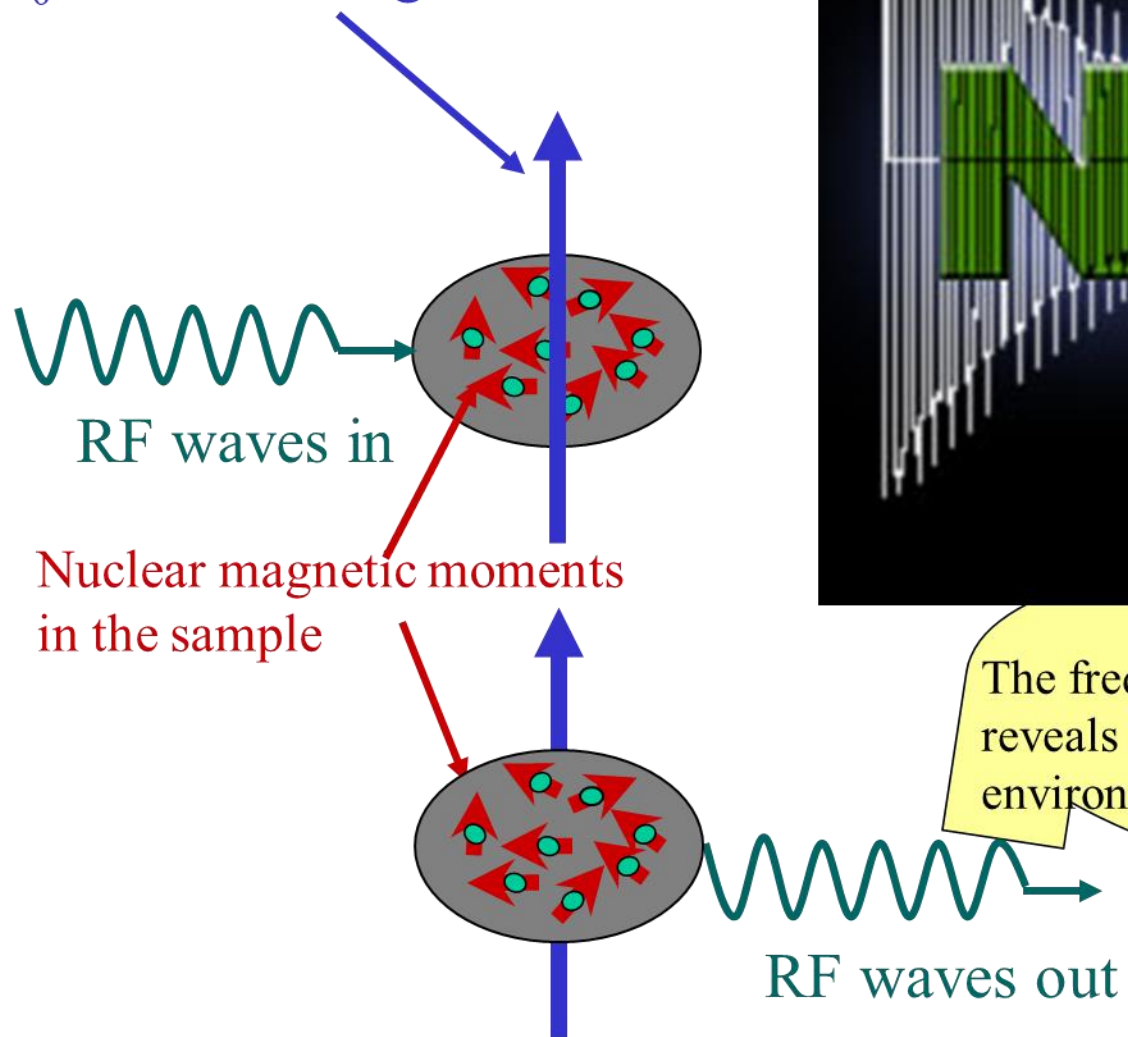
# **NMR Spectroscopy Basics: Spin Physics**

**P. K. Madhu**

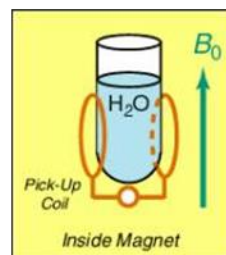
**Tata Institute of Fundamental Research, Hyderabad, India**

**NMR Meets Biology  
Gokarna, Karnataka, India  
January 25-31, 2026**

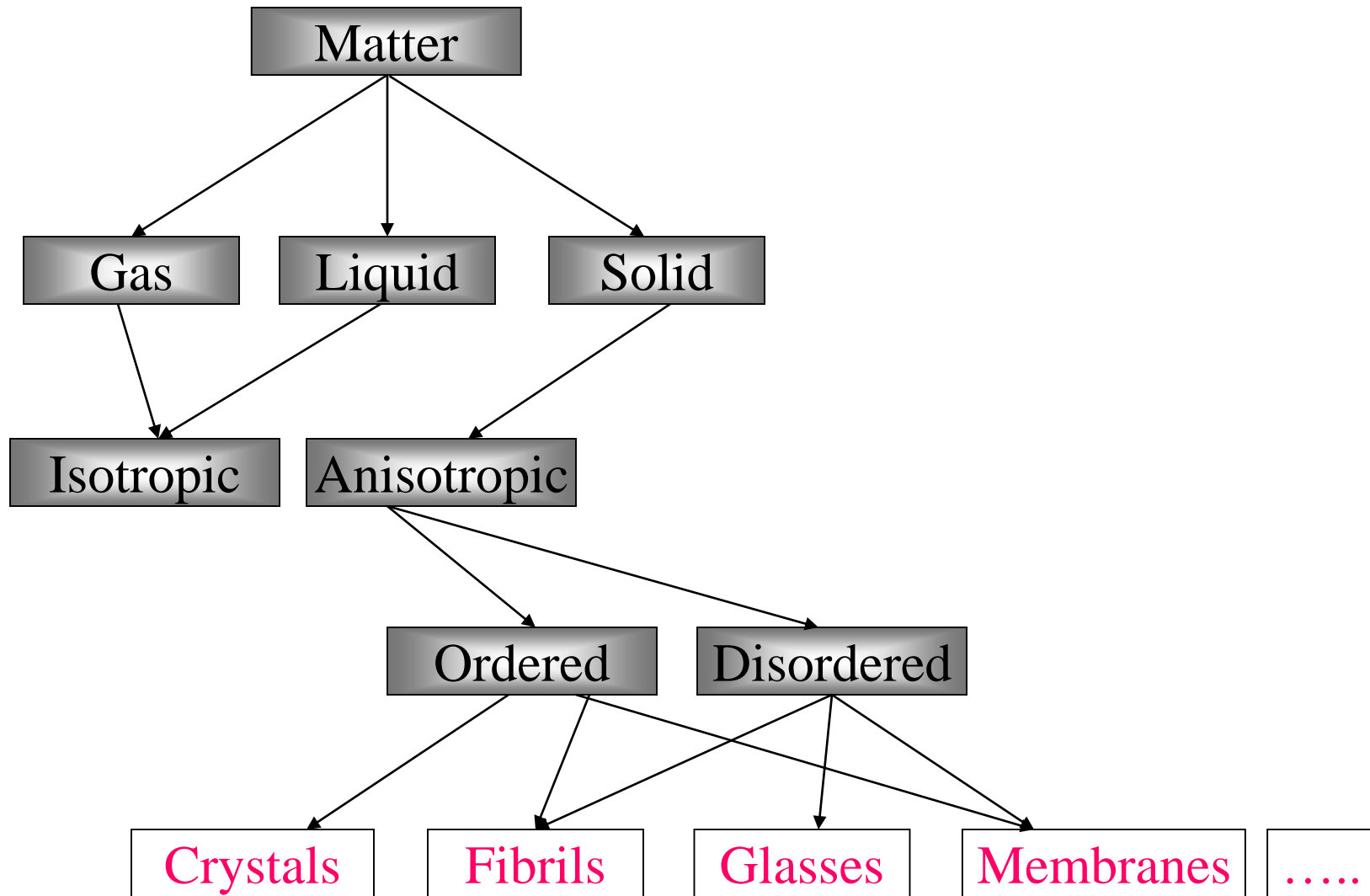
$B_0$ , External magnetic field



The frequency of emitted RF waves reveals information about the magnetic environment of atomic nuclei



# Matter: NMR Classification



# Solution-State NMR: General Protocol

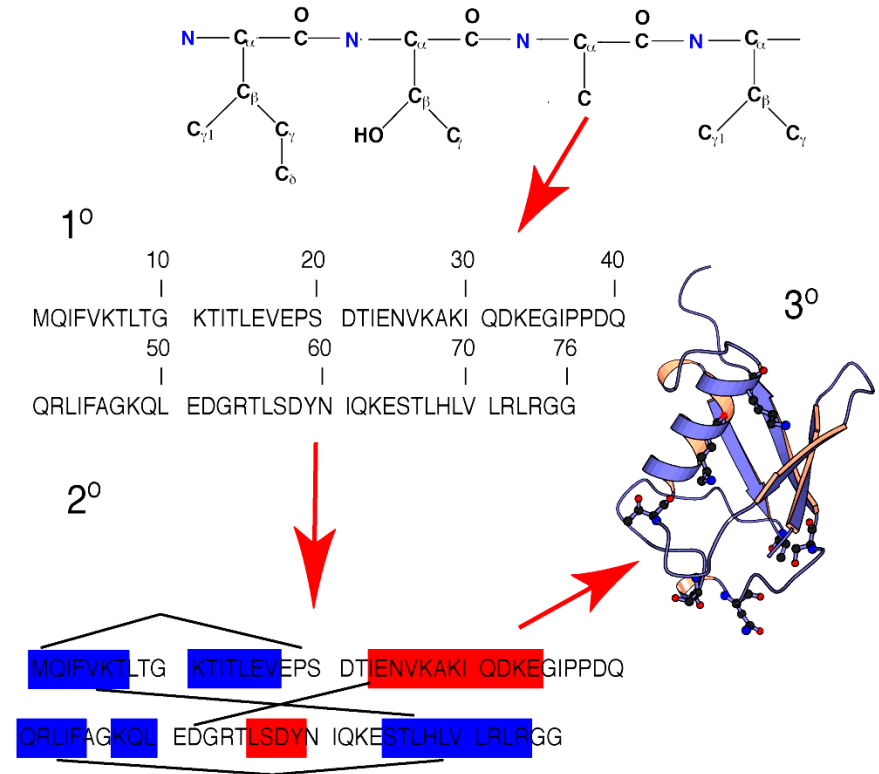
1. Isotopically label protein ( $^{15}\text{N}$ ,  $^{13}\text{C}$ )

2. Assign the chemical shifts

3. Collect restraints

4. Calculate structure

5. Refine (repeat)



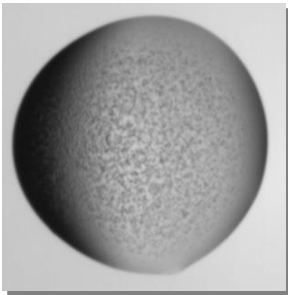
*This has been done over 15,000 times in solution!*  
(<http://www.pdb.org/pdb/statistics/holdings.do>)

*Kumar, A.; Ernst, R.R.; Wüthrich, K. Biochem. Biophys. Res. Comm. 1980, 95, 1–6.*  
*Williamson, M.P.; Havel, T.F.; Wüthrich, K., J. Mol. Biol. 1985, 182, 295–315.*

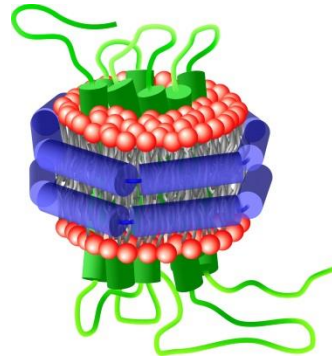


# Solid-State NMR in Structural Biology

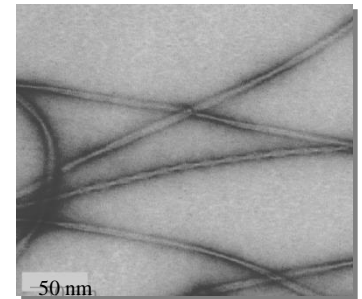
Many proteins cannot be studied by the traditional structural methods (X-ray crystallography or solution NMR)



**Nano/microcrystalline  
globular proteins**

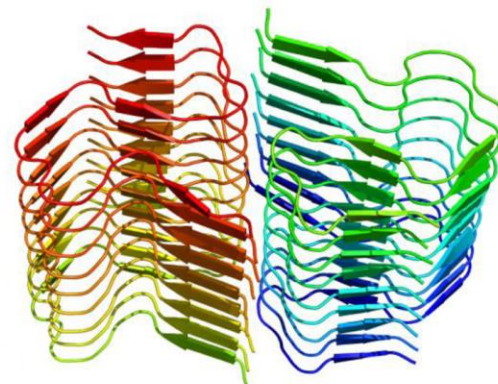


**Membrane proteins**



**Fibrils**

**A $\beta$ <sub>42</sub> Fibrils**



Bob Griffin and coworkers, 2016

# Solution- and Solid-State NMR

## Solution NMR:

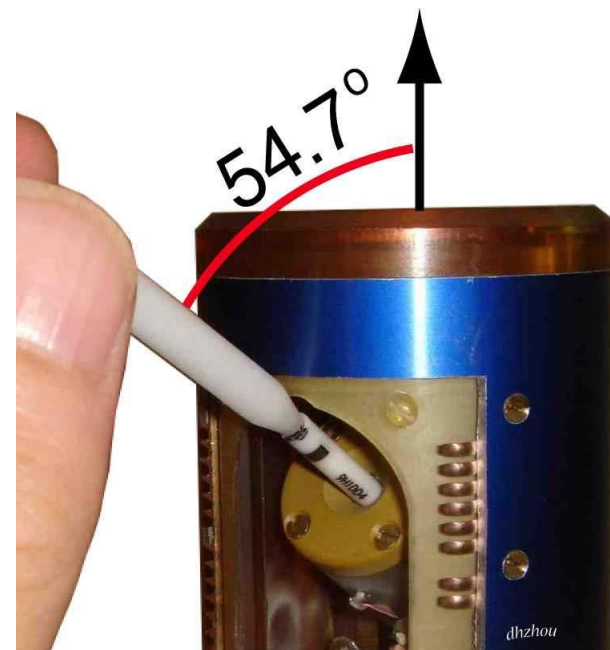
- size limitation
- very narrow resonances

Solution NMR  
sample tube



## Solid-state NMR:

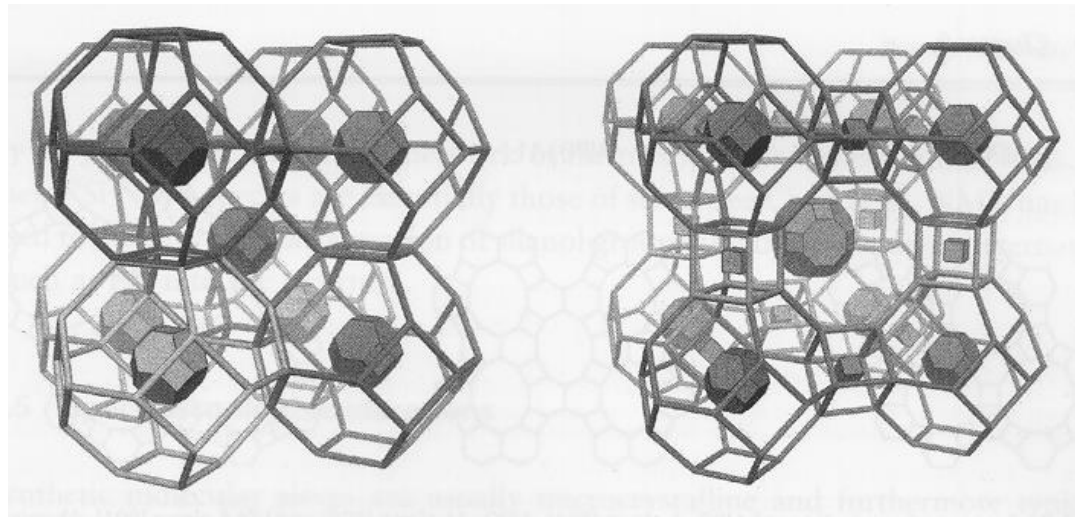
- no size limit on samples
- broader resonances



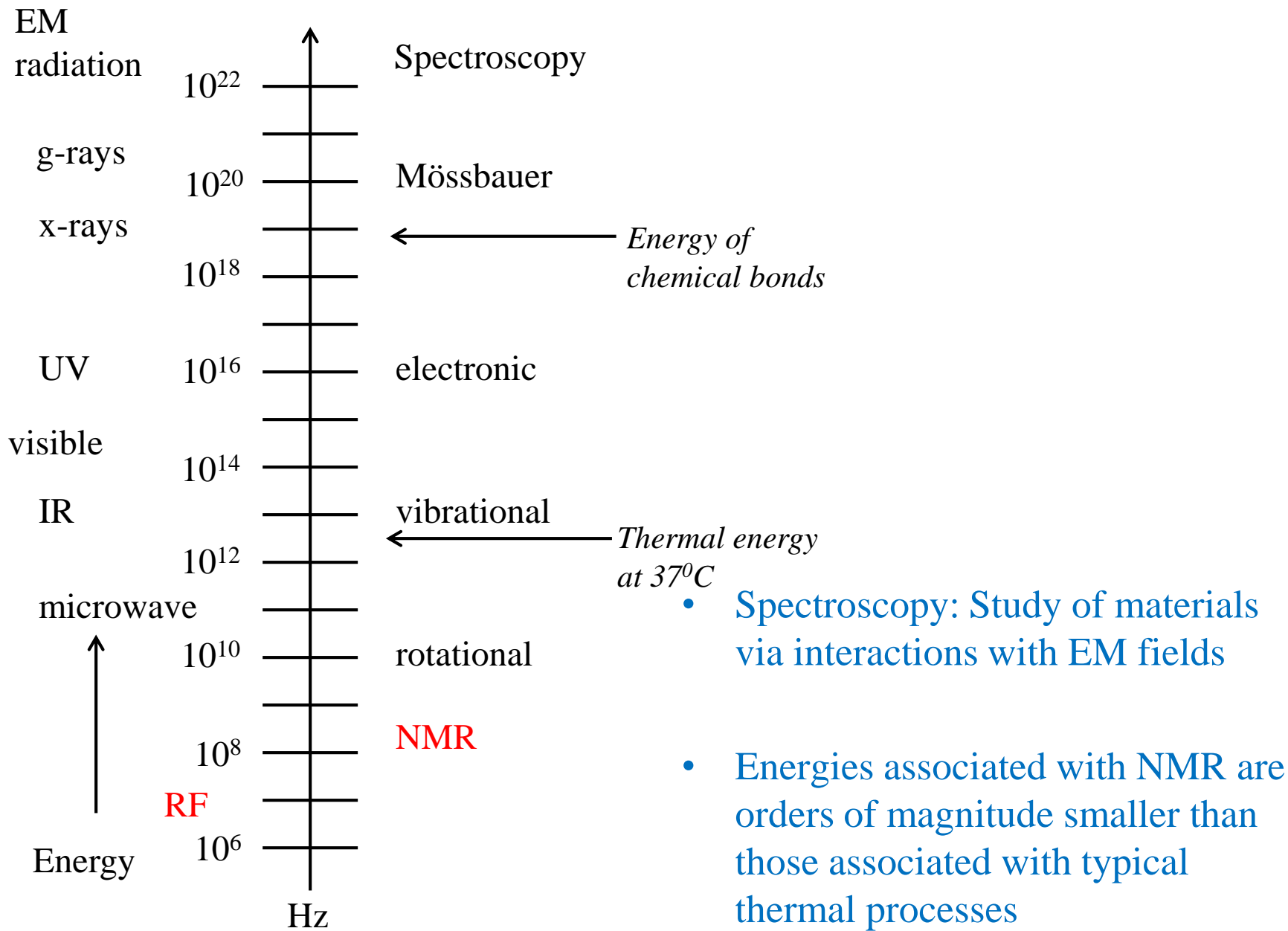
Solid-state  
NMR probe

# Targets for SSNMR: Biology

- Lipid bilayers
- Membranes reconstituted with different additives such as cholesterol, drugs or peptides
- Structure analysis of membrane-active peptides, ion channels, and receptors
- Amyloid fibrils
- Globular proteins, IDP's
- .....



# NMR: Some Features



# Reality

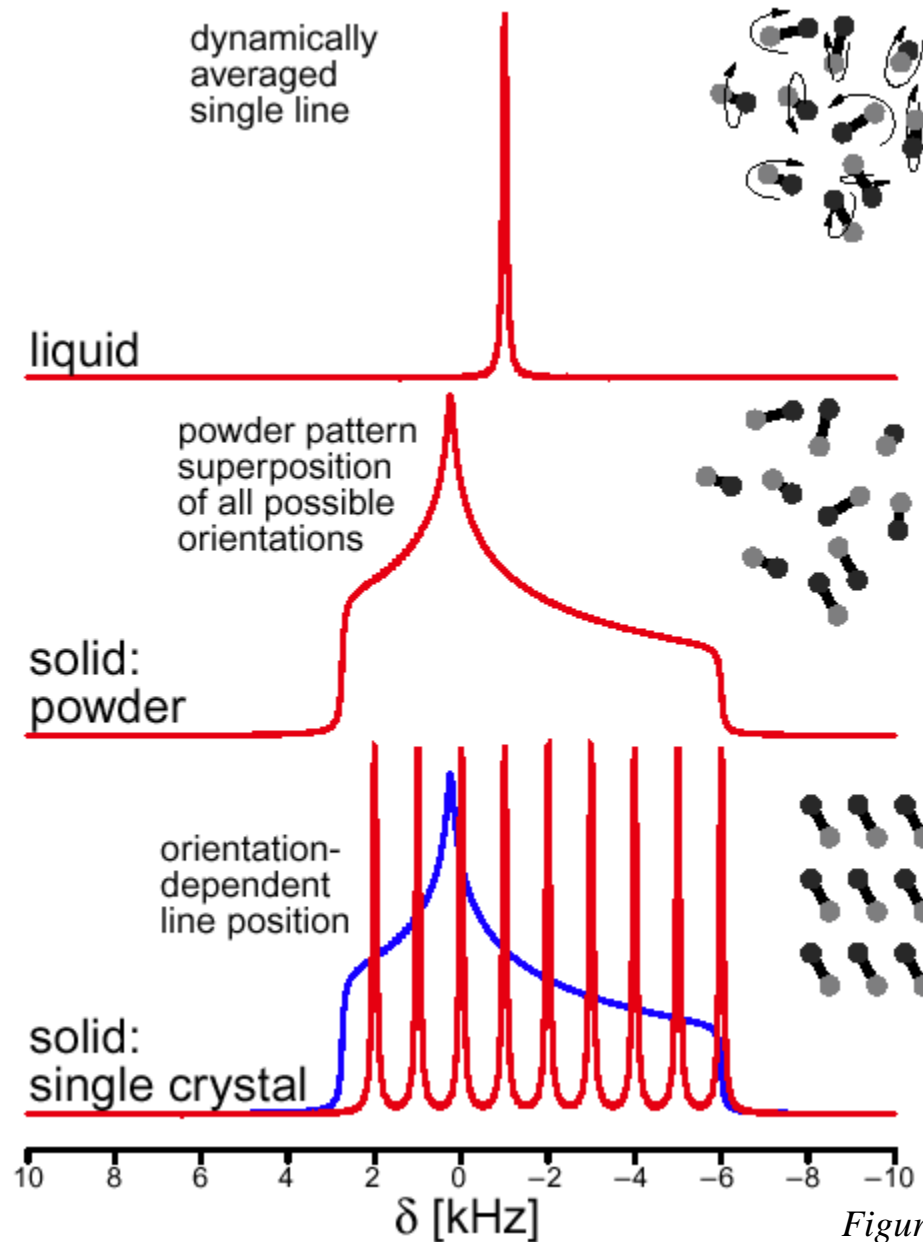


Figure courtesy: Matthias Ernst

# Nuclear Spin Interactions

Spin  $> \frac{1}{2}$ ,  $^{23}\text{Na}$ ,  $^{17}\text{O}$ .....

Spin Interactions

Spin  $\frac{1}{2}$ ,  $^1\text{H}$ ,  $^{13}\text{C}$ .....

Electric

Magnetic

Control these?!

Quadrupolar

Chemical shift

Spin-spin couplings

Isotropic  
chemical shift

Chemical shift  
anisotropy, CSA

Scalar, J-  
couplings

Dipolar

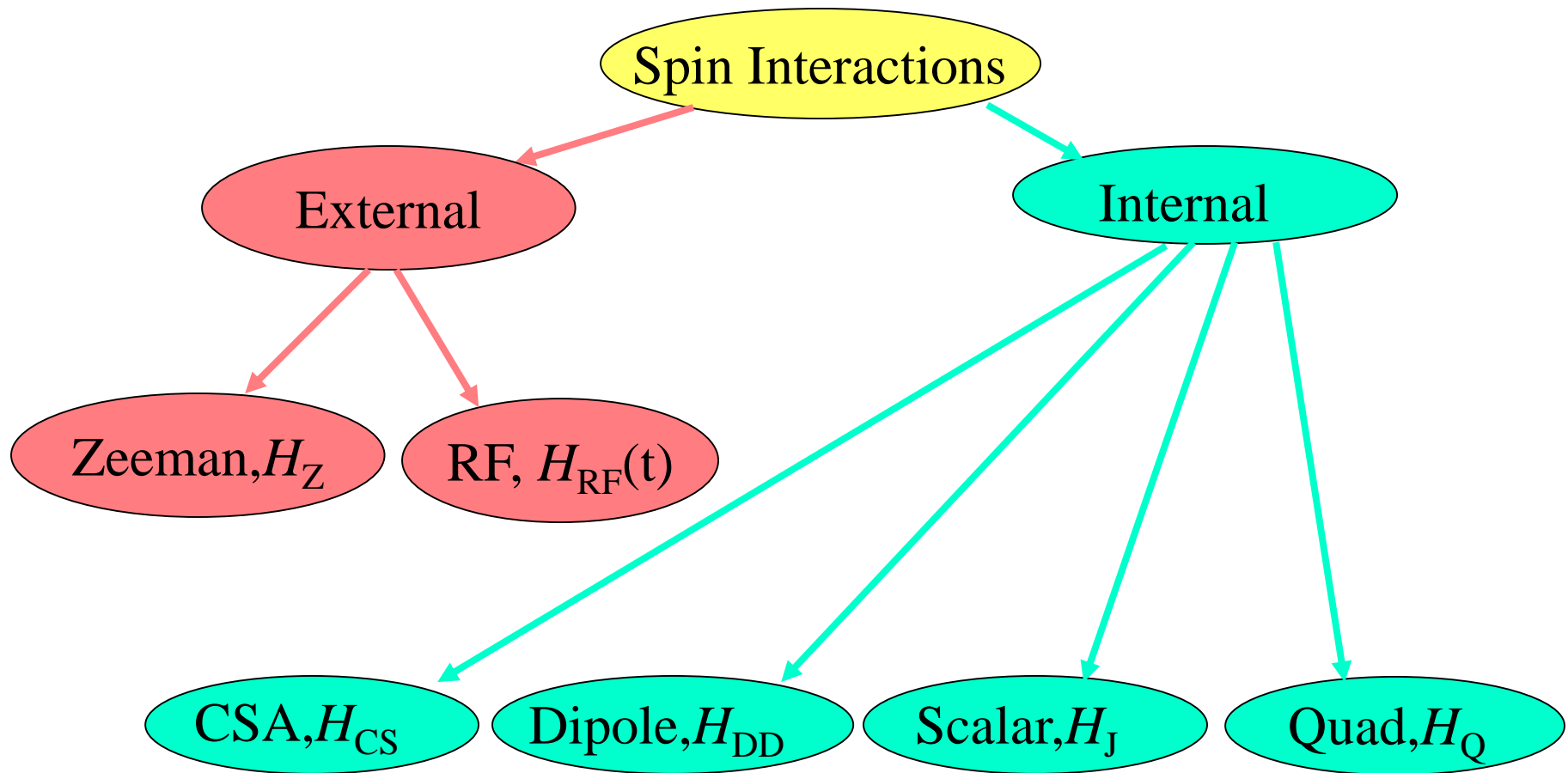
Isotropic quad.  
shift

1<sup>st</sup>, 2<sup>nd</sup> order quad.  
interaction, anisotropic

Heteronuclear

Homonuclear

# Nuclear Spin Interactions



Only the isotropic parts manifest in solution-state.



# Spin: Some History

- **Uhlenbeck and Goudsmit**: particles have “spin”, corresponding to rotation of a particle spinning around its own axis
- Spin of the electron is  $\frac{1}{2}$ : two states  $+\frac{1}{2}$ =“spin-up” and  $-\frac{1}{2}$ =“spin-down”
- This is not fully consistent from what people knew before. However, this is appropriate because spin is a quantum notion (we do not know why!)
- **Stern-Gerlach experiment**

- ✓ The beam of atoms is deflected by inhomogeneous field
- ✓ Reason: intrinsic magnetic moment (spin) of particles
- ✓ The distribution of the  $\mu$ -vector is not continuous!
- ✓ Spin is quantised!!!





# Spin

- Spin of a particle is its intrinsic angular momentum (as if the particle rotates). Honestly, nobody (**that is, I**) knows where spin comes from.
  - Spin is a very fundamental concept, which also affects the symmetry of the w.f. of a system of identical particles. Example: Pauli principle.
  - Spin is a **quantum notion**.
  - Spin operators are introduced in the **same way** as those for the angular momentum:
    - Eigen-states are  $|S, S_z\rangle$ ;  $S^2 = S(S+1)$ ,  $S_z$  varies from  $-S$  to  $S$ .
    - Commutation rules are
- $$[\hat{S}_y, \hat{S}_z] = i\hat{S}_x, [\hat{S}_z, \hat{S}_x] = i\hat{S}_y, [\hat{S}_x, \hat{S}_y] = i\hat{S}_z$$
- An important difference from angular momentum: spin can be **half-integer**
  - Spin operators are  $(2S+1) \times (2S+1)$  matrices
  - For  $S=1/2$  such matrices are related to the **Pauli matrices**

# Spin-1/2

Basis

$$\begin{aligned} |\alpha\rangle &= |\uparrow\rangle \\ |\beta\rangle &= |\downarrow\rangle \end{aligned}$$

- Spin operator can be written as

$$\hat{S} = \frac{1}{2} \hat{\sigma}, \quad \hat{\sigma}_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \hat{\sigma}_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \hat{\sigma}_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Useful relations of the Pauli matrices:

$$\begin{aligned} \hat{\sigma}_x^2 = \hat{\sigma}_y^2 = \hat{\sigma}_z^2 = \hat{e}, \quad \hat{\sigma}_y \hat{\sigma}_z = i \hat{\sigma}_x, \quad \hat{\sigma}_z \hat{\sigma}_x = i \hat{\sigma}_y, \quad \hat{\sigma}_x \hat{\sigma}_y = i \hat{\sigma}_z \\ \hat{\sigma}_i \hat{\sigma}_k + \hat{\sigma}_k \hat{\sigma}_i = 2\delta_{ik}, \quad [\hat{\sigma}_i, \hat{\sigma}_j] = 2i\epsilon_{ijk} \hat{\sigma}_k, \quad \text{Tr}\{\hat{\sigma}_i\} = 0 \end{aligned}$$

- Every 2\*2 Hermitian matrix is a linear combination of the unity matrix and the Pauli matrices

# Spin-1/2 Angular Momentum Operators

- Spin-1/2 nuclei have two Zeeman eigenstates:

$$|\alpha\rangle = \left| \frac{1}{2}, \frac{+1}{2} \right\rangle$$

$$|\beta\rangle = \left| \frac{1}{2}, \frac{-1}{2} \right\rangle$$

$$\begin{array}{l} |\alpha\rangle = |\uparrow\rangle \\ |\beta\rangle = |\downarrow\rangle \end{array}$$

- Angular momentum spin operators in the Zeeman eigenbasis:

$$I_x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad I_y = \frac{1}{2i} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad I_z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Action of spin operators on the Zeeman eigenstates:

$$I_z |\alpha\rangle = \frac{1}{2} |\alpha\rangle$$

$$I^+ |\alpha\rangle = 0$$

$$I^- |\alpha\rangle = |\beta\rangle$$

$$I_z |\beta\rangle = -\frac{1}{2} |\beta\rangle$$

$$I^+ |\beta\rangle = |\alpha\rangle$$

$$I^- |\beta\rangle = 0$$

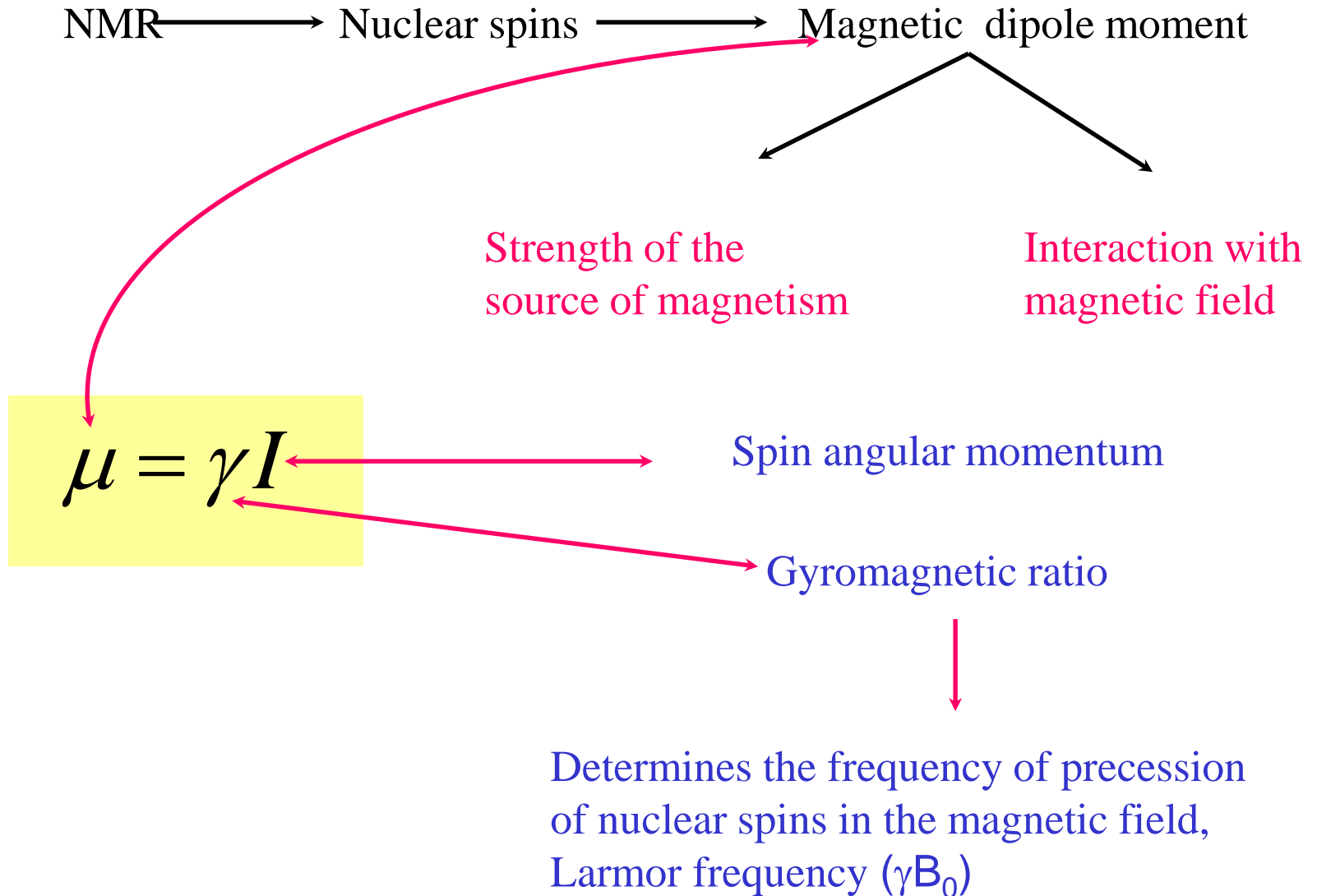
# Spin-1/2 Rotation Operators

- Rotation operators:
$$R_x(\beta) = \exp(-i\beta I_x)$$
$$R_y(\beta) = \exp(-i\beta I_y)$$
$$R_z(\beta) = \exp(-i\beta I_z)$$
- Evaluation of rotation:  $R_x(\beta)I_yR_x(-\beta) = I_y \cos \beta + [I_x, I_y] \sin \beta$
- Spin-1/2 rotation operators:

$$R_x(\beta) = \begin{pmatrix} \cos \frac{1}{2}\beta & -i \sin \frac{1}{2}\beta \\ -i \sin \frac{1}{2}\beta & -\cos \frac{1}{2}\beta \end{pmatrix} \quad R_y(\beta) = \begin{pmatrix} \cos \frac{1}{2}\beta & -\sin \frac{1}{2}\beta \\ \sin \frac{1}{2}\beta & \cos \frac{1}{2}\beta \end{pmatrix}$$

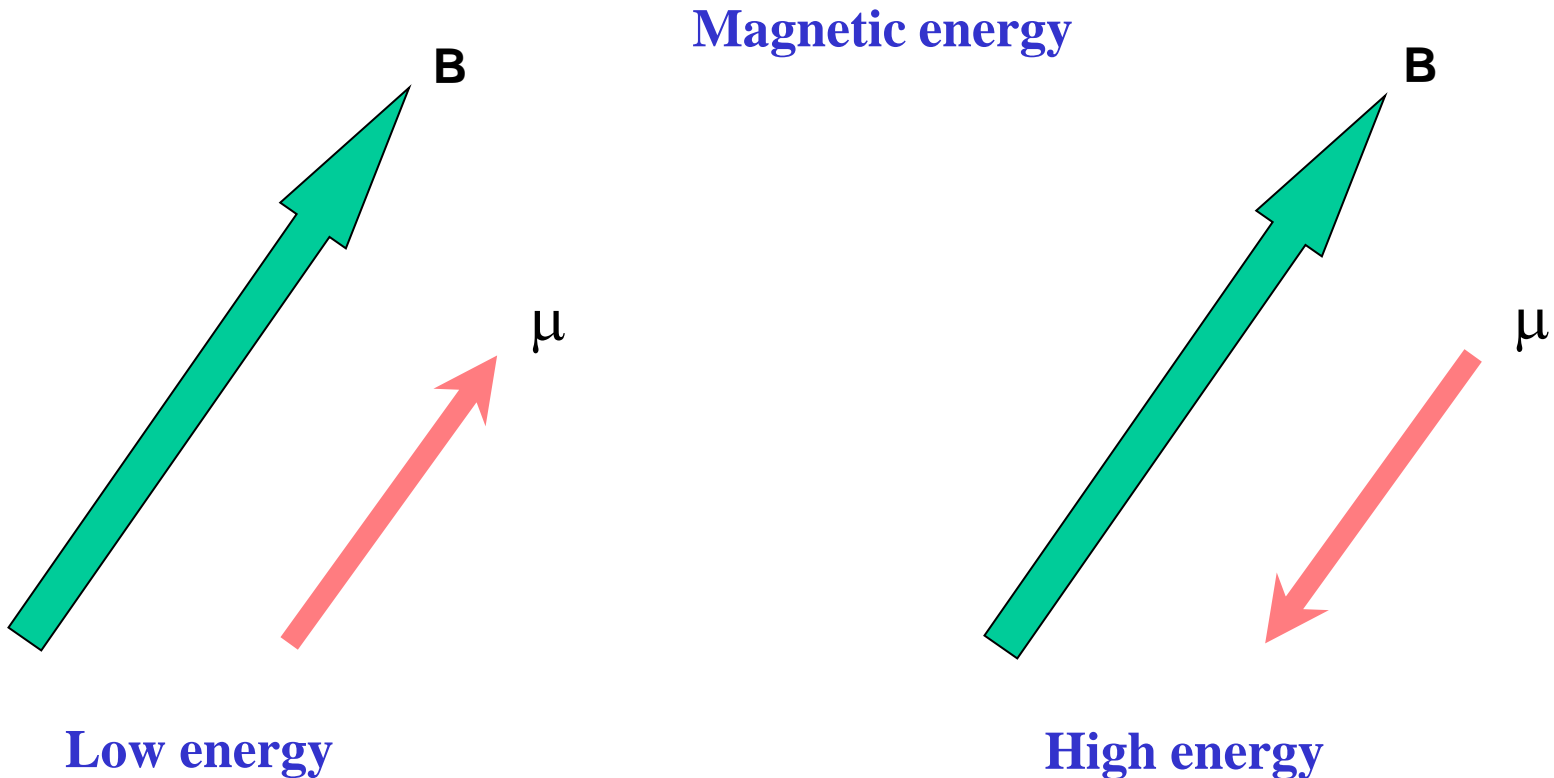
$$R_z(\beta) = \begin{pmatrix} \exp\{-i\frac{1}{2}\beta\} & 0 \\ 0 & \exp\{i\frac{1}{2}\beta\} \end{pmatrix}$$

# Spins in NMR



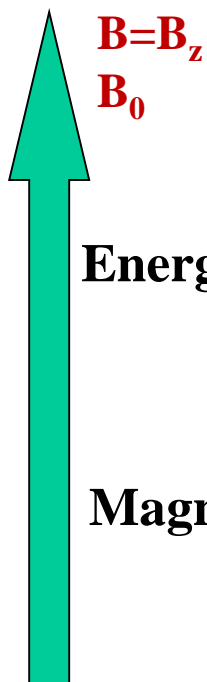
# Spins in NMR

Energy of a magnetic dipole moment in a magnetic field  $B$ :  $E = -\mu \cdot B$



# Spins and Moments in NMR

Energy of a magnetic dipole moment in a magnetic field  $B$ :  $E = -\mu \cdot B$



Energy of a magnetic dipole moment in a magnetic field  $B_0$ :  $E = -\mu_z B_0$

Magnetic moment to spin:

$$\begin{aligned}\mu &= \gamma I \\ \mu_z &= \gamma I_z \\ &= \gamma m \hbar\end{aligned}$$

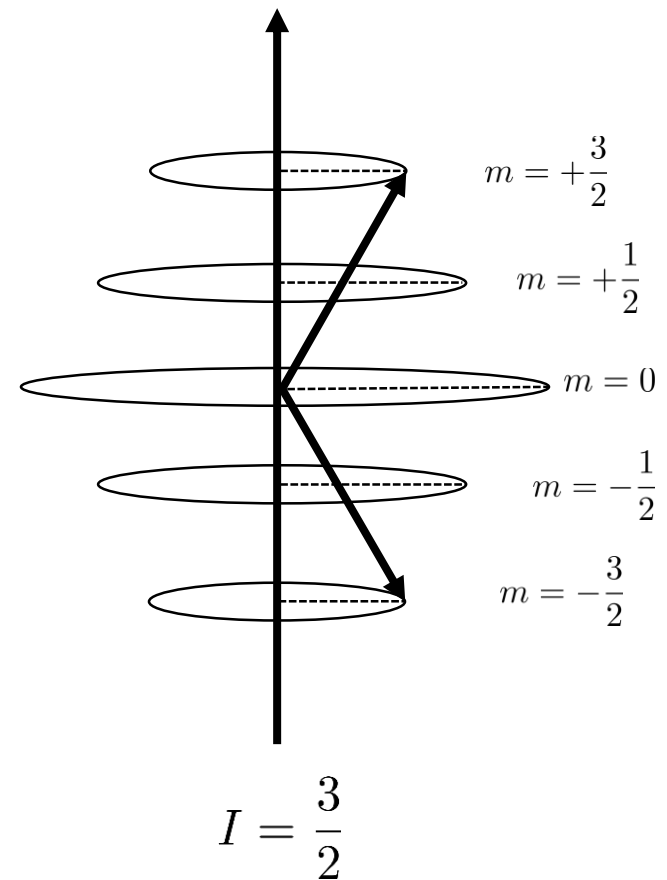
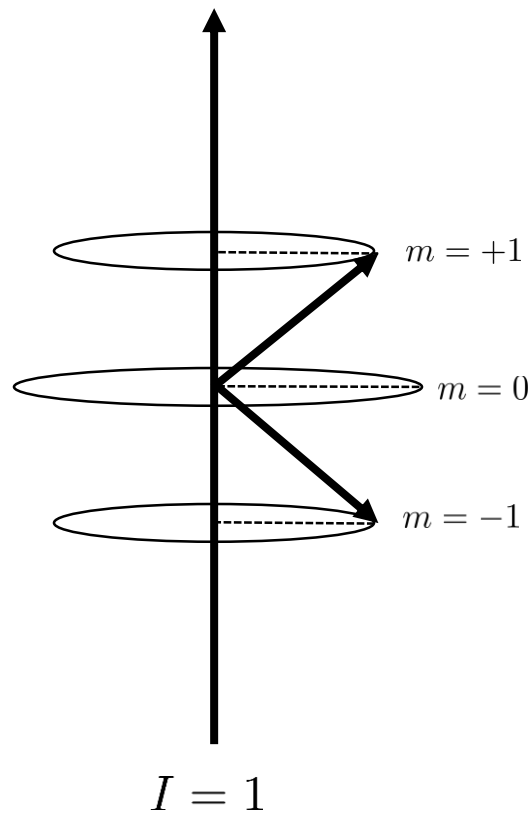
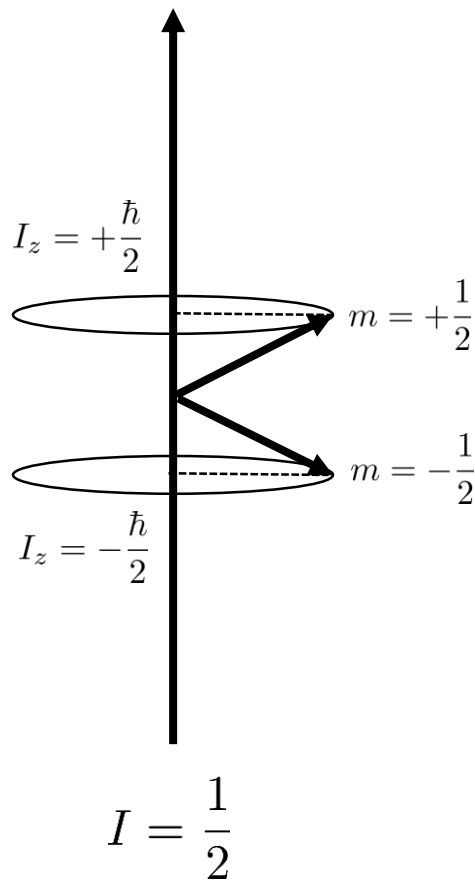
Energy of a magnetic dipole moment in a magnetic field  $B_0$ :  $E = -m \hbar \gamma B_0$

Nuclear precession frequency, Larmor frequency, in  $B_0$ :  $\omega_0 = -\gamma B_0$

# Nuclear Spin Quantum Number

Magnitude of the spin quantum number:  $L = \hbar I(I + 1)$

Projected values on to the z-axis:  $m = -I, -I + 1, -I + 2, \dots, I$





# Nuclear Spins & Magnetic Field

Positive  $\gamma$ : Clockwise rotation

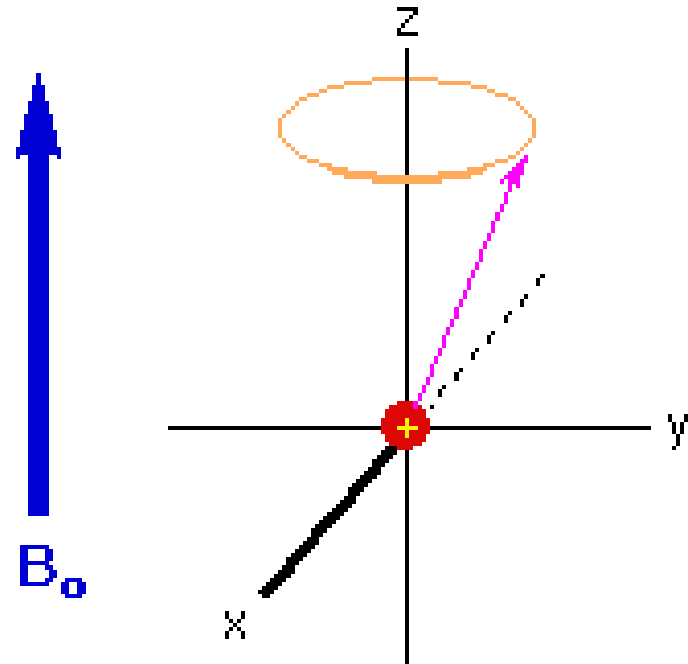
Negative  $\gamma$ : Counter-clockwise rotation

(see MHLevitt: Spin Dynamics)

$$\omega_0 = -\gamma B_0$$



A spinning gyroscope in a gravity field

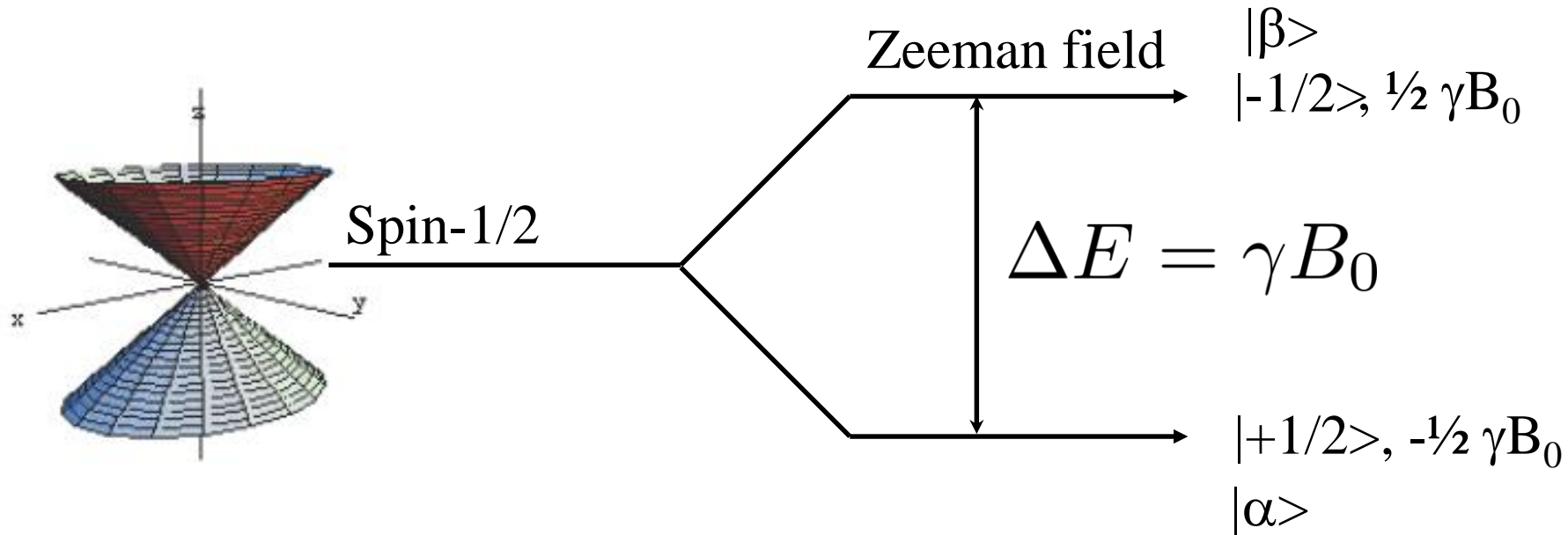


A spinning charge  
in a magnetic field

# Zeeman Interaction, External Magnetic Field

$$E = -m\hbar\gamma B_0$$

$$\omega_0 = -\gamma B_0$$



$\omega_0 = -\gamma B_0$  is called the Larmor frequency

# Equation of Motion for the Magnetic Moment

Precessional frequency of a spin:  $\omega_0 = -\gamma B_0$

Energy of a magnetic dipole moment in a magnetic field:  $E = -\mu \cdot B$

Torque associated with this energy:  $C = \mu \times B$

Torque, being the rate of change of angular momentum:  $C = \frac{dI}{dt} = \mu \times B$

Equation of motion for the magnetic moment:  $\frac{d\mu}{dt} = \gamma \mu \times B$

In the NMR context:

$$\frac{d\mu_x}{dt} = \gamma \mu_y B_0$$

$$\frac{d\mu_y}{dt} = -\gamma \mu_x B_0$$

$$\frac{d\mu_z}{dt} = 0$$

Solution:

$$\begin{aligned}\mu_x(t) &= \mu_x(0)\cos\gamma B_0 t + \mu_y(0)\sin\gamma B_0 t \\ \mu_y(t) &= -\mu_x(0)\sin\gamma B_0 t + \mu_y(0)\cos\gamma B_0 t \\ \mu_z(t) &= \mu_z(0)\end{aligned}$$

The transverse components oscillate such that:  $\mu_x^2(t) + \mu_y^2(t) = \mu_x^2(0) + \mu_y^2(0)$

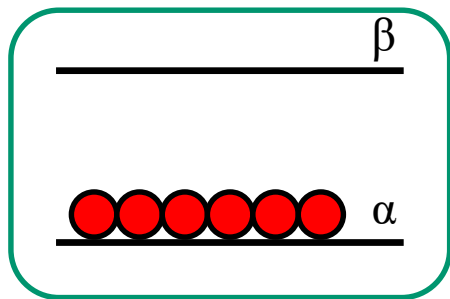
This also suggests a precessional motion of the moment with respect to the field?

# Density Matrix of a Spin-1/2 Particle

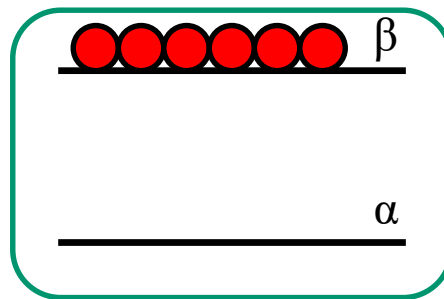
- D.m. of a spin 1/2 particle:

$$\rho = \begin{pmatrix} \rho_{\alpha\alpha} & \rho_{\alpha\beta} \\ \rho_{\beta\alpha} & \rho_{\beta\beta} \end{pmatrix}$$

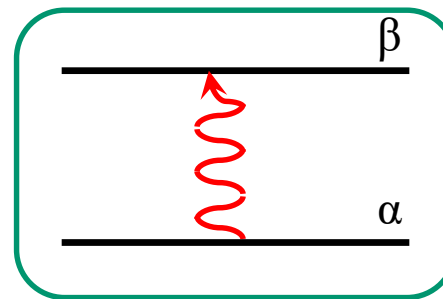
$$\rho = |\psi\rangle\langle\psi| \implies \rho^2 = \rho$$



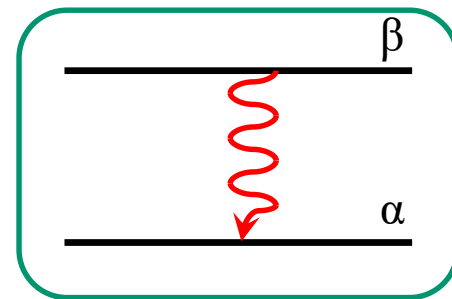
$$\rho_{\alpha\alpha}$$



$$\rho_{\beta\beta}$$



$$\rho_- = \rho_{\alpha\beta}$$



$$\rho_+ = \rho_{\beta\alpha}$$

- Physical meaning of the elements:

Diagonal elements are populations

Off-diagonal elements are coherences  $\rho_{mn}$

The trace of the d.m. is equal to 1

- The d.m. is a Hermitian matrix:  $(N^2 - 1)$  independent parameters

- The equilibrium density matrix becomes: 
$$\rho^{eq} = \begin{pmatrix} \frac{1}{2} + \frac{1}{4}B & 0 \\ 0 & \frac{1}{2} - \frac{1}{4}B \end{pmatrix}$$

$$B = \frac{\hbar\omega_o}{k_B T}$$

# Two or More Spins $\frac{1}{2}$

- The d.m. for two spins can be expressed in terms of **product operators**

$$\{\hat{E}, \hat{S}_x, \hat{S}_y, \hat{S}_z\} \otimes \{\hat{E}, \hat{I}_x, \hat{I}_y, \hat{I}_z\} = \left\{ \begin{array}{l} \hat{E}; \hat{S}_x, \hat{S}_y, \hat{S}_z; \hat{I}_x, \hat{I}_y, \hat{I}_z; \hat{S}_x \hat{I}_x, \hat{S}_y \hat{I}_x, \hat{S}_z \hat{I}_x, \\ \hat{S}_x \hat{I}_y, \hat{S}_y \hat{I}_y, \hat{S}_z \hat{I}_y, \hat{S}_x \hat{I}_z, \hat{S}_y \hat{I}_z, \hat{S}_z \hat{I}_z \end{array} \right\}$$

- Each product operator is now a 4\*4 matrix; likewise, the Hamiltonian is a 4\*4 matrix and it is expressed via the product operators
- What is the direct product (Kronecker product)?

$$\hat{A} \otimes \hat{B} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \otimes \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} = \begin{pmatrix} a_{11}\hat{B} & a_{12}\hat{B} \\ a_{21}\hat{B} & a_{22}\hat{B} \end{pmatrix} = \begin{pmatrix} a_{11}b_{11} & a_{11}b_{12} & a_{12}b_{11} & a_{12}b_{12} \\ a_{11}b_{21} & a_{11}b_{22} & a_{12}b_{21} & a_{12}b_{22} \\ a_{21}b_{11} & a_{21}b_{12} & a_{22}b_{11} & a_{22}b_{12} \\ a_{21}b_{21} & a_{21}b_{22} & a_{22}b_{21} & a_{22}b_{22} \end{pmatrix}$$

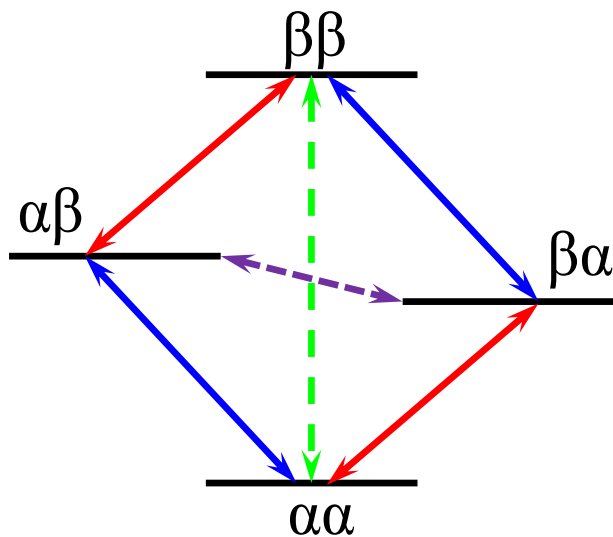
- Example with 2 spins:
 
$$\hat{S}_x = \hat{S}_x \otimes \hat{E} = \begin{pmatrix} 0 & 1/2 \\ 1/2 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

- Other operators can be constructed in the same way. More spins: use direct products of spin operators

# Two Spins- $\frac{1}{2}$

- Relation between populations/coherences and d.m. elements

Energy level diagram



Density matrix

	$\alpha\alpha$	$\alpha\beta$	$\beta\alpha$	$\beta\beta$
$\alpha\alpha$	$p_{\alpha\alpha}$	SQC	SQC	DQC
$\alpha\beta$	SQC	$p_{\alpha\beta}$	ZQC	SQC
$\beta\alpha$	SQC	ZQC	$p_{\beta\alpha}$	SQC
$\beta\beta$	DQC	SQC	SQC	$p_{\beta\beta}$

- SQCs are given by  $S_x, S_y, S_x I_z, S_y I_z, I_x, I_y, S_z I_x, S_z I_y$
- DQCs and ZQCs are given by combinations of  $S_x I_x, S_y I_y, S_x I_y, S_y I_x$
- We can directly measure only transverse magnetization  $S_x, S_y, I_x, I_y$
- Other operators cannot be observed directly, but they affect the signal
- Coherence order for  $\rho_{mn}$ :  $p_{mn} = M(|m\rangle) - M(|n\rangle)$

# Evolution of the Density Matrix

- The S.e. in the bra and ket representations is

$$\frac{\partial}{\partial t}|\Psi\rangle = -\frac{i}{\hbar}\hat{H}|\Psi\rangle, \quad \frac{\partial}{\partial t}\langle\Psi| = \frac{i}{\hbar}\langle\Psi|\hat{H}$$

- The equation for the d.m. is as follows:

$$\frac{\partial}{\partial t}\hat{\rho} = \frac{\partial}{\partial t}\{|\Psi\rangle\langle\Psi|\} = \left\{\frac{\partial}{\partial t}|\Psi\rangle\right\}\langle\Psi| + |\Psi\rangle\left\{\frac{\partial}{\partial t}\langle\Psi|\right\} = -\frac{i}{\hbar}[\hat{H}, \hat{\rho}]$$

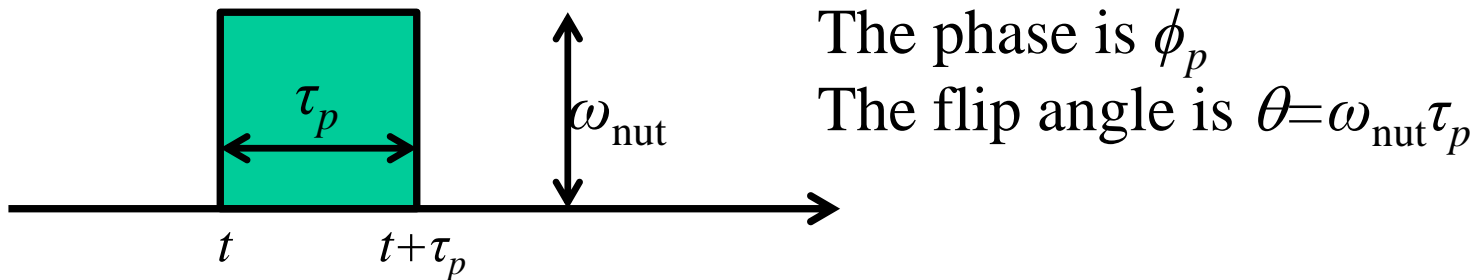
**Liouville-von Neumann equation:**  $\rho(t) = \exp(-\frac{i}{\hbar}Ht)\rho_0 \exp(\frac{i}{\hbar}Ht)$

$$\rho(t) = U(t)\rho(0)U^{-1}t$$

- U is the propagator, time dependent, and unitary
- The solution is simple for a time-independent Hamiltonian:
- For a time-dependent Hamiltonian we solve the equation numerically in small time steps or use some tricks

# RF-Pulses

- What happens to the d.m. (magnetization) when we apply a pulse?



- The wave function and density matrix after the pulse
 
$$|\psi_{after}\rangle = \hat{R}_{\phi_p}(\theta) |\psi_{before}\rangle; \quad |\psi_{after}\rangle = |\psi_{before}\rangle \hat{R}_{\phi_p}(-\theta)$$

$$\hat{\rho}_{after} = \hat{R}_{\phi_p}(\theta) \rho_{before} \hat{R}_{\phi_p}(-\theta)$$
- The action of a strong pulse is equivalent to a rotation (we assume that only the  $B_1$ -term is relevant)

$$\begin{aligned} \hat{R}_{\phi_p}(\theta) &= \exp[-i\omega_{nut}\tau_p(\cos\phi_p\hat{S}_x + \sin\phi_p\hat{S}_y)] \\ &= \exp[-i\theta(\cos\phi_p\hat{S}_x + \sin\phi_p\hat{S}_y)] \end{aligned}$$

- A  $\pi/2$ -pulse generates a coherence, a  $\pi$ -pulse inverts the populations

$$\hat{R}_{\phi_p}(\pi/2)\hat{S}_z\hat{R}_{\phi_p}(-\pi/2) = -\hat{S}_y, \quad \hat{R}_{\phi_p}(\pi)\hat{S}_z\hat{R}_{\phi_p}(-\pi) = -\hat{S}_z$$



# Sandwich Relationships

- Is there a simple way to calculate the effect of pulses?
- Three cyclically commuting operators:

$$[\hat{A}, \hat{B}] = i\hat{C}, \quad [\hat{C}, \hat{A}] = i\hat{B}, \quad [\hat{B}, \hat{C}] = i\hat{A}$$

- Example:

$$[\hat{S}_y, \hat{S}_z] = i\hat{S}_x, \quad [\hat{S}_z, \hat{S}_x] = i\hat{S}_y, \quad [\hat{S}_x, \hat{S}_y] = i\hat{S}_z$$

- The following relation is then true:

$$\exp[-i\theta\hat{A}]\hat{B}\exp[i\theta\hat{A}] = \cos\theta\hat{B} + \sin\theta\hat{C}$$

- A, B, C are like the axis of our 3D-space; we “rotate” B “around” A by the angle  $\theta$ . Cyclic permutations provide two more relations

$$\exp[-i\theta\hat{B}]\hat{C}\exp[i\theta\hat{B}] = \cos\theta\hat{C} + \sin\theta\hat{A}$$

$$\exp[-i\theta\hat{C}]\hat{A}\exp[i\theta\hat{C}] = \cos\theta\hat{A} + \sin\theta\hat{B}$$

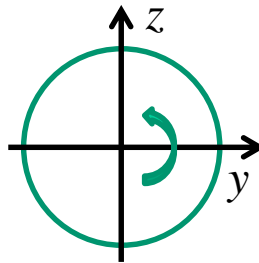
- Of course, these rules apply to the spin operators
- RF-pulses give  $x$  and  $y$ -rotations. Free precession gives a  $z$ -rotation by a time-dependent angle  $\omega t$

See M. H. Levitt, “Spin Dynamics”, cyclic commutation

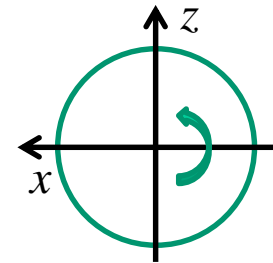
# Phase of the Pulse

- Different phases

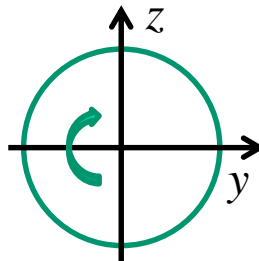
$x$ -pulse,  $\phi_p=0$



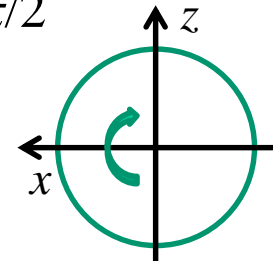
$y$ -pulse,  $\phi_p=\pi/2$



$-x$ -pulse,  $\phi_p=\pi$



$-y$ -pulse,  $\phi_p=2\pi/2$

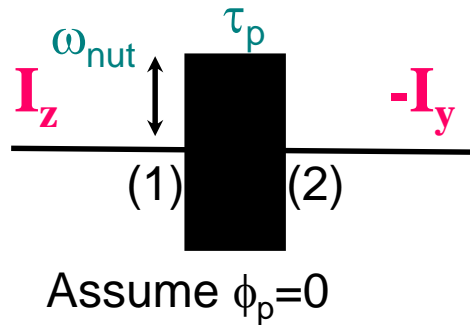


- Pulse of a general phase is a combination of three rotations

$$\hat{R}_{\phi_p}(\theta) = \exp[-i\theta(\cos\phi_p\hat{S}_x + \sin\phi_p\hat{S}_y)] = \hat{R}_z(\phi_p)\hat{R}_x(\theta)\hat{R}_z(-\phi_p)$$

- Rotation about  $z$  turns the  $\{x,y\}$  axes; then a pulse is turning the spins around the new  $x$ ; finally, we return to the original frame
- Free precession is just a  $z$ -rotation

# Representation of x-Pulse



Pulse characteristics:

Frequency,  $\omega_{\text{ref}}$

Phase,  $\phi_p$

Amplitude,  $\omega_{\text{nut}}$

Flip angle of the pulse:  $\theta = \omega_{\text{nut}}\tau_p$

• Pulse and density matrix:

$$\rho^{eq} = \begin{pmatrix} \frac{1}{2} + \frac{1}{4}B & 0 \\ 0 & \frac{1}{2} - \frac{1}{4}B \end{pmatrix}$$

↓

$$\rho = \begin{pmatrix} \frac{1}{2} & -\frac{B}{4} \\ \frac{B}{4} & \frac{1}{2} \end{pmatrix}$$

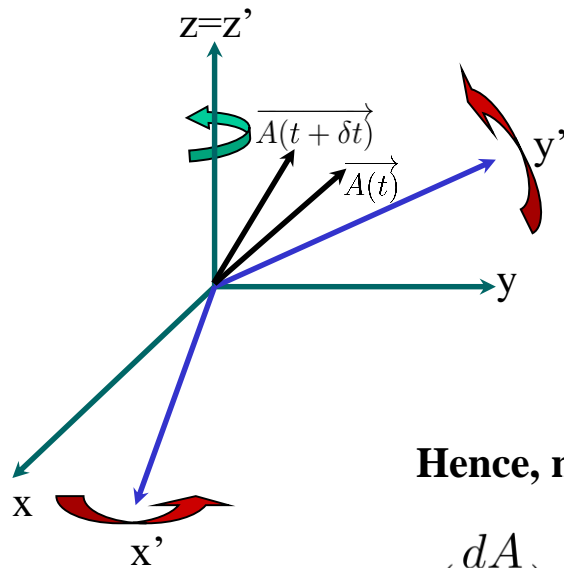
$R_x\left(\frac{\pi}{2}\right)$

- Pulse equalises the populations of the two states
- Pulse converts the population difference into coherences

# Rotating Frame Transformation

Equation of motion for the magnetic moment:

$$\frac{d\mu}{dt} = \mu \times (\gamma B_0)$$



Rotating coordinate system:

$$A(t) = i' A_{x'} + j' A_{y'} + k' A_{z'}$$

With  $i'$ ,  $j'$ , and  $k'$ , rotating with an angular velocity  $\omega$ :  $\frac{di'}{dt} = \omega \times i'$

Hence, motion as viewed from the lab frame:

$$\begin{aligned} \left(\frac{dA}{dt}\right)_{lab} &= i' \frac{dA_{x'}}{dt} + j' \frac{dA_{y'}}{dt} + k' \frac{dA_{z'}}{dt} + A_{x'} \frac{di'}{dt} + A_{y'} \frac{dj'}{dt} + A_{z'} \frac{dk'}{dt} \\ &= \underbrace{i' \frac{dA_{x'}}{dt} + j' \frac{dA_{y'}}{dt} + k' \frac{dA_{z'}}{dt}}_{\left(\frac{\partial A}{\partial t}\right)_{rot}} + \underbrace{\omega \times (i' A_{x'} + j' A_{y'} + k' A_{z'})}_{\omega \times A} \end{aligned}$$

Time rate of change of  $A$  wrt to  $i'$ ,  $j'$ ,  $k'$   $\left(\frac{\partial A}{\partial t}\right)_{rot}$

$$\omega \times A$$

All the above imply:

$$\left(\frac{dA}{dt}\right)_{lab} = \left(\frac{\partial A}{\partial t}\right)_{rot} + \omega \times A$$

# Rotating Frame Transformation

Motion between lab and rotating frames: 
$$\left(\frac{dA}{dt}\right)_{lab} = \left(\frac{\partial A}{\partial t}\right)_{rot} + \omega \times A$$

Translating all these to magnetic moments: 
$$\left(\frac{d\mu}{dt}\right)_{lab} = \left(\frac{\partial \mu}{\partial t}\right)_{rot} + \omega \times \mu$$

This implies: 
$$\left(\frac{\partial \mu}{\partial t}\right)_{rot} = \mu \times (\gamma B_0 + \omega)$$

This is the motion of  $\mu$  in the rotating system, which is the same as in the lab frame, with  $B_0$  replaced by an effective field:  $B_{eff} = B_0 + \frac{\omega}{\gamma}$

# Rotating Frame Transformation: Consequences

Motion between lab and rotating frames:

$$\left(\frac{dA}{dt}\right)_{lab} = \left(\frac{\partial A}{\partial t}\right)_{rot} + \omega \times A$$

Translating all these to magnetic moments:

$$\left(\frac{d\mu}{dt}\right)_{lab} = \left(\frac{\partial \mu}{\partial t}\right)_{rot} + \omega \times \mu$$

This implies:

$$\left(\frac{\partial \mu}{\partial t}\right)_{rot} = \mu \times (\gamma B_0 + \omega)$$

This is the motion of  $m$  in the rotating system, which is the same as in the lab frame, with  $B_0$  replaced by an effective field:  $B_{eff} = B_0 + \frac{\omega}{\gamma}$

If  $\omega = \omega_0 = -\gamma B_0$ :

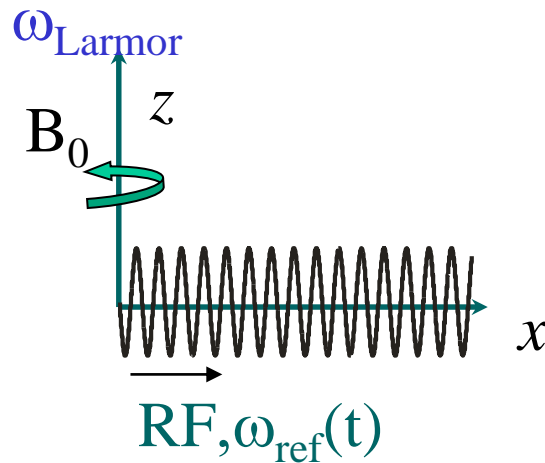
$$\left(\frac{\partial \mu}{\partial t}\right)_{rot} = 0$$

No motion relative to the rotating frame.

The magnetic moment precesses with an angular velocity  $\omega_0$  relative to the lab frame about the z-axis:

- Equation of the magnetic moment in the rotating frame is simplified, the moment is static.
- The motion of the moment in the lab frame is a precession about  $B_0$ .

# Radiofrequency Pulses

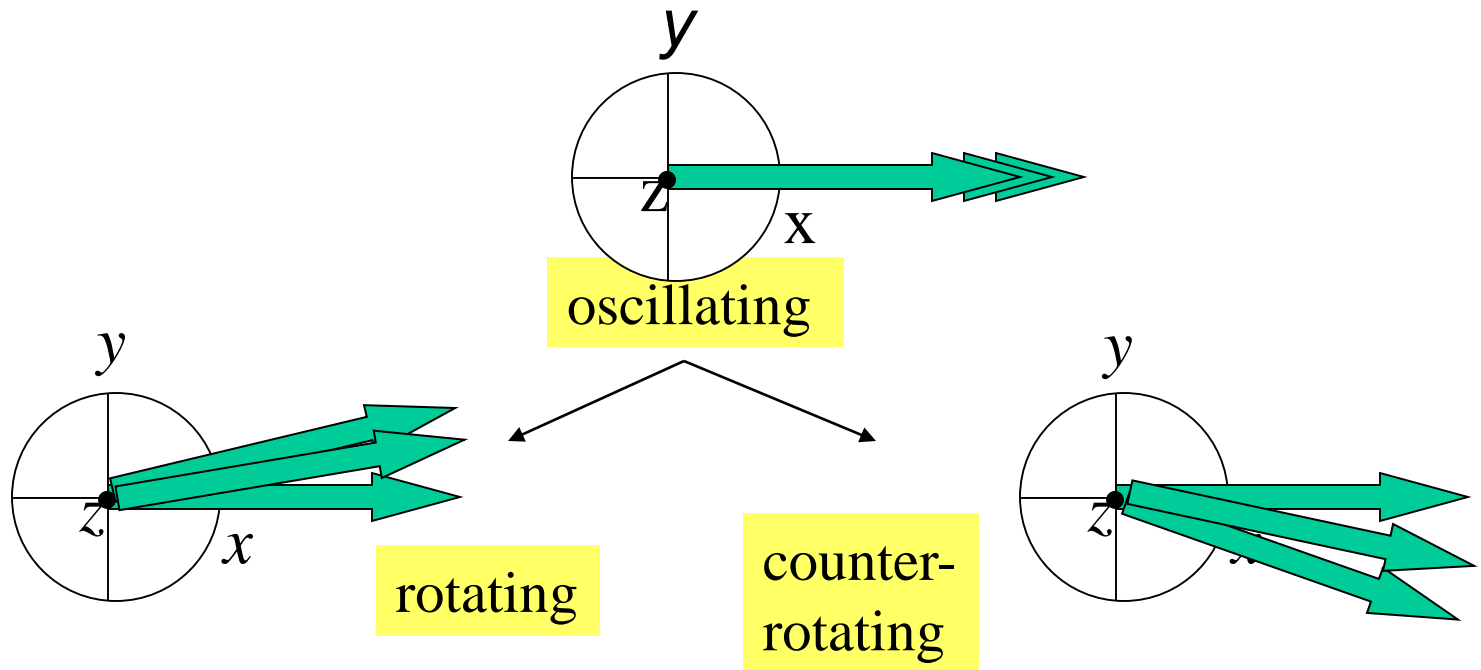


$$B_{RF}(t) = B_{RF} \cos(\omega_{\text{ref}} t + \phi_p) e_x$$

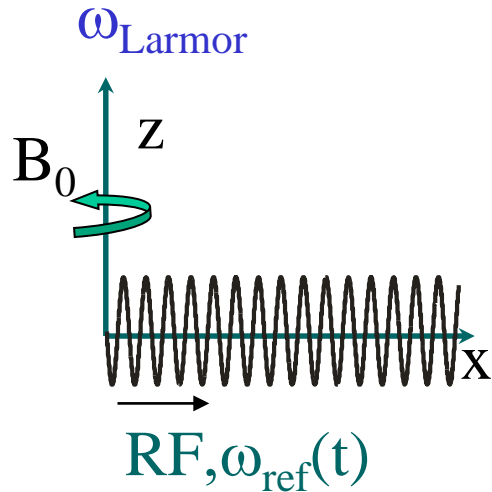
$$B_{RF}(t) = B_{RF}^{\text{res}}(t) + B_{RF}^{\text{non-res}}(t)$$

$$B_{RF}^{\text{res}}(t) = \frac{1}{2} B_{RF} \{ \cos(\omega_{\text{ref}} t + \phi_p) e_x + \sin(\omega_{\text{ref}} t + \phi_p) e_y \}$$

$$B_{RF}^{\text{non-res}}(t) = \frac{1}{2} B_{RF} \{ \cos(\omega_{\text{ref}} t + \phi_p) e_x - \sin(\omega_{\text{ref}} t + \phi_p) e_y \}$$



# Radiofrequency Hamiltonian



$$B_{RF}^{res}(t) = \frac{1}{2} B_{RF} \{ \cos(\omega_{ref}t + \phi_p) e_x + \sin(\omega_{ref}t + \phi_p) e_y \}$$

**Transverse part of the RF Hamiltonian:**

$$H_{RF}(t) = -\frac{1}{2} \gamma B_{RF} \{ \cos(\omega_{ref}t + \phi_p) I_x + \sin(\omega_{ref}t + \phi_p) I_y \}$$

$$\omega_{nut} = \frac{1}{2} \gamma B_{RF} \quad \text{100 kHz corresponds to 10 } \mu\text{s of 360 pulse}$$

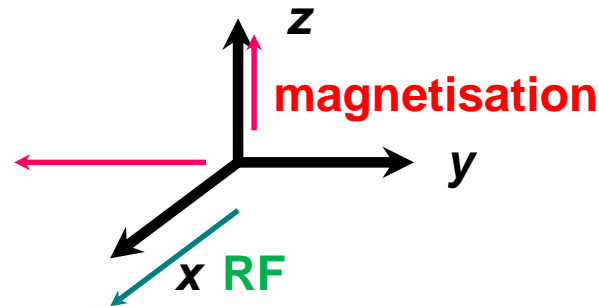
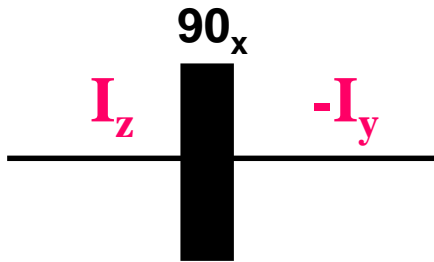
$$\tau_{90} = \frac{1}{4\omega_{nut}}$$

$$H_{RF} = -\frac{1}{2} \gamma B_{RF} R_z(\Phi_p) I_x R_z(-\Phi_p)$$

$$\Phi_p(t) = \omega_{ref}t + \phi_{ref}$$



# Radiofrequency Hamiltonian: Pulses



RF along the  $x$ -axis, equilibrium magnetisation along the  $z$ -axis:

$$e^{-i\frac{\pi}{2}I_x}I_z e^{i\frac{\pi}{2}I_x} = I_z \cos\left(\frac{\pi}{2}\right) + [I_x, I_z] \sin\left(\frac{\pi}{2}\right)$$

$$= -I_y$$

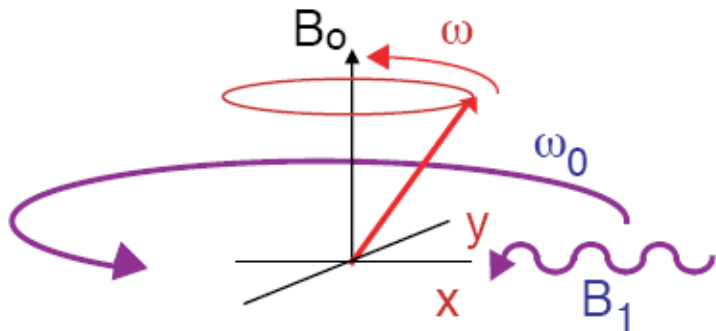
$$\omega_{nut} = \frac{1}{2} \gamma B_{RF}$$

RF field strength (peak RF field in the coil), typically 1-200 kHz, also called nutation frequency

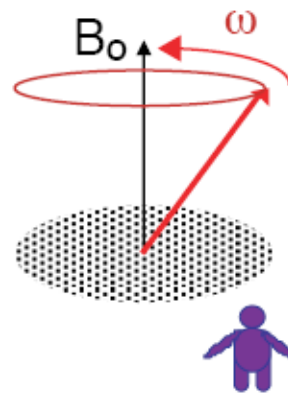
$$\tau_{90} = \frac{1}{4\omega_{nut}}$$

This field strength corresponds to the nutation of the spins by  $2\pi$  radian

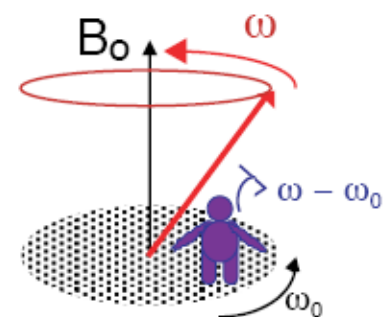
# Rotating Frame Transformation



Static  $B_0$  field and rotating  $B_1$  field



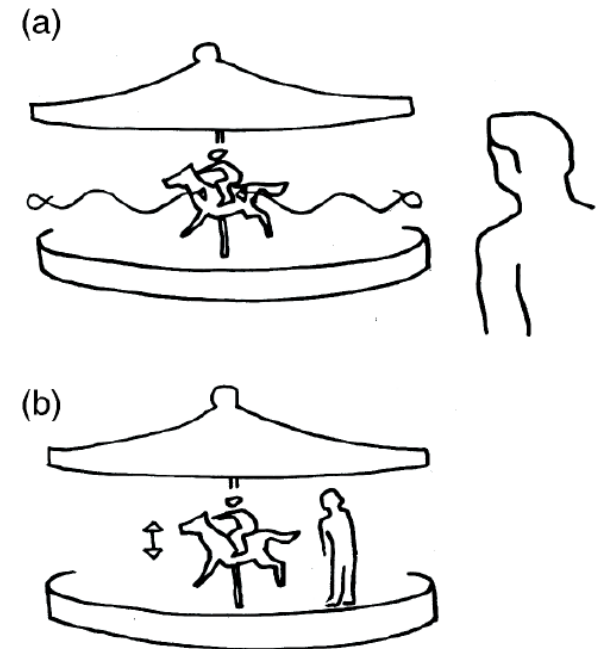
Laboratory frame frequencies



Rotating frame frequencies

# Rotating Frame Transformation

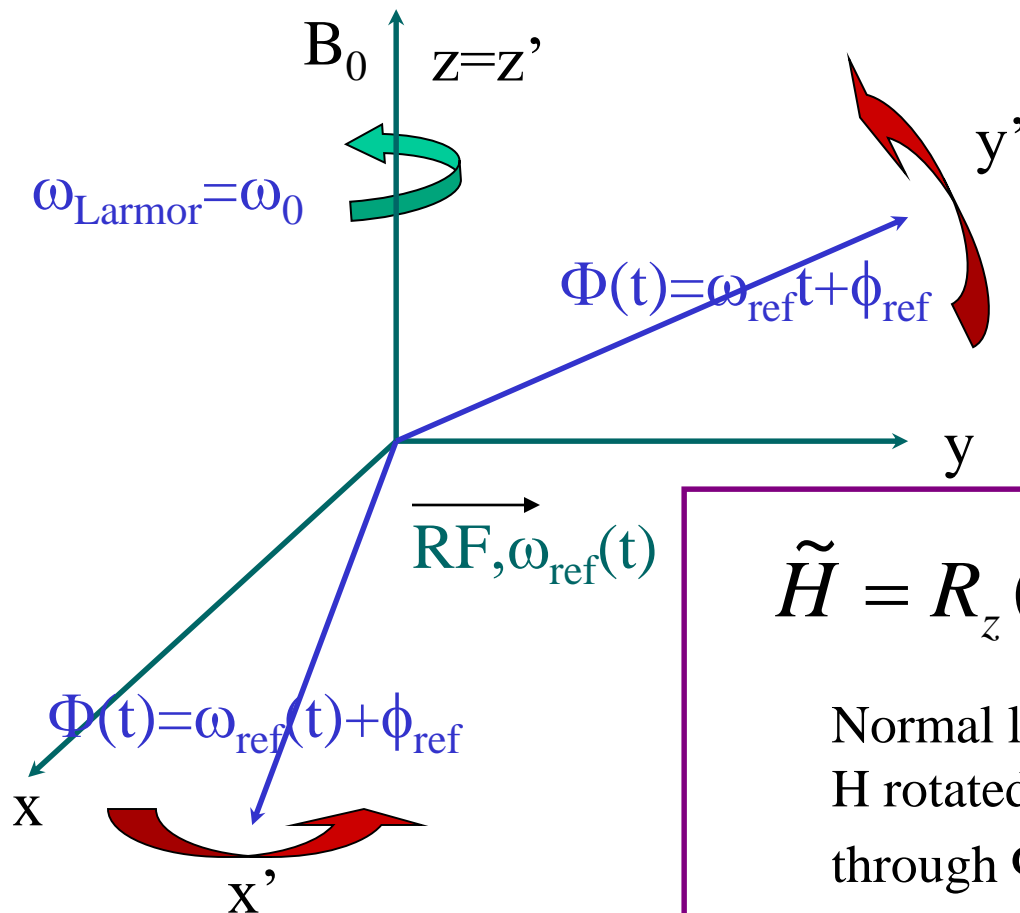
A good analogy would be a child on a merry-go-round in which the horse that the child is riding going up and down. For an observer standing outside (a) there are two motions to see – the spinning of the merry-go-round and the up and down motion. But if the observer hops on to the merry-go-round (b) then **the spinning motion is subtracted** and only the up and down motion is observed – simplifying the details of the motion.



# Rotating Frame Transformation

$H(t)$  Clever manipulation  
Change reference frame  $\longrightarrow$   $H$  (no time dependence!!)

$$|\psi\rangle = R_z(-\Phi) |\psi'\rangle$$



$$\tilde{H} = R_z(-\Phi) H R_z(\Phi) - \omega_{\text{ref}} I_z$$

Normal lab frame  
 $H$  rotated around  $z$   
 through  $\Phi$

Gives additional  
 corrections to the  
 spin dynamics

# Rotating Frame Transformation: Consequences

## Zeeman Hamiltonian

$$H_z = \omega_0 I_z \xrightarrow{\text{rot. frame}} \tilde{H}_z = \omega_0 R_z(-\Phi) I_z R_z(\Phi) - \omega_{\text{ref}} I_z \\ = (\omega_0 - \omega_{\text{ref}}) I_z = \Omega_0 I_z$$

off-resonance, off-set frequency

## RF Hamiltonian

$$H_{\text{RF}} \xrightarrow{\text{rot. frame}} \tilde{H}_{\text{RF}} = -\frac{1}{2} \gamma B_{\text{RF}} R_z(-\Phi + \Phi_p) I_x R_z(\Phi - \Phi_p) \\ \tilde{H}_{\text{RF}} = -\frac{1}{2} \gamma B_{\text{RF}} R_z(-\phi_{\text{ref}} + \phi_p) I_x R_z(\phi_{\text{ref}} - \phi_p)$$

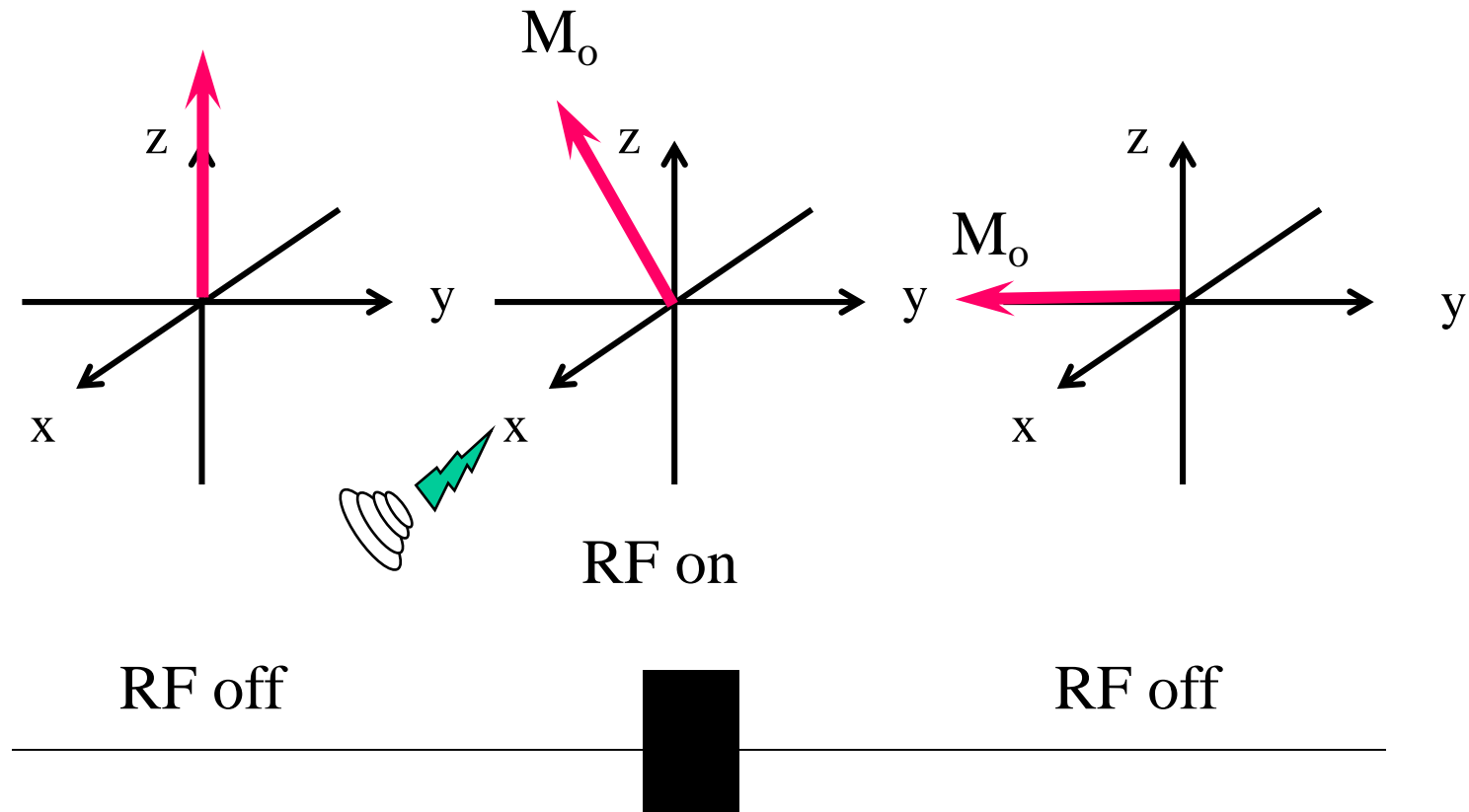
$\Phi = \omega_{\text{ref}} t + \phi_{\text{ref}}$   
 $\Phi_p = \omega_{\text{ref}} t + \phi_p$

*No more time dependence!*

Choosing  $\phi_{\text{ref}} = \pi$  ( $\gamma > 0$ ), and  $\phi_{\text{ref}} = 0$  ( $\gamma < 0$ )

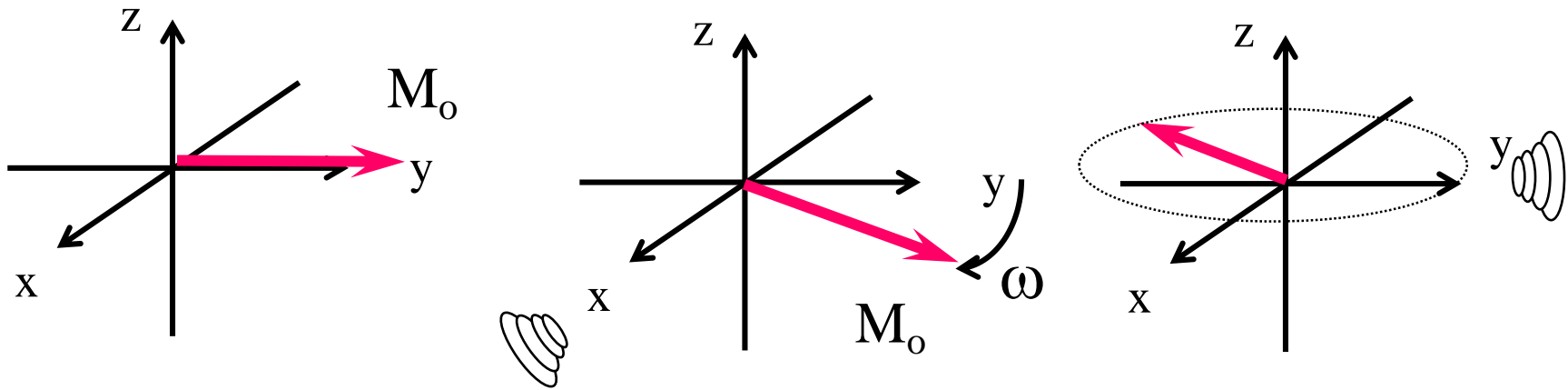
$$\tilde{H}_{\text{RF}} = \omega_{\text{nut}} (I_x \cos \phi_p + I_y \sin \phi_p)$$

# Typical Experiment in NMR: RF Pulse

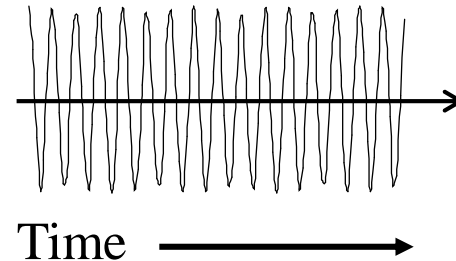


Effect of a 90° x pulse

# After the Pulse: Nuclear Spin Evolution



RF receivers pick up  
the signals

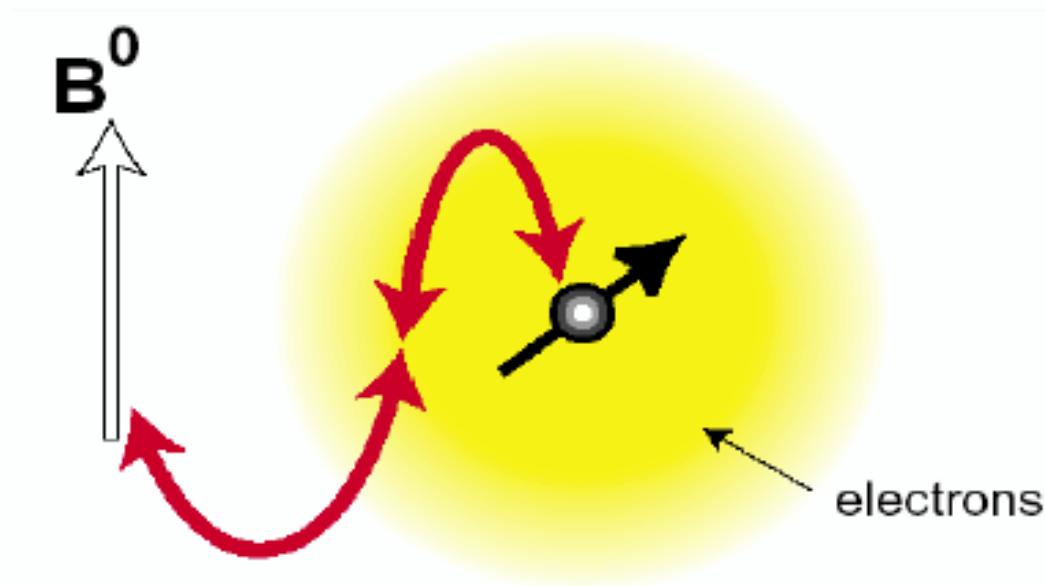


The spins precess in the *xy* plane and relax to the equilibrium value, **free induction decay**

## **Some Hamiltonians and Their Representations**



# Chemical-Shift Anisotropy Hamiltonian



CSA interaction: Indirect magnetic interaction between the external field and the nuclear spins through the electron cloud at each nuclear spin site

Information about the local environment of the nuclear spins

# Chemical-Shift Anisotropy Hamiltonian

$$\mathbf{B}_{local}^j = \mathbf{B}_0 + \mathbf{B}_{induced}^j$$

$$\mathbf{B}_{induced}^j = \boldsymbol{\delta}^j \cdot \mathbf{B}_0$$

$\boldsymbol{\delta}^j$  is the CSA tensor at the nuclear spin site  $j$

$$\boldsymbol{\delta}^j = \begin{pmatrix} \delta_{xx}^j & \delta_{xy}^j & \delta_{xz}^j \\ \delta_{yx}^j & \delta_{yy}^j & \delta_{yz}^j \\ \delta_{zx}^j & \delta_{zy}^j & \delta_{zz}^j \end{pmatrix}$$

The chemical-shift tensor

Induced field is not always parallel to the Zeeman field

Only terms relevant, since the static field is along the  $z$  direction

# Chemical-Shift Anisotropy Hamiltonian

$$\mathbf{B}_{induced}^j = \delta^j \cdot \mathbf{B}_0$$

The CS Hamiltonian is orientation dependent

$$\begin{aligned} H_{CS}^j &= -\mu_j \cdot \mathbf{B}_{induced}^j \\ &= -\gamma_j \delta_{xz}^j (\Theta) B_0 I_{jx} - \gamma_j \delta_{yz}^j (\Theta) B_0 I_{jy} - \gamma_j \delta_{zz}^j (\Theta) B_0 I_{jz} \end{aligned}$$

Orientation of the molecule with respect to  $B_0$  and  
the position of the nuclear spin within the molecule

Secular approximation:

$$H_{CS}^j = -\gamma_j \delta_{zz}^j (\Theta) B_0 I_{jz}$$

# Chemical-Shift Anisotropy Hamiltonian

$$H_{CS}^j = -\gamma_j \delta_{zz}^j(\Theta) B_0 I_{jz}$$

In liquids, perform an orientational average:

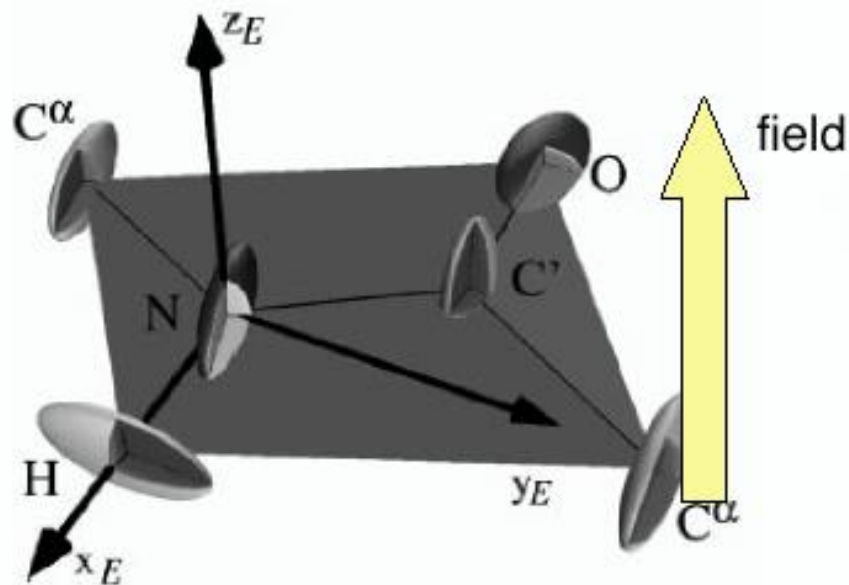
$$H_0^j = H_{static}^j + H_{iso-CS}^j = \omega_0^j I_{jz}$$

The chemically-shifted Larmor frequency  $\omega_0^j = -\gamma_j B_0 (1 + \delta_{iso-CS}^j)$

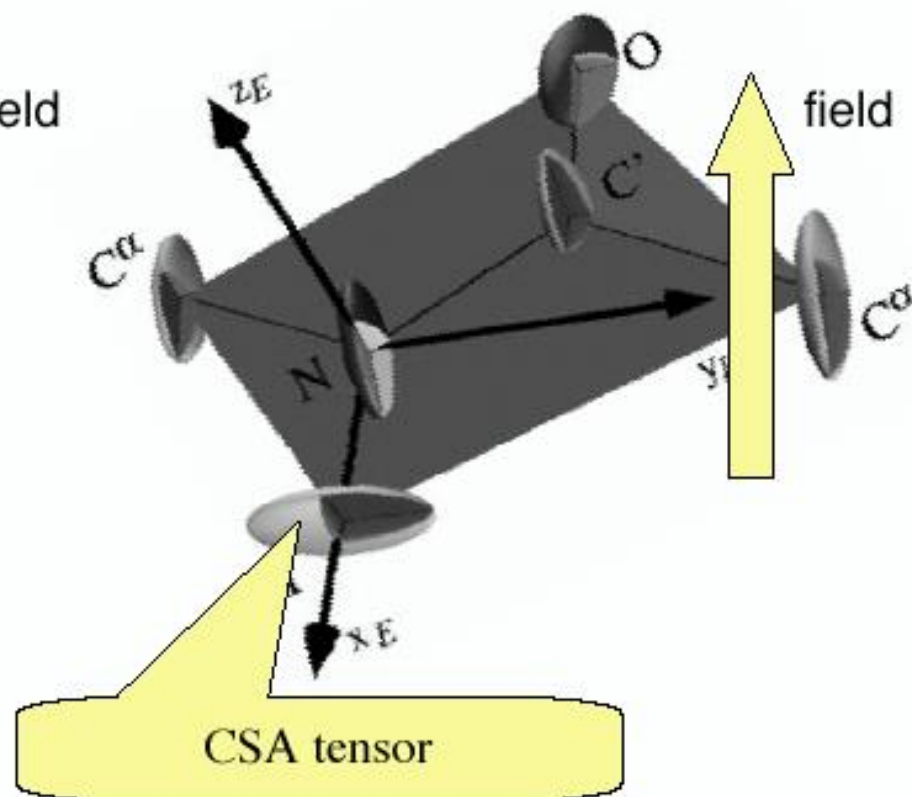
In liquids crystals, the resonance position depends upon the orientation (or phase transition which can shift the peak):

$$\overline{\delta_{zz}^j(\Theta)} \neq \delta_j^{iso}$$

# Chemical-Shift Anisotropy Tensor



Chemical shift depends on molecular orientation with respect to the field



# Chemical-Shift Anisotropy Tensor

Irreducible components of a tensor

$$\delta = \delta_{iso} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & \alpha_{xy} & \alpha_{xz} \\ \alpha_{yx} & 0 & \alpha_{yz} \\ \alpha_{zx} & \alpha_{zy} & 0 \end{pmatrix} + \begin{pmatrix} \beta_{xx} & \beta_{xy} & \beta_{xz} \\ \beta_{yx} & \beta_{yy} & \beta_{yz} \\ \beta_{zx} & \beta_{zy} & \beta_{zz} \end{pmatrix}$$

rank 0                      rank 1                      rank 2  
                                 antisymmetric                      symmetric

$$\delta_{iso} = \frac{1}{3} Tr \delta$$

$$\alpha_{\mu\nu} = \frac{1}{2}(\delta_{\mu\nu} - \delta_{\nu\mu})$$

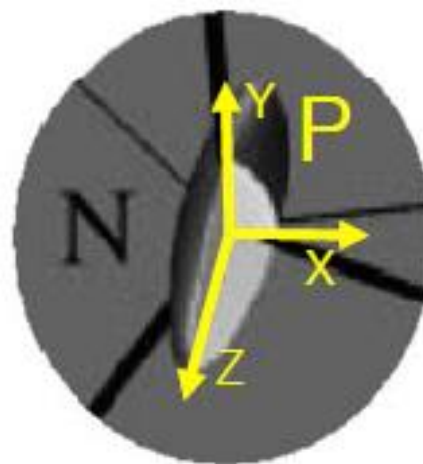
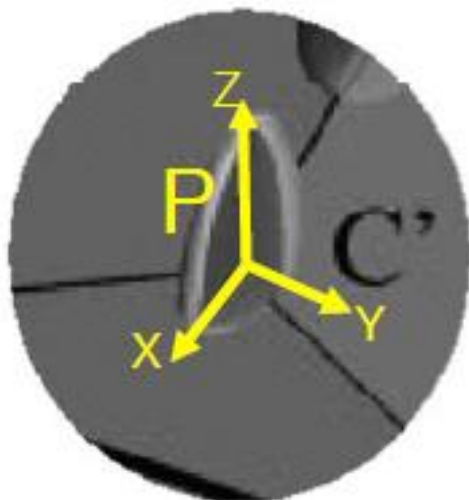
$$\beta_{\mu\nu} = \frac{1}{2}(\delta_{\mu\nu} + \delta_{\nu\mu} - 2\delta_{iso}\delta_{\nu}^{\mu})$$

$$\alpha_{ij} = -\alpha_{ji}$$

$$\beta_{ij} = \beta_{ji}$$

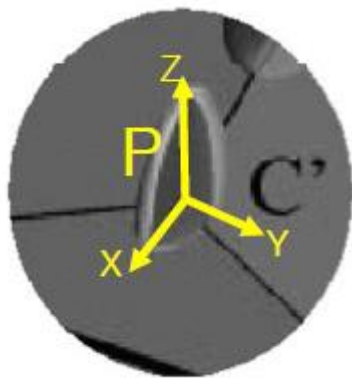
# Principal Axes

There are three special directions in which the induced field is parallel to the applied field. These are called the principal axes of the tensor (CSA here), denoted as X, Y, and Z. The principal axes are in general different for various chemical sites.



# Principal Values

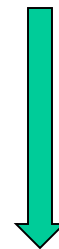
When the applied field is along a principal axis, the induced field is proportional and parallel to the applied field, multiplied by a number, which is called the principal value of the tensor, here, the CSA tensor.



$$B_{induced}^j \text{ (along X)} = \delta_{XX}^j B_{applied} \text{ (along X)}$$

$$B_{induced}^j \text{ (along Y)} = \delta_{YY}^j B_{applied} \text{ (along Y)}$$

$$B_{induced}^j \text{ (along Z)} = \delta_{ZZ}^j B_{applied} \text{ (along Z)}$$

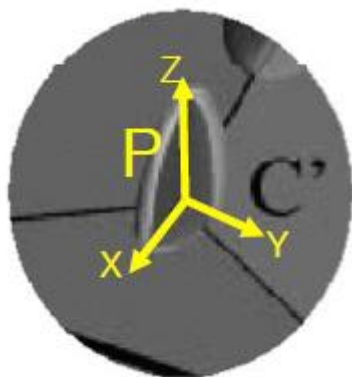


Principal values of the chemical shift tensor for site  $j$



# Assignment of the Principal Axes

We use the following convention to assign the principal axes:



- The Z-axis is the one for which the principal value is the furthest from the isotropic shift
- The Y-axis is the one for which the principal value is the closest to the isotropic shift
- The X-axis is the other one

Ordering of the principal values:

$$|\delta_{ZZ}^j - \delta_{iso}^j| \geq |\delta_{XX}^j - \delta_{iso}^j| \geq |\delta_{YY}^j - \delta_{iso}^j|$$

# Chemical-Shift Anisotropy Tensor

$$\delta = \delta_{iso} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & \alpha_{xy} & \alpha_{xz} \\ \alpha_{yx} & 0 & \alpha_{yz} \\ \alpha_{zx} & \alpha_{zy} & 0 \end{pmatrix} + \begin{pmatrix} \beta_{xx} & \beta_{xy} & \beta_{xz} \\ \beta_{yx} & \beta_{yy} & \beta_{yz} \\ \beta_{zx} & \beta_{zy} & \beta_{zz} \end{pmatrix}$$

$$\delta_{iso}^j = \frac{1}{3}(\delta_{xx}^j + \delta_{yy}^j + \delta_{zz}^j) \text{ Isotropic chemical shift}$$

$$\delta_{aniso}^j = \delta_{zz}^j - \delta_{iso}^j$$

CSA value

$$\eta^j = \frac{\delta_{yy}^j - \delta_{xx}^j}{\delta_{aniso}^j}$$

CSA asymmetry (shape)

$$\Delta\delta^j = \delta_{zz}^j - \delta_{xx}^j$$

CSA span

$$\delta_{PAS}^j = \delta_{iso}^j \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \delta_{zz}^j \begin{pmatrix} -\frac{1}{2}(1 + \eta^j) & 0 & 0 \\ 0 & -\frac{1}{2}(1 + \eta^j) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

# Chemical-Shift Anisotropy Tensor

$$H_{CSA} = -\gamma \hbar I \cdot \delta \cdot B_0$$

$$\delta_{iso}^j \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Only term in solution-state,  
trace of the CSA tensor and  
invariant quantity

$$\delta_{ZZ}^j \begin{pmatrix} -\frac{1}{2}(1 + \eta^j) & 0 & 0 \\ 0 & -\frac{1}{2}(1 + \eta^j) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

The orientation-dependent anisotropic  
term leading to spectral broadening

$$\delta_{iso}^j = \frac{1}{3} (\delta_{XX}^j + \delta_{YY}^j + \delta_{ZZ}^j) \text{ Isotropic chemical shift}$$

$$\delta_{aniso}^j = \delta_{ZZ}^j - \delta_{iso}^j$$

CSA value

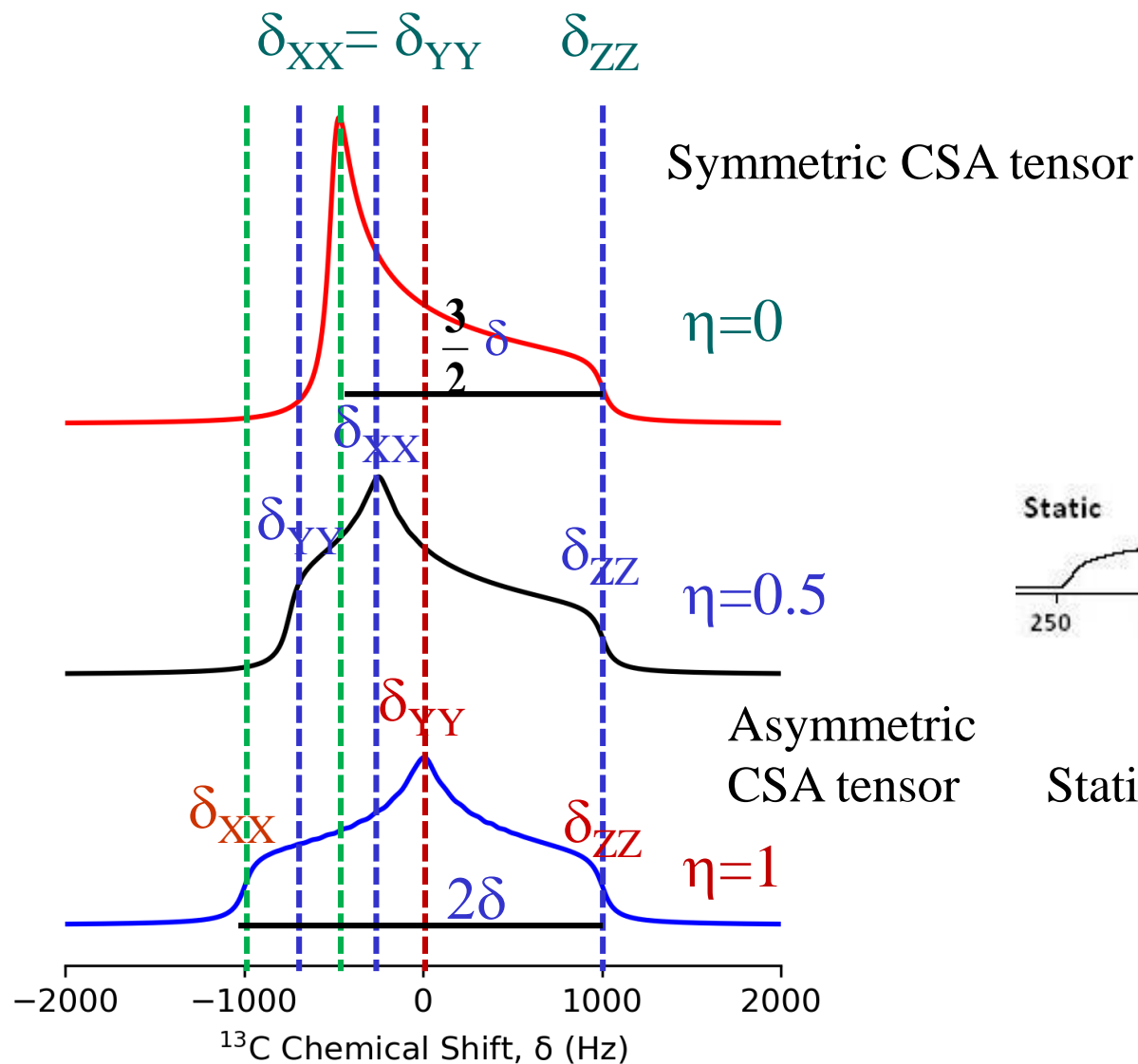
$$\eta^j = \frac{\delta_{YY}^j - \delta_{XX}^j}{\delta_{aniso}^j}$$

CSA asymmetry (shape)

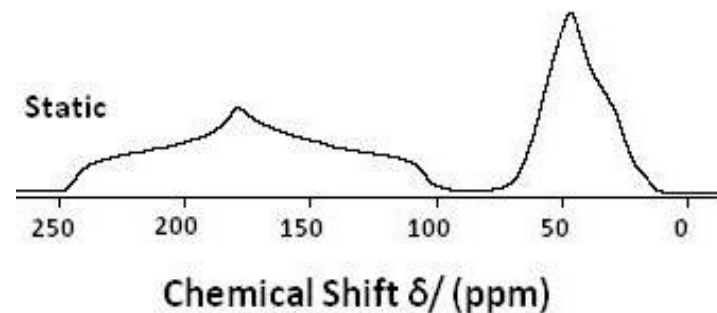
$$\Delta \delta^j = \delta_{ZZ}^j - \delta_{XX}^j$$

CSA span

# CSA Powder Line Shapes



$\delta = 1$  kHz



Static  $^{13}\text{C}$  spectrum of glycine

# Chemical-Shift Anisotropy Hamiltonian

CSA Hamiltonian upon secular averaging:

$$H_{CS}^j = -\gamma_j \delta_{zz}^j(\Theta) B_0 I_{jz}$$

Total Hamiltonian for a site  $j$ :

$$H_0^j = H_{static}^j + H_{CS}^j = \omega_0^j I_{jz}$$

The chemically-shifted Larmor frequency:

$$\omega_0^j = -\gamma^j B_0 (1 + \delta_{zz}^j(\Theta))$$

In isotropic liquids  
the chemically-shifted Larmor frequency:

$$\omega_0^j = -\gamma^j B_0 (1 + \delta_{iso}^j)$$

In liquids crystals, the resonance position  
depends upon the orientation (or  
phase transition which can shift the peak):

$$\overline{\delta_{zz}^j(\Theta)} \neq \delta_j^{iso}$$

# Tensors and PAS

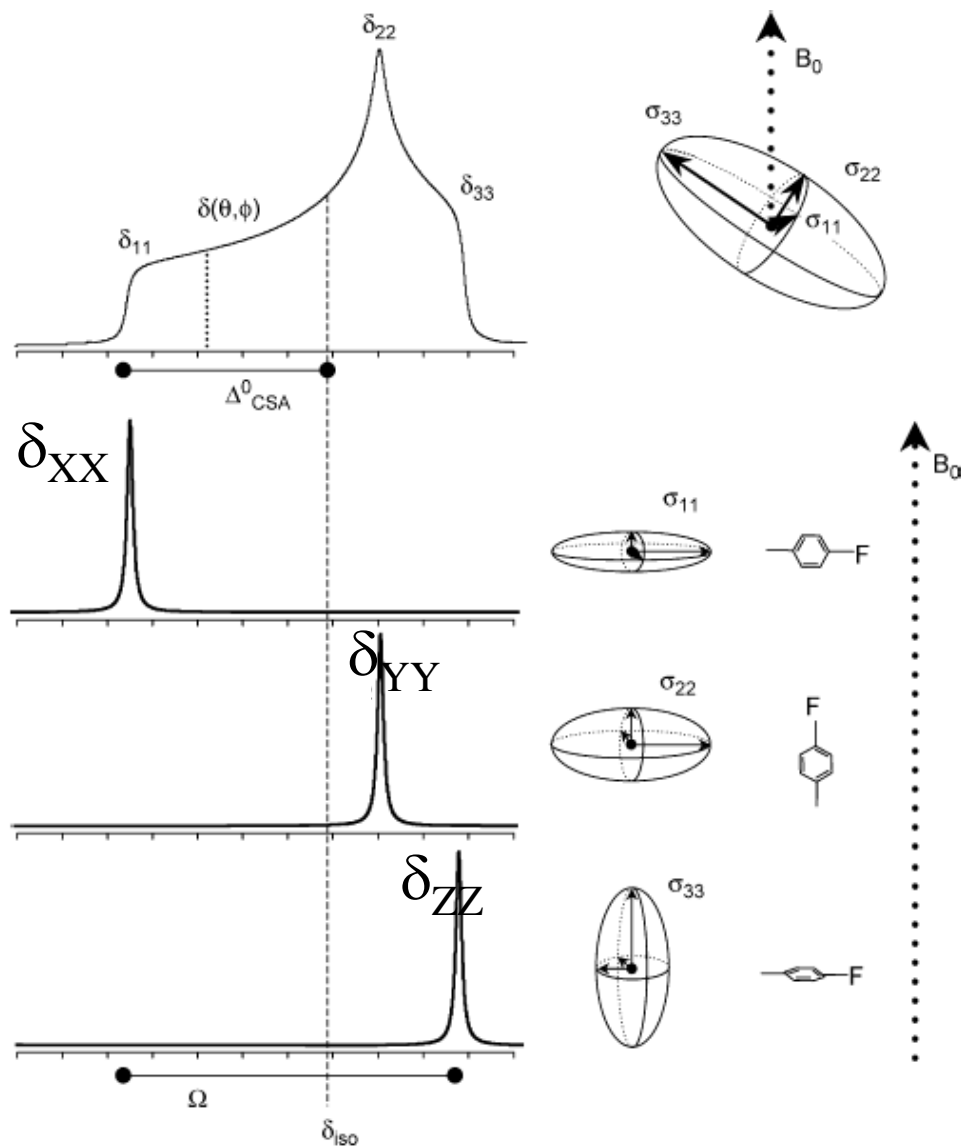
All these tensors, CSA (later DD tensor), are best treated in their principal axis system, PAS, where the tensor is *Diagonal*.

The interaction tensor of each nuclear spin is treated in its PAS.

The PAS Z-axis of the CSA tensor corresponds to the long axis of the ellipsoid representing the CSA tensor.

The PAS Z-axis of the DD tensor between the nuclear spins  $i$  and  $j$  is along the vector joining the nuclear spins  $i$  and  $j$  (here  $X$  and  $Y$  are arbitrary).

# Chemical-Shift Anisotropy: Response



# CSA Shielding Convention

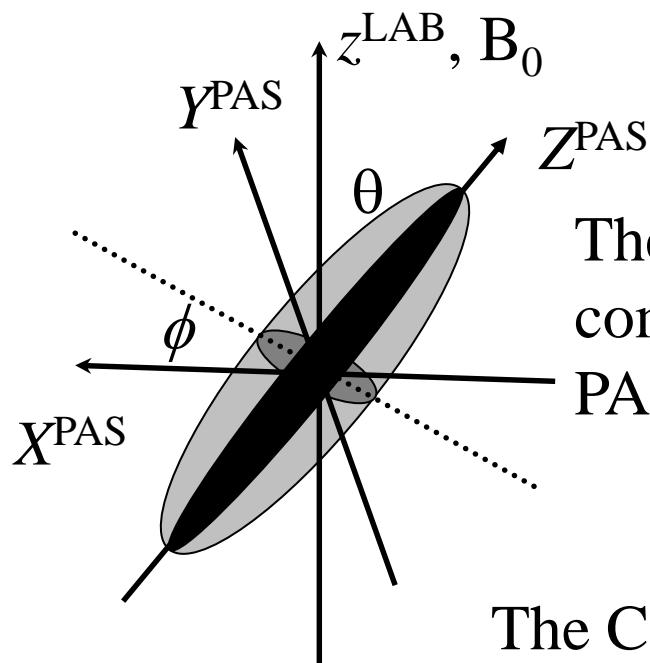
- We will use the deshielding convention for the chemical shift,  $\delta$  (as opposed to another convention, shielding convention,  $\sigma$ ):

$$\sigma_{aniso}^j = -\delta_{aniso}^j$$



# Chemical-Shift Anisotropy Tensor

$$H_{CSA} = -\gamma \hbar \delta_{zz}^{lab} B_0 I_z \quad \text{In the lab frame}$$



The orientation dependence of the CSA tensor comes on account of a transformation from PAS to LAB frame, through  $\theta$  and  $\phi$

The CS frequency then becomes

$$\omega_{CSA}(\theta, \phi) = -\omega_0 \delta_{iso} - \frac{1}{2} \omega_0 \delta (3 \cos^2 \theta - 1 + \eta \sin^2 \theta \cos 2\phi)$$

$\eta=0$ , axially symmetric tensor,  $\delta_{xx}=\delta_{yy}$

# Chemical-Shift Anisotropy Tensor

In the lab frame, Hamiltonian

$$H_{CSA} = -\gamma \hbar \delta_{zz}^{lab} B_0 I_z$$

In the lab frame, energy from CS

$$E_{CSA} = -\gamma \hbar \delta_{zz}^{lab} B_0 \langle I, m | I_z | I, m \rangle$$

Spectral frequency for  $1/2$  to  $-1/2$

$$\omega_{CSA} = -\gamma \delta_{zz}^{lab} B_0 = -\omega_0 \delta_{zz}^{lab}$$

Remember

$$\delta_{zz}^{lab} = (0 \quad 0 \quad 1) \delta^{lab} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Tensor from a frame  $f$  to lab

$$\delta_{zz}^{lab} = P^f \delta^f P^{fT}$$

$$\omega_{CSA}(\theta, \phi) = -\omega_0 \delta_{iso} - \frac{1}{2} \omega_0 \delta (3 \cos^2 \theta - 1 + \eta \sin^2 \theta \cos 2\phi)$$

# Chemical-Shift Frequency: From PAS to Lab

CS contribution to the spectral frequency:

$$\omega_{CSA} = -\omega_0 \mathbf{P}^f \boldsymbol{\delta}^f \mathbf{P}^f$$

Euler angles transformation:

$$\mathbf{P}^{PAS} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$$

Chemical-shift frequency:

$$\omega_{CSA}(\theta, \phi) = -\omega_0 (\delta_{XX} \sin^2 \theta \cos^2 \phi + \delta_{YY} \sin^2 \theta \sin^2 \phi + \delta_{ZZ} \cos^2 \theta)$$

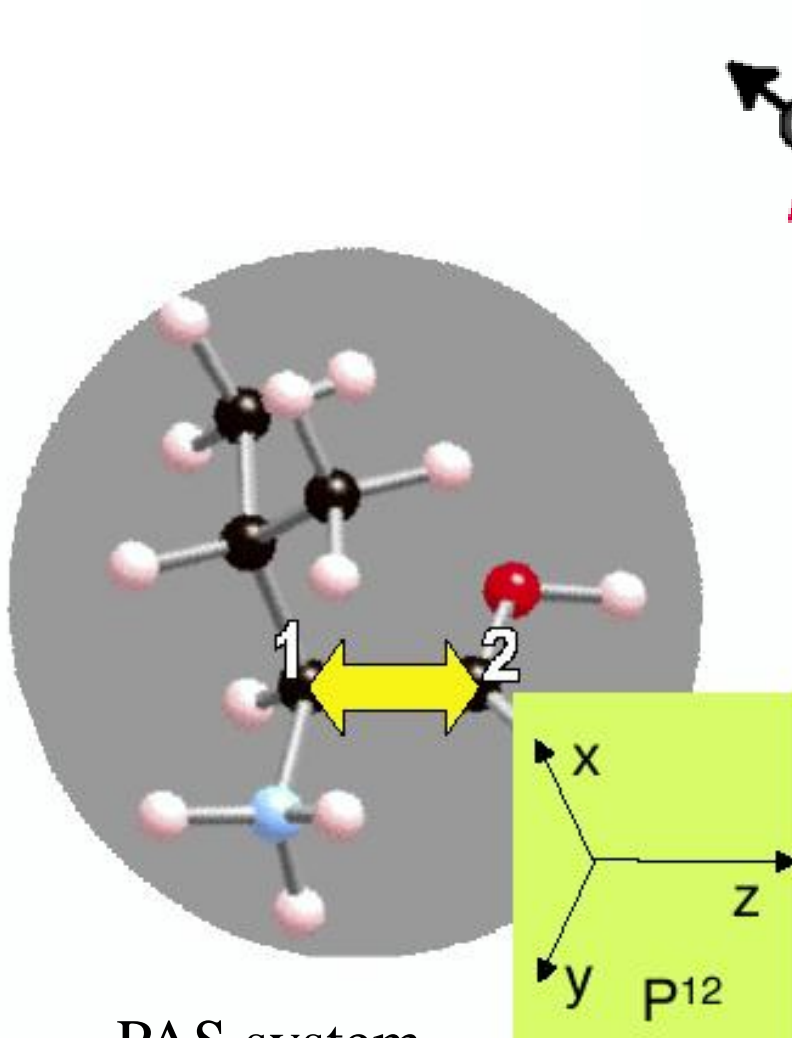
Using definitions:

$$\omega_{CSA}(\theta, \phi) = -\omega_0 \delta_{iso} - \frac{1}{2} \omega_0 \delta (3 \cos^2 \theta - 1 + \eta \sin^2 \theta \cos 2\phi)$$

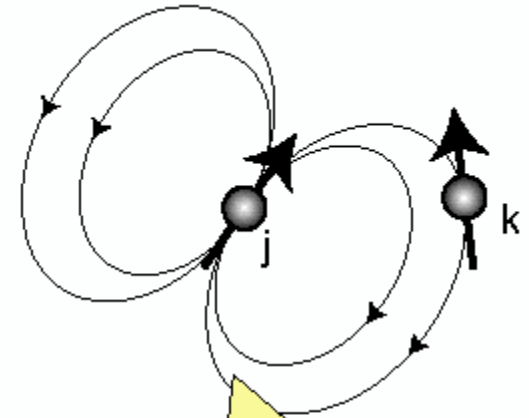
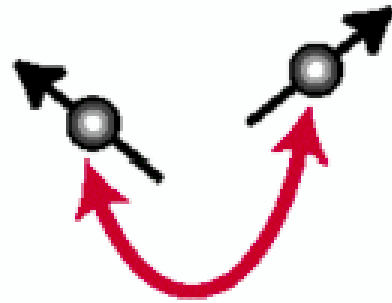
$\downarrow$

$$-\omega_0 \delta_{iso} = \omega_{iso} \quad \text{Isotropic chemical-shift frequency}$$

# Dipole-Dipole Interaction



PAS system  
of a DD tensor



The magnetic field  
generated by one spin  
influences its neighbour

DD coupling is the direct magnetic interaction between two nuclear spins, orientation dependent, both distance and angles

# Dipole-Dipole Hamiltonian

Classical interaction energy between two dipoles  $\vec{\mu}_j$  and  $\vec{\mu}_k$ , separated by  $\vec{r}_{jk}$ :

$$E_{DD}^{ij} = \frac{\mu_0}{4\pi} \left\{ \frac{\vec{\mu}_i \cdot \vec{\mu}_j}{r_{ij}^3} - 3 \frac{(\vec{\mu}_i \cdot \vec{r}_{ij})(\vec{\mu}_j \cdot \vec{r}_{ij})}{r_{ij}^5} \right\}$$

Substituting for  $\mu = \gamma \hbar I$ , we get the expression for the dipole-dipole Hamiltonian between  $I_j$  and  $I_k$ :

$$\mathcal{H}_{jk}^{DD} = - \left( \frac{\mu_0}{4\pi} \right) \gamma_j \gamma_k \hbar^2 \left( \frac{I_j \cdot I_k}{r_{jk}^3} - 3 \frac{(I_j \cdot r)(I_k \cdot r)}{r_{jk}^5} \right)$$

Defining DD coupling constant:  $b_{jk} = - \frac{\mu_0}{4\pi} \frac{\gamma_j \gamma_k \hbar}{r_{jk}^3}$

DD coupling in Hz:  $\frac{b_{jk}}{2\pi}$

Hamiltonian becomes:  $\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot I_k - \frac{3}{r_{jk}^2} (I_j \cdot r)(I_k \cdot r) \right)$

- Two protons at 3Å separation, DD coupling = -4.5 kHz
- Two  $^{13}\text{C}$  at 1.5Å separation, DD coupling = -2.2 kHz
- Two  $^{13}\text{C}$  at 5Å separation, DD coupling = -61 Hz
- Two  $^{13}\text{C}$  at 8 Å separation, DD coupling = -15 Hz :

# Dipole-Dipole Hamiltonian

DD Hamiltonian:

$$\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot I_k - \frac{3}{r_{jk}^2} (I_j \cdot r)(I_k \cdot r) \right)$$

Defining  $\vec{e}_{jk}$  as a unit vector pointing in the direction of  $\vec{r}_{jk}$ ,  $\vec{e}_{jk} = \frac{\vec{r}_{jk}}{r_{jk}}$ :

$$\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot I_k - 3(I_j \cdot e_{jk})(I_k \cdot e_{jk}) \right)$$

Defining  $\hat{1}$  as identity operator and letting  $\hat{e}$  be the dyadic product of  $\vec{e}_{jk}$  with itself ( $\langle u|\hat{e}|v\rangle = e_u e_v$ ):

$$\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot \hat{1} \cdot I_k - 3I_j \cdot e_{jk} \cdot I_k \right)$$

In dyadic notation:

$$\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot D_{jk} \cdot I_k \right)$$

With the elements of the  $3 \times 3$  matrix  $\hat{D}$ :  $\langle \mu|D_{jk}|\nu\rangle = \delta_{\mu\nu} - 3e_{j\mu}e_{k\nu}$

$$= \delta_{\mu\nu} - 3e_{\mu\nu}$$

# Dipole-Dipole Hamiltonian

In dyadic notation:

$$\mathcal{H}_{jk}^{DD} = b_{jk} \left( I_j \cdot D_{jk} \cdot I_k \right)$$

With the elements of the  $3 \times 3$  matrix  $\hat{D}$ :  $\langle \mu | D_{jk} | \nu \rangle = \delta_{\mu\nu} - 3e_{j\mu}e_{k\nu}$

$$= \delta_{\mu\nu} - 3e_{\mu\nu}$$

$$\mu, \nu \equiv x, y, z$$

In general  $D_{jk}$ :

$$D_{jk} = \begin{pmatrix} 1 - 3e_{xx} & -3e_{xy} & -3e_{xz} \\ -3e_{yx} & 1 - 3e_{yy} & -3e_{yz} \\ -3e_{zx} & -3e_{zy} & 1 - 3e_{zz} \end{pmatrix}$$

# Dipole-Dipole Hamiltonian

$$D_{jk} = \begin{pmatrix} 1-3e_{xx} & -3e_{xy} & -3e_{xz} \\ -3e_{yx} & 1-3e_{yy} & -3e_{yz} \\ -3e_{zx} & -3e_{zy} & 1-3e_{zz} \end{pmatrix} \longrightarrow D_{jk}^{PAS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Trace = 0, no isotropic part, only the rank 2 anisotropic part present

Since, only the Z-axis needs to be defined for DD tensor in the PAS, X and Y are arbitrary



# Dipolar Alphabet

$$\mathcal{H}_{DD}^{jk} = b_{jk}(A + B + C + D + E + F)$$

$$A = I_{jz}I_{kz}(3\cos^2\theta_{jk} - 1)$$

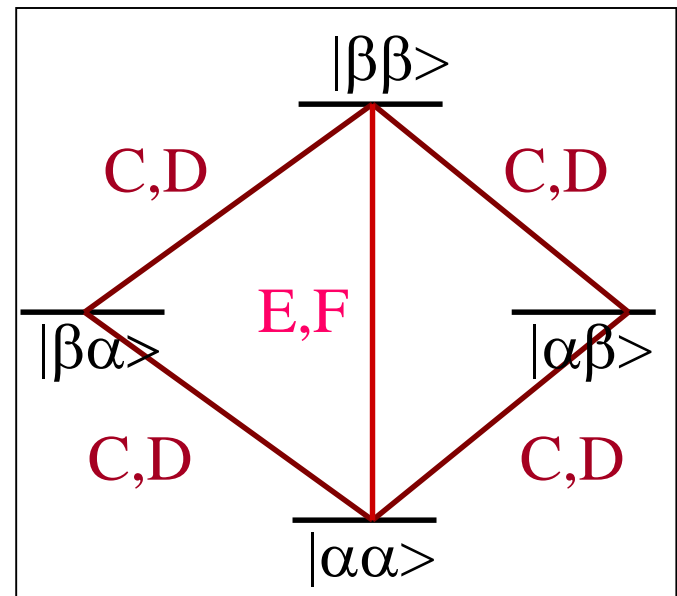
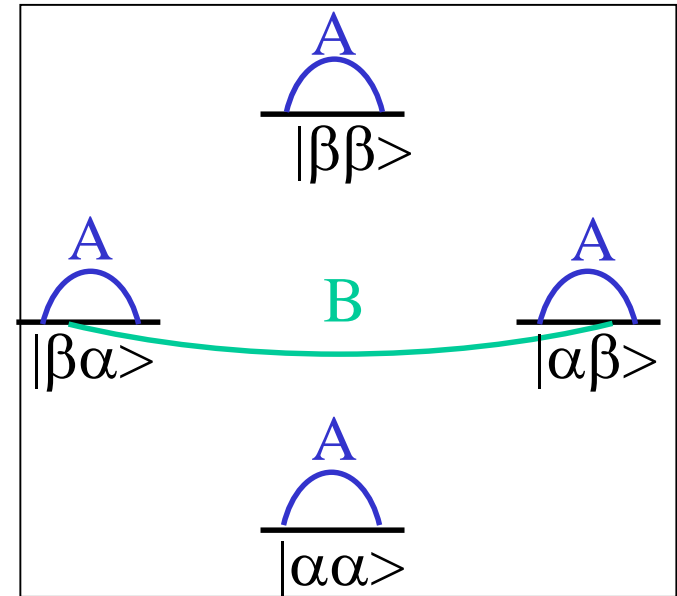
$$B = -\frac{1}{4}(I_{j+}I_{k-} + I_{j-}I_{k+})(3\cos^2\theta_{jk} - 1)$$

$$C = \frac{3}{2}(I_{jz}I_{k+} + I_{j+}I_{kz})\sin\theta_{jk}\cos\theta_{jk}e^{-i\phi}$$

$$D = \frac{3}{2}(I_{jz}I_{k-} + I_{j-}I_{kz})\sin\theta_{jk}\cos\theta_{jk}e^{i\phi}$$

$$E = \frac{3}{4}(I_{j+}I_{k+})\sin^2\theta_{jk}e^{-2i\phi}$$

$$F = \frac{3}{4}(I_{j-}I_{k-})\sin^2\theta_{jk}e^{2i\phi}$$



# Heteronuclear Dipole-Dipole Hamiltonian

High-field, secular approximation:

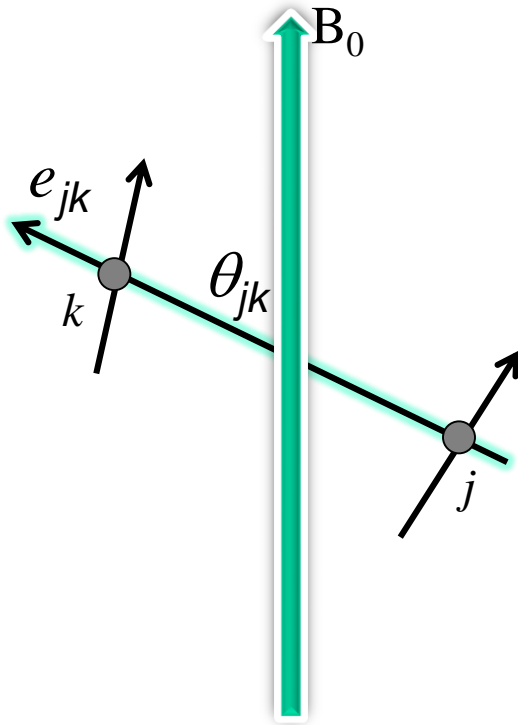
$$\mathcal{H} = \mathcal{H}_Z + \mathcal{H}_{DD}^{jk}$$

$$= -(\omega_{0I_j} I_{jz} + \omega_{0I_k} I_{kz}) + b_{jk} A$$

$$d_{jk} = b_{jk} \frac{1}{2} (3 \cos^2 \theta_{jk} - 1)$$

$$A = d_{jk} 2I_{jz} I_{kz}$$

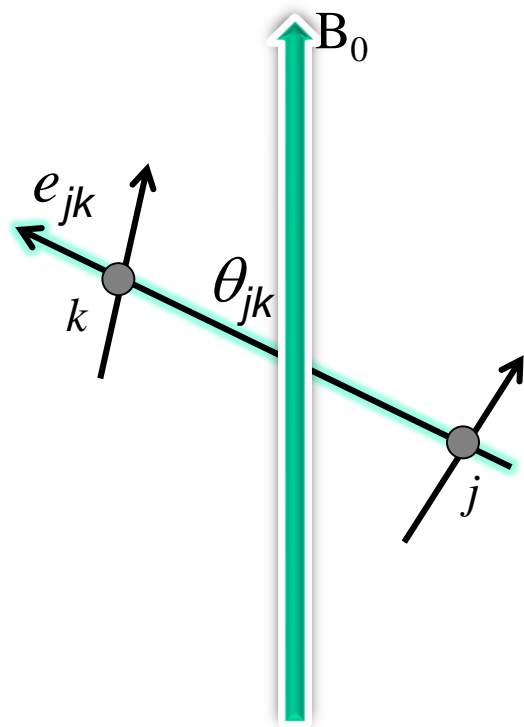
$$\mathcal{H}_{jk}^{DD}(\theta_{jk}) = d_{jk} 2I_{jz} I_{kz}$$



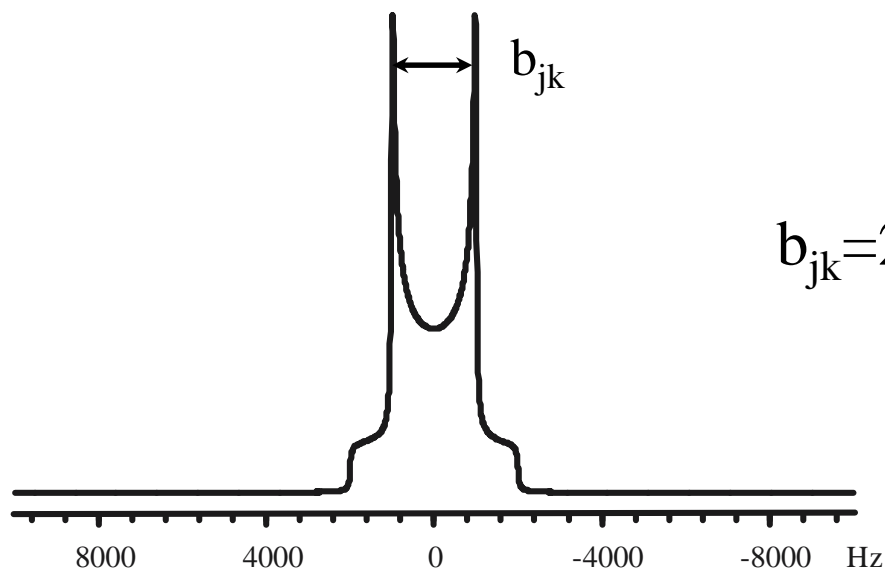
The  $I_k$  spectrum coupled to  $I_j$  then will have two transitions, one having  $(1 - 3 \cos^2 \theta)$  dependence, and the other  $-(1 - 3 \cos^2 \theta)$  dependence, hence, mirror images.

# Heteronuclear Dipole-Dipole Powder Line Shapes: Pake Doublet

The  $I_k$  spectrum coupled to  $I_j$  then will have two transitions, one having  $(1 - 3 \cos^2 \theta)$  dependence, and the other  $-(1 - 3 \cos^2 \theta)$  dependence, hence, mirror images.



$$\mathcal{H}_{jk}^{DD}(\theta_{jk}) = d_{jk} 2I_{jz} I_{kz}$$



$$b_{jk} = 2000 \text{ Hz}$$

# Homonuclear Dipole-Dipole Hamiltonian

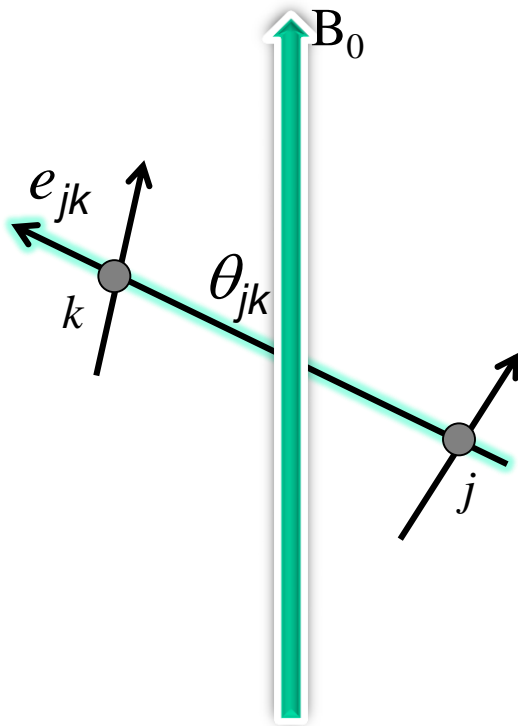
High-field, secular approximation:

$$\mathcal{H} = \mathcal{H}_Z + \mathcal{H}_{DD}^{jk}$$

$$= -(\omega_{0I_j} I_{jz} + \omega_{0I_k} I_{kz}) + b_{jk}(A + B)$$

$$d_{jk} = b_{jk} \frac{1}{2} (3 \cos^2 \theta_{jk} - 1)$$

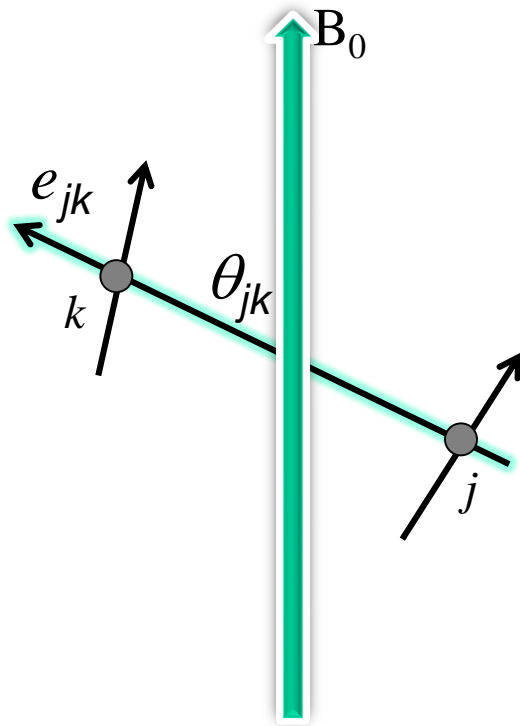
$$\mathcal{H}_{jk}^{DD}(\theta_{jk}) = d_{jk} (3 I_{jz} I_{kz} - I_j \cdot I_k)$$



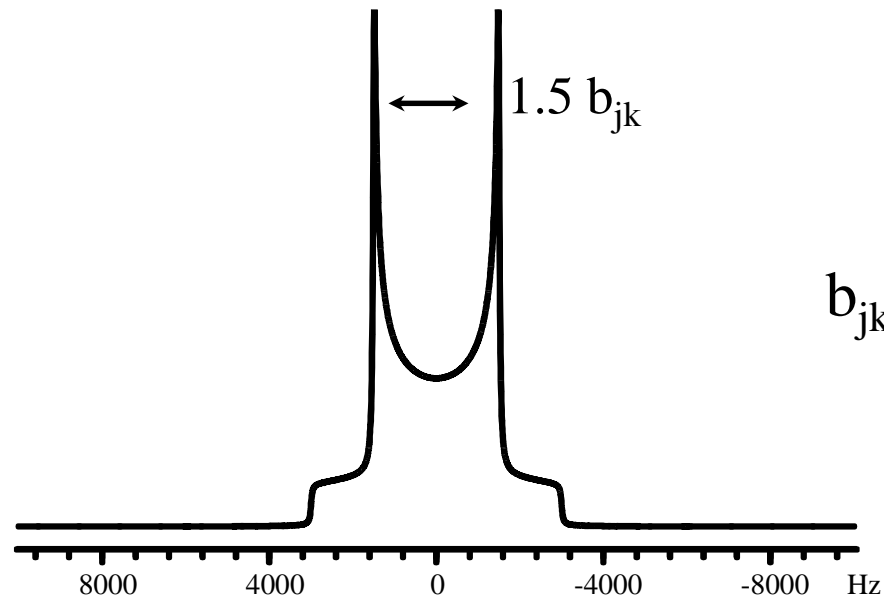
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The  $I_k$  spectrum coupled to  $I_j$  then will have two transitions, one having  $(1 - 3 \cos^2 \theta)$  dependence, and the other  $-(1 - 3 \cos^2 \theta)$  dependence, hence, mirror images.

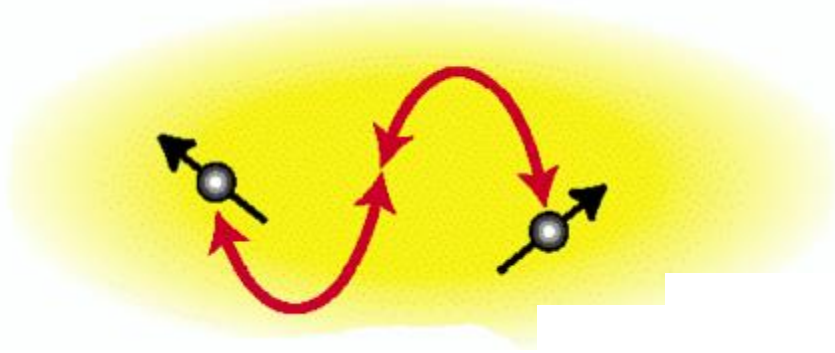


$$\mathcal{H}_{jk}^{DD}(\theta_{jk}) = d_{jk}(3I_{jz}I_{kz} - I_j \cdot I_k)$$



$$b_{jk} = 2000 \text{ Hz}$$

# Scalar Coupling Hamiltonian



$$H_{jk}^J = 2\pi J_{jk} \mathbf{I}_j \cdot \mathbf{I}_k$$

Scalar coupling is a magnetic interaction between the nuclear spins mediated via an electron cloud, through-bond Interaction.

Too weak in solid-state NMR, still observable with the advent of high-resolution schemes.

# J-Coupling Hamiltonian: Homonuclear

$$H_{jk}^J = 2\pi J_{jk} \mathbf{I}_j \cdot \mathbf{I}_k$$

↓ High field

First-order secular term:

$$H_J = 2\pi J_{jk} I_{jz} I_{kz}$$

# J-Coupling Hamiltonian: Heteronuclear

$$H_{jk}^J = 2\pi J_{jk} \mathbf{I}_j \cdot \mathbf{I}_k$$

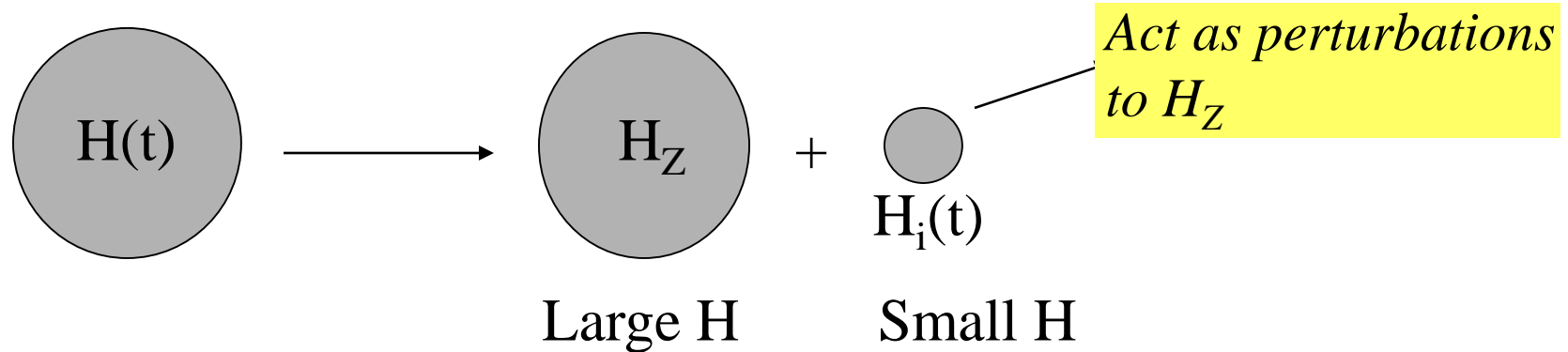
↓ High field

First-order secular term:

$$H_{jk}^J = 2\pi J_{jk} I_{jz} I_{kz}$$



# Secular Approximation



- Only those parts of  $H_i(t)$  that have the same eigenfunction as  $H_Z$  (having matrix elements in the eigen basis of  $H_Z$ ) will contribute to energy level shifts in the first-order
- This means, to first-order energy correction, we need consider only those parts of  $H_i(t)$  such that  $[H_Z, H_i] = 0$ ; Secular Approximation
- In solution-state, this is often disguised as weak-coupling (AX), but not valid in strong-coupling cases (AB)

***Secular approximation simplifies our internal Hamiltonians***

# Secular Approximation

**Strictly speaking, secular approximation is more than commutativity. If A is the large Hamiltonian and B is the small Hamiltonian, a matrix element of B may be dropped if its magnitude is small compared to the corresponding difference in the eigenvalues of A**

Consider  $A = \omega_0 I_z; B = \omega_x I_x + \omega_z I_z$

**Eigenvalues=**  $\frac{1}{2} \omega_0, -\frac{1}{2} \omega_0$

**B representation in the basis of A**

$$B = \frac{1}{2} \begin{pmatrix} \omega_z & \omega_x \\ \omega_x & -\omega_z \end{pmatrix}$$

$\downarrow$

**Secular approximation:**

$$B = \frac{1}{2} \begin{pmatrix} \omega_z & 0 \\ 0 & -\omega_z \end{pmatrix}$$

**Provided, the following condition holds good (besides commutativity)**

$$\omega_x \ll \omega_0$$

# Scalar Coupling Hamiltonian

The J-coupling tensor on account of the rapid molecular tumbling has only the isotropic part

The secular part of the J-coupling Hamiltonian depends on whether the two coupled spins are homonuclear or heteronuclear

**Homonuclear case:**

$$H_{jk}^J = 2\pi J_{jk} \mathbf{I}_j \cdot \mathbf{I}_k$$
$$= 2\pi J_{jk} (I_{jx} I_{kx} + I_{jy} I_{ky} + I_{jz} I_{kz})$$

**Heteronuclear case:**

$$H_{jk}^J = 2\pi J_{jk} I_{jz} I_{kz}$$

Unlike chemical shifts, J-coupling is independent of the applied magnetic field

# Scalar Coupling Hamiltonian: Secular Approximation

$$A = \omega_{01}I_{1z} + \omega_{02}I_{2z}; B = 2\pi J_{12}I_1 \cdot I_2$$

**Basis sets of A=|+1/2,+1/2>, =|+1/2,-1/2>, =|-1/2,+1/2>, =|-1/2,1/2>**

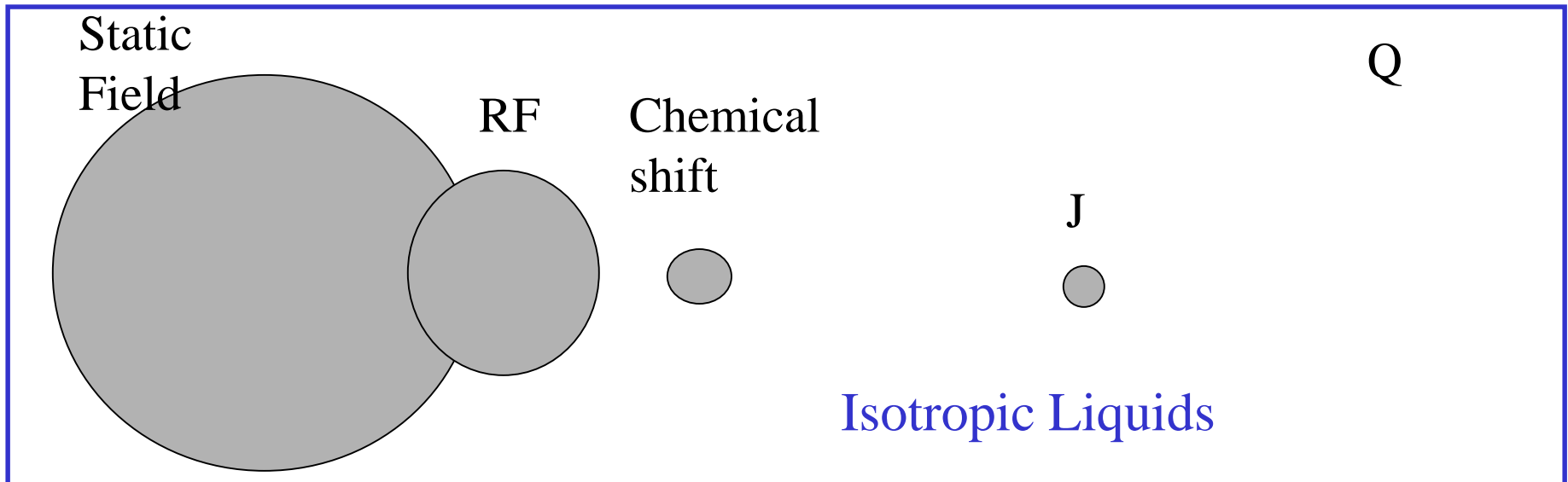
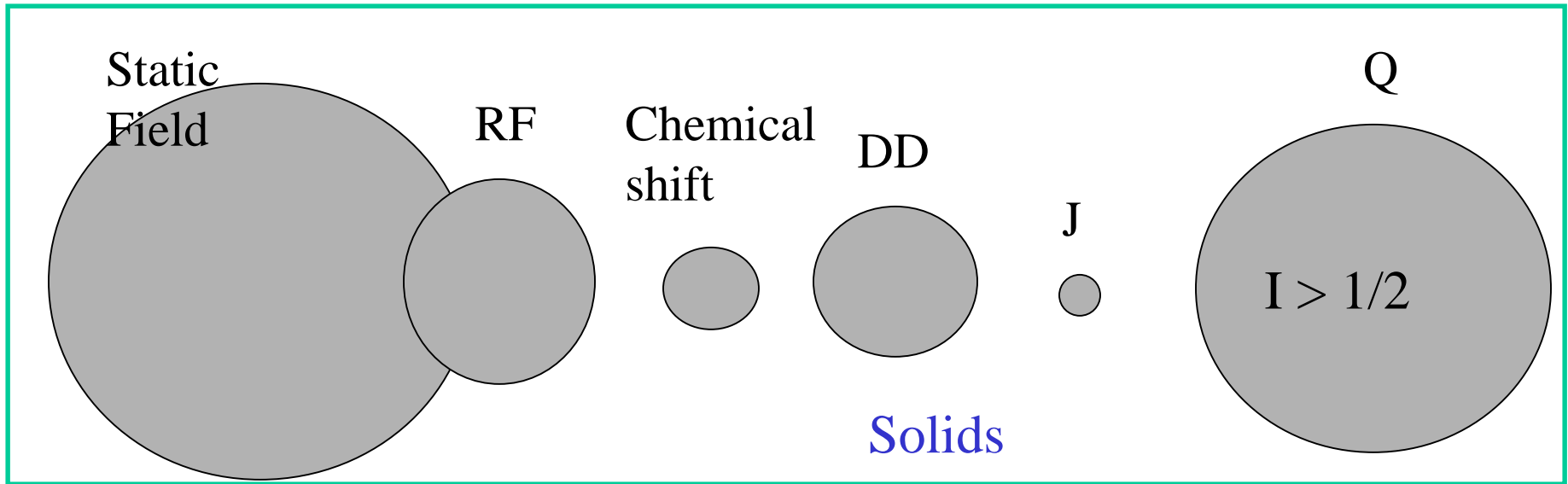
$$A = \frac{1}{2} \begin{pmatrix} \omega_{01} + \omega_{02} & 0 & 0 & 0 \\ 0 & \omega_{01} - \omega_{02} & 0 & 0 \\ 0 & 0 & -\omega_{01} + \omega_{02} & 0 \\ 0 & 0 & 0 & -\omega_{01} - \omega_{02} \end{pmatrix} \quad B = \begin{pmatrix} \frac{1}{2}\pi J_{12} & 0 & 0 & 0 \\ 0 & -\frac{1}{2}\pi J_{12} & \pi J_{12} & 0 \\ 0 & \pi J_{12} & -\frac{1}{2}\pi J_{12} & 0 \\ 0 & 0 & 0 & \frac{1}{2}\pi J_{12} \end{pmatrix}$$

**Secular approximation is valid (and then B will be diagonal) when**

$$|\omega_{01} - \omega_{02}| \ll |\pi J_{12}|$$

**This condition is satisfied for heteronuclear case ( $\gamma_1$  and  $\gamma_2$  different, or when the chemical-shift difference is sufficiently large for homonuclear case (weak coupling))**

# Relative Magnitude of Interactions: After Motional Averaging



# Product Operator Formalism

- POF-complete and QM description of NMR experiments (solution state)

A version of DM theory

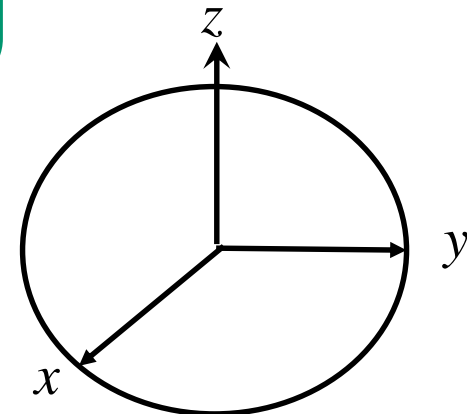
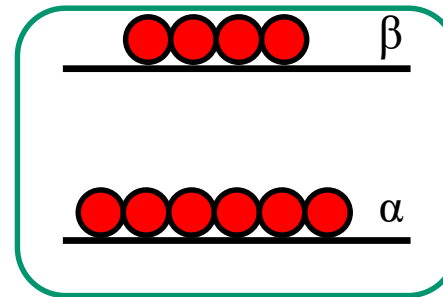
- POF have a well-defined physical meaning

Pulses and delays are geometrical Rotations

- Illustrative to look at vector model

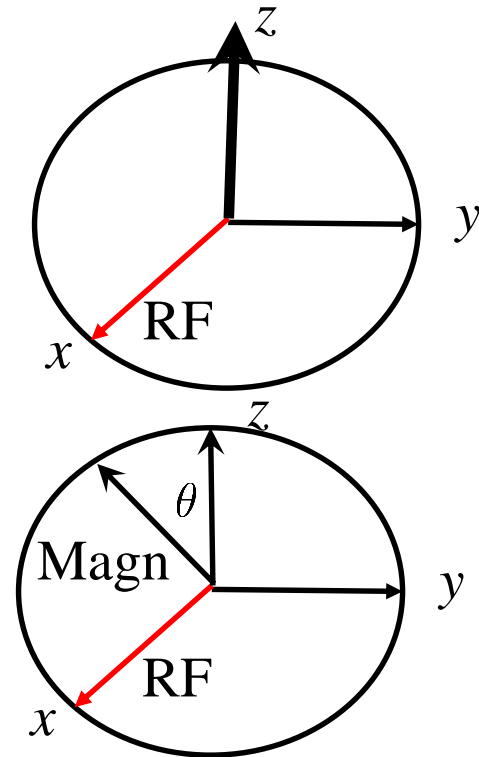
Useful in describing the behavior of an ensemble of non-interacting spins,  $\frac{1}{2}$

- At thermal equilibrium: Unequal populations of the two levels leads to a net magnetization along the field ( $z$ ) direction. This may be treated as a vector. The vector model fully relies on its behavior. (Lower level more populated at thermal equilibrium.)



# Product Operator Formalism

- RF pulses are rotations about  $x$  or  $y$ -axes.
- Angle of rotation is  $\theta = \omega_{nut}t$ , where  $\omega_{nut}$  is the RF field strength and  $t$  is the duration for which the pulse is applied. The rotation is in the  $yz$ -plane.



- Free precession is a rotation about the  $z$ -axis at frequency  $\Omega$ , which is the offset (difference between the Larmor and transmitter frequencies. Here, the rotation angle is  $\theta = \Omega t$ .

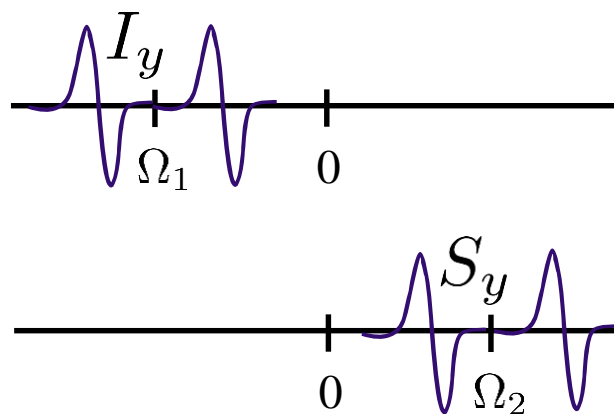
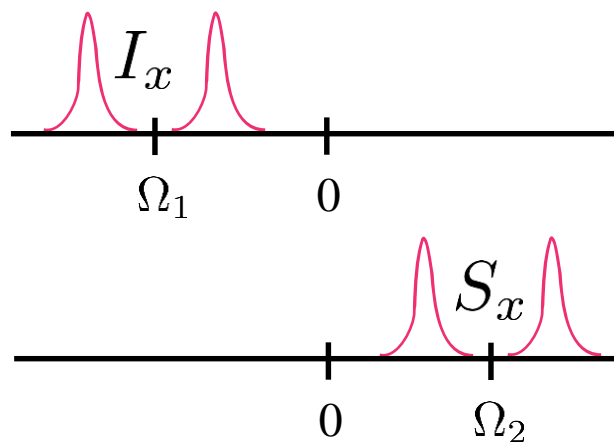
# Product Operators

- Product operators for a non-interacting two-spin system.

Operator	Significance
$I_x$	$x$ -component of $I$ -spin magnetisation
$I_y$	$y$ -component of $I$ -spin magnetisation
$I_z$	$z$ -component of $I$ -spin magnetisation
$S_x$	$x$ -component of $S$ -spin magnetisation
$S_y$	$y$ -component of $S$ -spin magnetisation
$S_z$	$z$ -component of $S$ -spin magnetisation
$2I_x S_z$	Antiphase $I$ -spin magnetisation
$2I_y S_z$	Antiphase $I$ -spin magnetisation
$2I_z S_x$	Antiphase $S$ -spin magnetisation
$2I_z S_y$	Antiphase $S$ -spin magnetisation
$2I_z S_z$	Longitudinal two-spin order
$2I_x S_x$	Two-spin coherence
$2I_y S_y$	Two-spin coherence
$2I_x S_y$	Two-spin coherence
$2I_y S_x$	Two-spin coherence



# Product Operators: The Looks



- In-phase magnetisation of spins  $I$  and  $S$
- Absorption mode for magnetisation aligned along  $x$
- Dispersion mode for magnetisation aligned along  $y$

## Operator

$I_x$

$I_y$

$I_z$

$S_x$

$S_y$

$S_z$

$2I_x S_z$

$2I_y S_z$

$2I_z S_x$

$2I_z S_y$

$2I_z S_z$

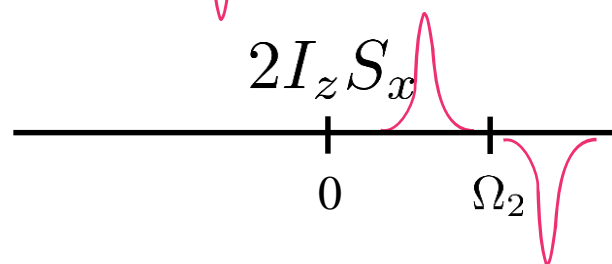
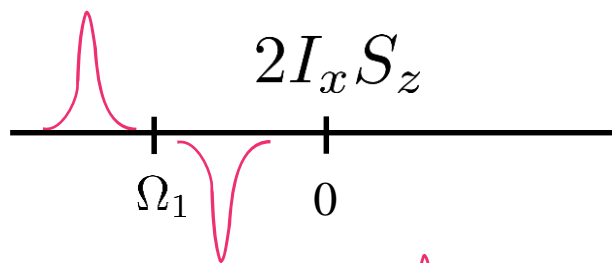
$2I_x S_x$

$2I_y S_y$

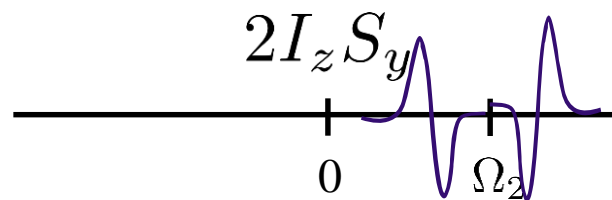
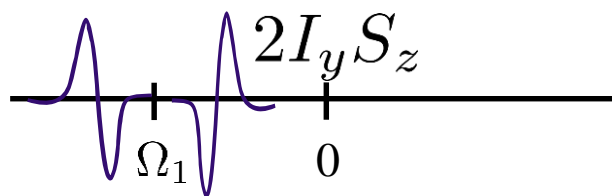
$2I_x S_y$

$2I_y S_x$

# Product Operators: The Looks



•Anti-phase magnetization



•The operator  $2I_x S_z$  is the  $I$ -spin magnetization that is anti-phase with respect to the coupling to spin- $S$

## Operator

$I_x$

$I_y$

$I_z$

$S_x$

$S_y$

$S_z$

$2I_x S_z$

$2I_y S_z$

$2I_z S_x$

$2I_z S_y$

$2I_z S_z$

$2I_x S_x$

$2I_y S_y$

$2I_x S_y$

$2I_y S_x$

# Product Operators: The Looks

- Multiple-quantum coherence terms

## Operator

$$I_x$$

$$I_y$$

$$I_z$$

$$S_x$$

$$S_y$$

$$S_z$$

$$2I_x S_z$$

$$2I_y S_z$$

$$2I_z S_x$$

$$2I_z S_y$$

$$2I_z S_z$$

$$2I_x S_x$$

$$2I_y S_y$$

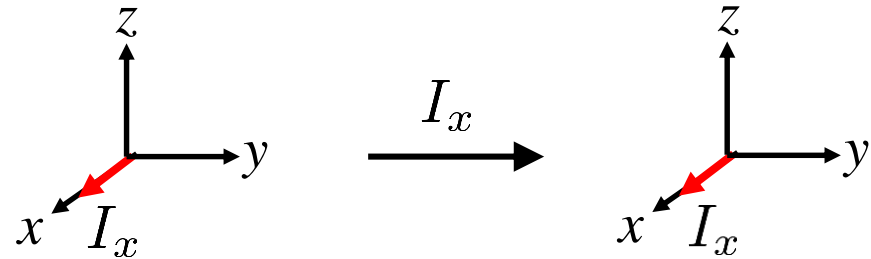
$$2I_x S_y$$

$$2I_y S_x$$

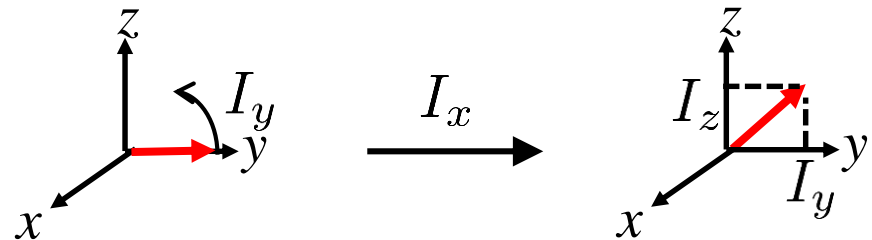
# Product Operators: Example 1- RF Pulses

- Pulse of flip angle  $\theta$  along the  $x$ -axis

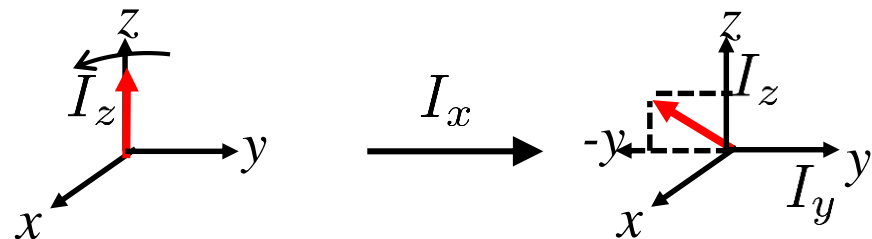
$$I_x \xrightarrow{I_x} I_x$$



$$I_y \xrightarrow{I_x} I_y \cos\theta + I_z \sin\theta$$



$$I_z \xrightarrow{I_x} I_z \cos\theta - I_y \sin\theta$$



# Product Operators: Example 2- Chemical-Shift Evolution

- The relevant single-spin Hamiltonian

$$\mathcal{H}_{free} = \Omega_I I_z + \Omega_S S_z$$

$\Omega$ 's being the offset

- Evolution under this Hamiltonian for  $I_x$

$$I_x \xrightarrow{\mathcal{H}_{free}t} I_x \cos \Omega_I t + I_y \sin \Omega_I t$$

- Evolution under this Hamiltonian for  $I_y$

$$I_y \xrightarrow{\mathcal{H}_{free}t} I_y \cos \Omega_I t - I_x \sin \Omega_I t$$

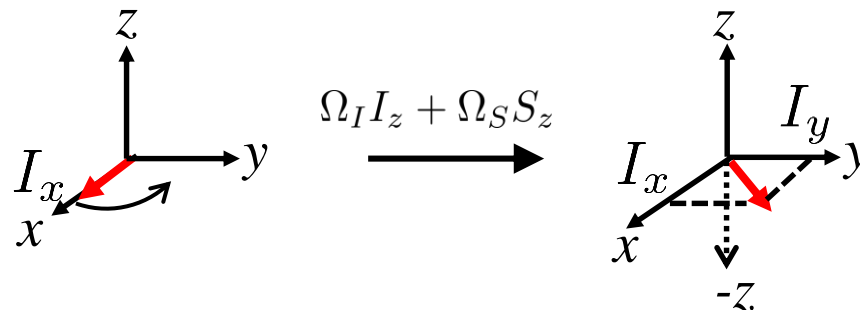
- Evolution under this Hamiltonian for  $I_z$

$$I_z \xrightarrow{\mathcal{H}_{free}t} I_z$$

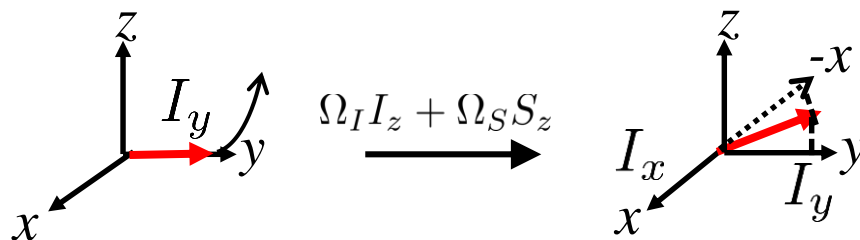
# Product Operators: Example 2- Chemical-Shift Evolution

$$\mathcal{H}_{free} = \Omega_I I_z + \Omega_S S_z$$

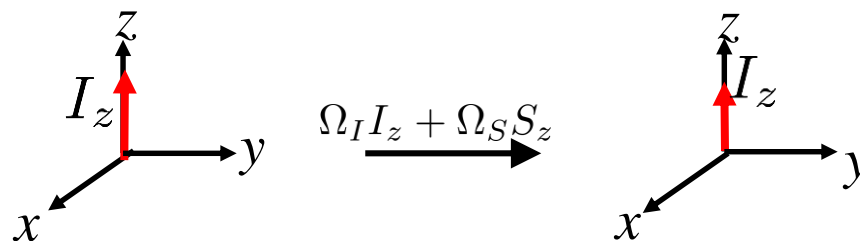
$$I_x \xrightarrow{\mathcal{H}_{free}t} I_x \cos \Omega_I t + I_y \sin \Omega_I t$$



$$I_y \xrightarrow{\mathcal{H}_{free}t} I_y \cos \Omega_I t - I_x \sin \Omega_I t$$



$$I_z \xrightarrow{\mathcal{H}_{free}t} I_z$$



# Product Operators: Example 2- Chemical-Shift Evolution

- The relevant single-spin Hamiltonian

$$\mathcal{H}_{free} = \Omega_I I_z + \Omega_S S_z$$

$\Omega$ 's being the offset

- Evolution under this Hamiltonian for  $I_x$

$$I_x \xrightarrow{\Omega_I t I_z + \Omega_S t S_z} I_x \cos \Omega_I t + I_y \sin \Omega_I t$$

- Evolution of the  $2I_x S_z$  term under a  $90^\circ$  pulse about the y-axis

- The relevant Hamiltonian is

$$\mathcal{H} = \omega_{nut} I_y + \omega_{nut} S_y$$

$$2I_x S_z \xrightarrow{\frac{\pi}{2} I_y} -2I_z S_z \xrightarrow{\frac{\pi}{2} S_y} -2I_z S_x$$

Remember :  $\omega_{I,S} t = \frac{\pi}{2}$  for a  $90^\circ$  pulse

- This means that the anti-phase magnetisation of spin I has been transferred into anti-phase magnetisation of spin S. This is called coherence transfer and plays a great role in both one- and multi-dimensional NMR

# Product Operators: Example 3- Scalar Coupling

$$\mathcal{H}_J = 2\pi J_{IS} I_z S_z; J_{IS} \text{ is the coupling in Hz.}$$

- Evolution under coupling causes interconversion of in-phase and anti-phase magnetisation

$$\mathcal{H}_J = 2\pi J_{IS} I_z S_z$$

$$I_x \longrightarrow I_x \cos \pi J_{IS} t + 2I_y S_z \sin \pi J_{IS} t$$

- In-phase magnetisation along  $x$  becomes anti-phase along  $y$

$$\mathcal{H}_J = 2\pi J_{IS} I_z S_z$$

$$2I_x S_z \longrightarrow 2I_x S_z \cos \pi J_{IS} t + 2I_y \sin \pi J_{IS} t$$

- Anti-phase magnetisation along  $x$  becomes in-phase along  $y$

$$\mathcal{H}_J = 2\pi J_{IS} I_z S_z$$

$$-2I_z S_z \longrightarrow -2I_x S_y \cos \pi J_{IS} t + 2S_y \sin \pi J_{IS} t$$

- The above, but for spin  $S$

- Complete interconversion of in-phase and anti-phase magnetization requires a delay of

$$\pi J_{IS} t = \frac{\pi}{2} \quad \text{i.e. a delay of } \frac{1}{2J_{IS}}$$

$$I_x \xrightarrow{2\pi J_{IS} t I_z S_z \quad t = \frac{1}{2J_{IS}}} 2I_y S_z$$

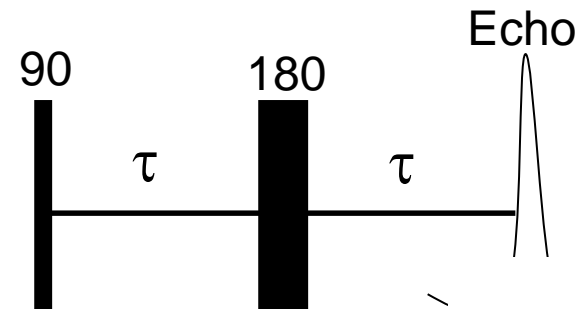


# Product Operators: Some References

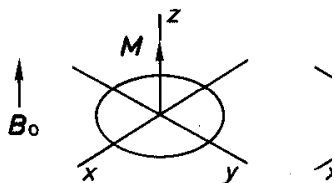
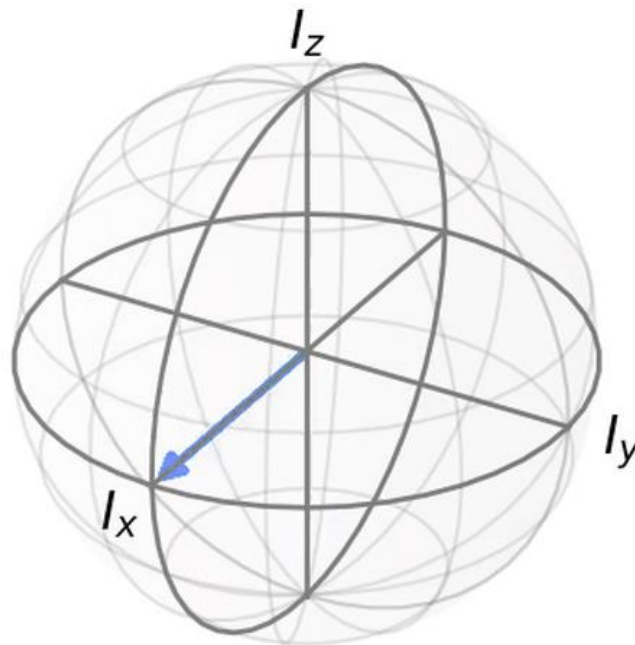
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- Understanding NMR spectroscopy, J. Keeler, Wiley, 2010
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# Experiments under Static Conditions

# Spin Echoes: Spin-1/2

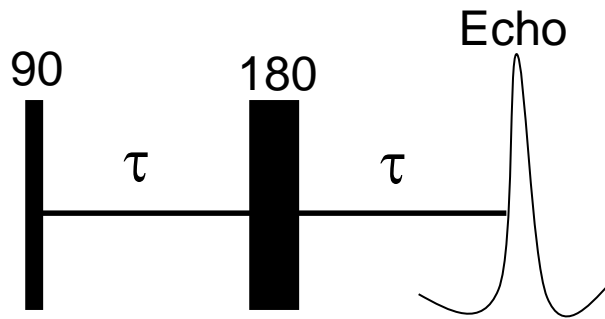


**Spin-echo experiment**  
**Refocuses chemical-shift interaction**  
**and heteronuclear dipole-dipole interaction**



*RF pulses*

# Spin Echoes: Spin-1/2

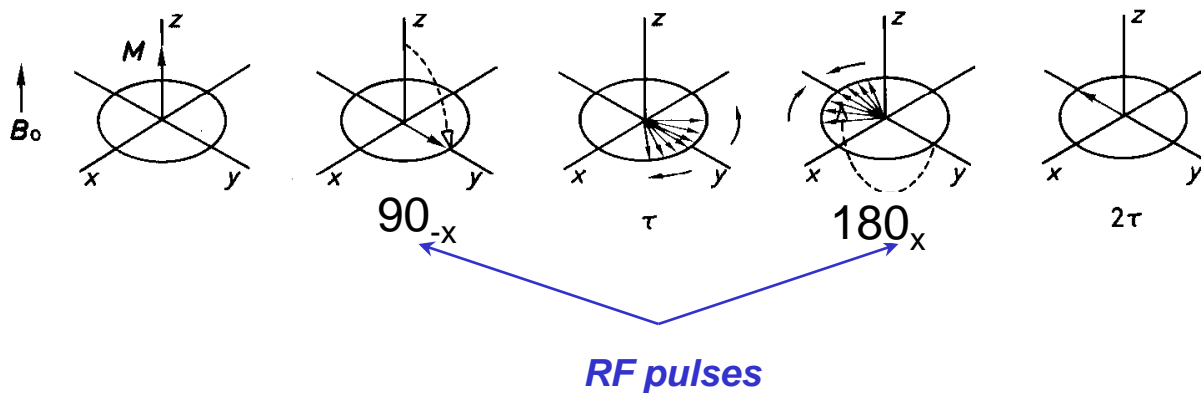


Spin-echo experiment  
Refocuses chemical-shift interaction  
and heteronuclear dipole-dipole interaction

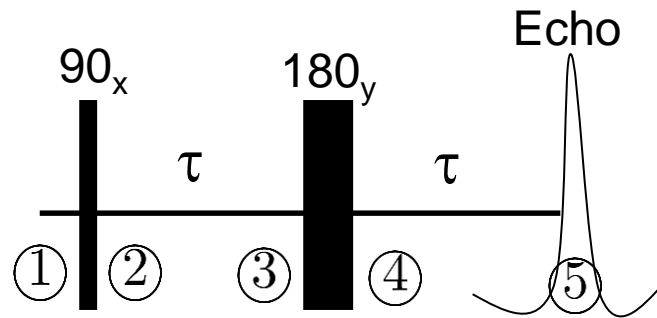
$$\mathcal{H}_{CS} \propto I_z$$

$$\mathcal{H}_{DD}^{hetero} \propto I_z S_z$$

Spin Echo (Hahn Echo) Sequence:



# Spin Echoes: Spin-1/2-Density Matrix Analysis



Spin-echo experiment

Refocuses chemical-shift interaction  
and heteronuclear dipole-dipole interaction

$$\mathcal{H} = \omega_0 I_z$$

$$\rho_{\textcircled{1}} = \rho^{eq} = \frac{1}{2}1 + \frac{1}{2}BI_z$$

$$\rho_{\textcircled{2}} = -\frac{1}{2}BI_y$$

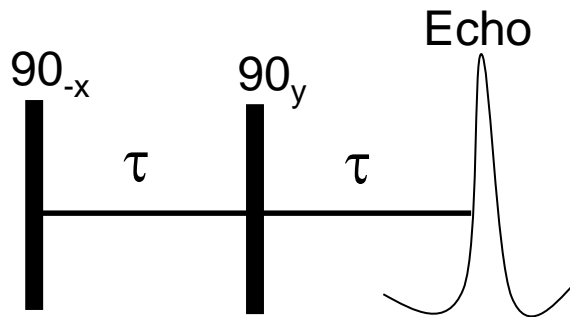
$$\rho_{\textcircled{3}} = \frac{1}{2}B[-I_y \cos \omega_0 \tau + I_x \sin \omega_0 \tau] \exp(-\lambda \tau)$$

$$\rho_{\textcircled{4}} = \frac{1}{2}B[-I_y \cos \omega_0 \tau - I_x \sin \omega_0 \tau] \exp(-\lambda \tau)$$

$$\begin{aligned} \rho_{\textcircled{5}} &= \frac{1}{2}B[-I_y \cos^2 \omega_0 \tau + I_x \cos \omega_0 \tau \sin \omega_0 \tau - I_x \cos \omega_0 \tau \sin \omega_0 \tau \\ &\quad - I_y [\sin^2 \omega_0 \tau] \exp(-\lambda \tau) \\ &= -\frac{1}{2}BI_y \exp(-\lambda \tau) \end{aligned}$$

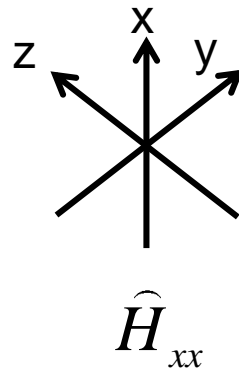
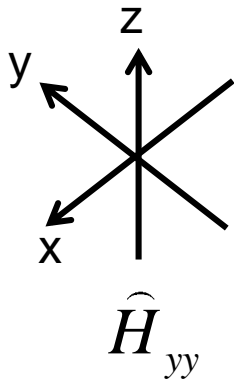
$\rho_{\textcircled{5}}$  is independent of the resonance offset,  $\omega_0$   
 $\Rightarrow$  the signal at ⑤ is independent of  $B_0$   
 $\Rightarrow$  echo/refocussing

# Spin Echoes: Spin-1/2 but Homonuclear



Echo experiment to refocus  
homonuclear dipole-dipole coupling

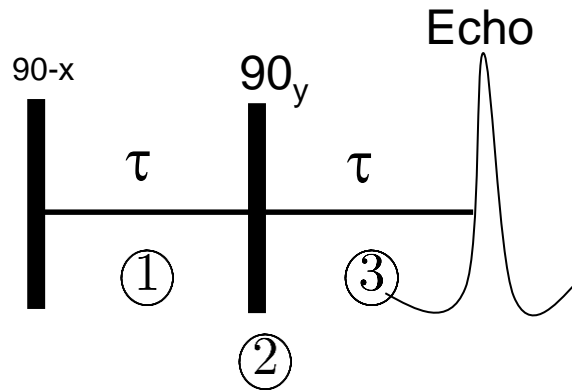
$$H = H_{zz} \sim [3I_{1z}I_{2z} - I_1 \cdot I_2]$$



$$H(2\tau) = H_{zz} + H_{xx} \sim -[3I_{1y}I_{2y} - I_1 \cdot I_2] = -H_{yy}$$

Refocussing of interactions

# Homonuclear Spin Echo: Analysis



Echo experiment to refocus  
homonuclear dipole-dipole coupling

$$\mathcal{H}_{DD}^{homo} \propto 3I_{1z}I_{2z} - I_1 \cdot I_2$$

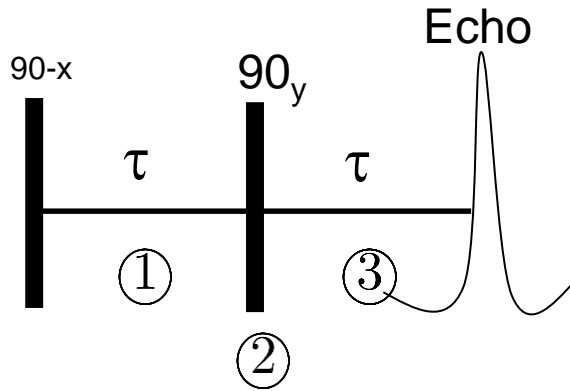
$$\rho(2\tau) = U_{\textcircled{3}}(2\tau, \tau) U_{\textcircled{2}}(\tau, \tau) U_{\textcircled{1}}((\tau, 0) \rho(0) [U_{\textcircled{1}}(\tau, 0)]^{-1} [U_{\textcircled{2}}(\tau, \tau)]^{-1} [U_{\textcircled{3}}]^{-1}((2\tau, \tau)$$

$$\rho(0) = I_{1y} + I_{2y}$$

$$U_{\textcircled{1}}(\tau, 0) = e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}}, U_{\textcircled{2}}(\tau, \tau) = e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}}, U_{\textcircled{3}}(2\tau, \tau) = e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}}$$

$$\mathcal{H}_{DD}^{homo} = A(3I_{1z}I_{2z} - I_1 \cdot I_2)$$

# Homonuclear Spin Echo: Analysis



Echo experiment to refocus  
homonuclear dipole-dipole coupling

$$\mathcal{H}_{DD}^{homo} \propto 3I_{1z}I_{2z} - I_1 \cdot I_2$$

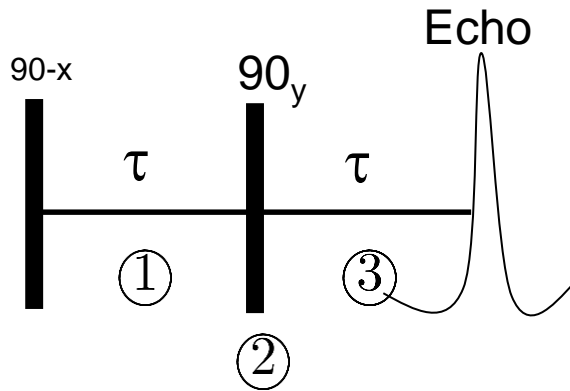
$$U_{\textcircled{1}}(\tau, 0) = e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}}$$

$$\begin{aligned} U_{\textcircled{2}}(\tau, \tau)U_{\textcircled{1}}(\tau, 0) &= e^{-i\frac{\pi}{2}I_y} e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}} = e^{-i\frac{\pi}{2}I_y} e^{\frac{-i\mathcal{H}_{DD}^{homo}\tau}{\hbar}} e^{i\frac{\pi}{2}I_y} e^{-i\frac{\pi}{2}I_y} \\ &= e^{-iA(3I_{1x}I_{2x} - I_1 \cdot I_2)\tau} e^{-i\frac{\pi}{2}I_y} \end{aligned}$$

$$\begin{aligned} U_{\textcircled{3}}(2\tau, \tau)U_{\textcircled{2}}(\tau, \tau)U_{\textcircled{1}}(\tau, 0) &= e^{-iA(3I_{1z}I_{2z} - I_1 \cdot I_2)\tau} \\ &\quad e^{-iA(3I_{1x}I_{2x} - I_1 \cdot I_2)\tau} e^{-i\frac{\pi}{2}I_y} \\ &= e^{-iA(I_1 \cdot I_2 - 3I_{1y}I_{2y})\tau} e^{-i\frac{\pi}{2}I_y} \end{aligned}$$



# Homonuclear Spin Echo: Analysis



Echo experiment to refocus  
homonuclear dipole-dipole coupling

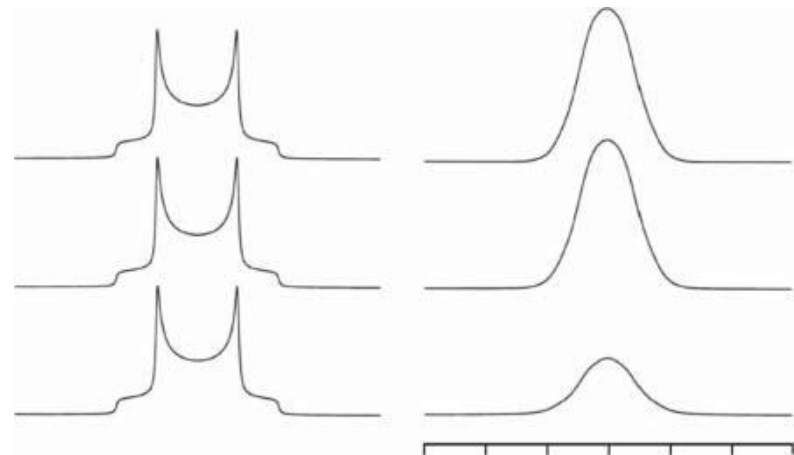
$$\mathcal{H}_{DD}^{homo} \propto 3I_{1z}I_{2z} - I_1 \cdot I_2$$

$$\rho(2\tau) = U_{\textcircled{3}}(2\tau, \tau)U_{\textcircled{2}}(\tau, \tau)U_{\textcircled{1}}((\tau, 0)\rho(0)[U_{\textcircled{1}}(\tau, 0)]^{-1}[U_{\textcircled{2}}(\tau, \tau)]^{-1}[U_{\textcircled{3}}]^{-1}((2\tau, \tau)$$

$$U_{\textcircled{3}}(2\tau, \tau)U_{\textcircled{2}}(\tau, \tau)U_{\textcircled{1}}(\tau, 0) = e^{-iA(I_1 \cdot I_2 - 3I_{1y}I_{2y})\tau} e^{-i\frac{\pi}{2}I_y}$$

$$\rho(2\tau) = I_{1y} + I_{2y} = \rho(0)$$

That is the echo!



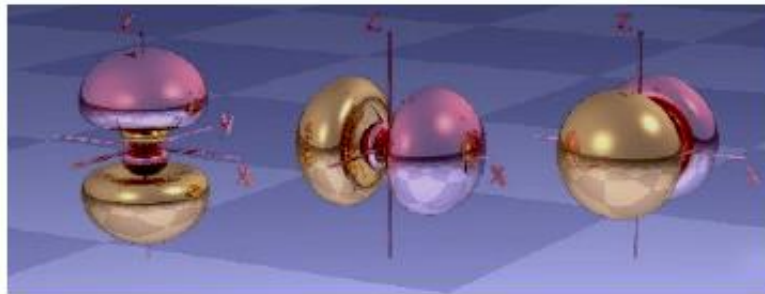
# Spherical Tensors: Examples

- Rank  $l=0$  : A s-orbital
- Rank  $l=1$  : Three p-orbitals
- Rank  $l=2$  : Five d-orbitals



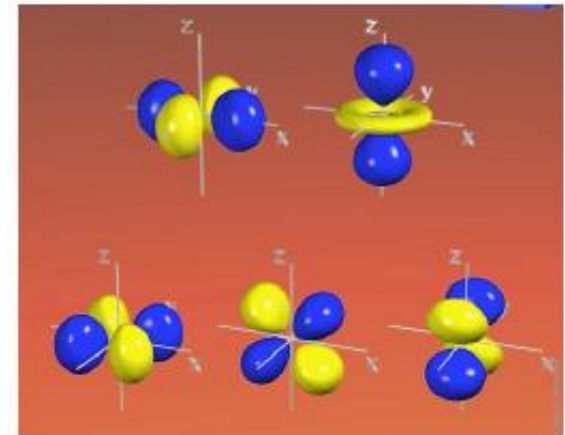
$$l=0$$

$$2l+1=1$$



$$l=1$$

$$2l+1=3$$



$$l=2$$

$$2l+1=5$$

# Spherical Tensors

A spherical tensor of rank  $l$  is a set of  $2l+1$  objects such that when any of them is rotated in three dimensions, the result is a superposition of the same set of objects

Rotation of spherical tensors

$$R(\Omega)T_{lm}R(\Omega)^\dagger = \sum_{m'=-l}^l T_{lm'}D_{mm'}^l(\Omega)$$

Complex numbers

Rotation operator

Spherical tensor element  
of rank  $l$

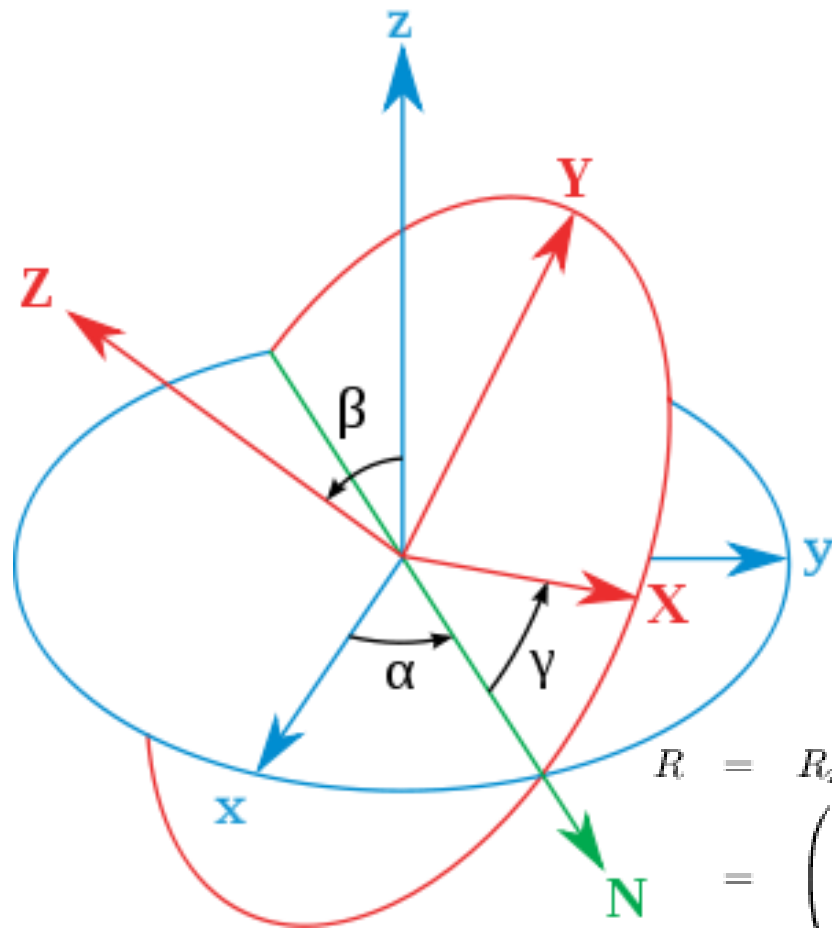
# Rotational Signatures of the Internal Spin Interactions

Interaction	Space Rank $l$	Spin Rank $\lambda$
Iso-CS	0	1
CSA	2	1
J	0	0
Hetero-DD	2	1
Homo-DD	2	2

Rotation of  
molecules

Rotation of  
spins

# Euler Angles



$$\begin{aligned}
 R &= R_{Z''}(\gamma) \cdot R_{Y'}(\beta) \cdot R_Z(\alpha) \\
 &= \begin{pmatrix} c\gamma & s\gamma & 0 \\ -s\gamma & c\gamma & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} c\beta & 0 & -s\beta \\ 0 & 1 & 0 \\ s\beta & 0 & c\beta \end{pmatrix} \cdot \begin{pmatrix} c\alpha & s\alpha & 0 \\ -s\alpha & c\alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} c\gamma c\beta c\alpha - s\gamma s\alpha & c\gamma c\beta s\alpha + s\gamma c\alpha & -c\gamma s\beta \\ -s\gamma c\beta c\alpha - c\gamma s\alpha & -s\gamma c\beta s\alpha + c\gamma c\alpha & s\gamma s\beta \\ s\beta c\alpha & s\beta s\alpha & c\beta \end{pmatrix}
 \end{aligned}$$

# Rotation of Spherical Tensors

$$R(\Omega)T_{lm}R(\Omega)^\dagger = \sum_{m'=-l}^l T_{lm'}D_{mm'}^l(\Omega)$$

Rotation operator  
for Euler angles  $\Omega$

Elements of Wigner matrix  
for Euler angles  $\Omega$

# Wigner Matrices

$$D^l(\Omega) = \begin{pmatrix} \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \end{pmatrix} \begin{matrix} \updownarrow \\ 2l+1 \text{ elements} \end{matrix}$$

$\leftarrow \rightarrow$   
 $2l+1 \text{ elements}$

$$D_{m'm}^l(\Omega) = \exp(-im'\alpha) d_{m'm}^l(\beta) \exp(-im\gamma)$$

**Wigner matrix element**

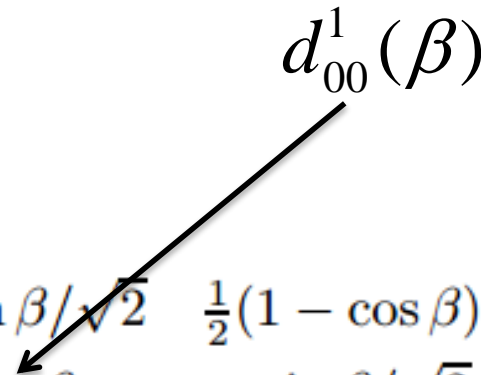
**Reduced Wigner matrix element**

# Reduced Wigner Matrix Elements (Rank 0 and 1)

$$d^0(\beta) = 1$$

$$d^1_{mm'}(\beta) = \begin{pmatrix} \frac{1}{2}(1 + \cos \beta) & -\sin \beta / \sqrt{2} & \frac{1}{2}(1 - \cos \beta) \\ \sin \beta / \sqrt{2} & \cos \beta & -\sin \beta / \sqrt{2} \\ \frac{1}{2}(1 - \cos \beta) & \sin \beta / \sqrt{2} & \frac{1}{2}(1 + \cos \beta) \end{pmatrix} \begin{matrix} m = -1 \\ m = 0 \\ m = 1 \end{matrix}$$

$m' = -1 \qquad m' = 0 \qquad m' = 1$



The diagram illustrates the relationship between the reduced Wigner matrix element  $d^1_{00}(\beta)$  and the matrix element  $\cos \beta$  in the  $d^1_{mm'}(\beta)$  matrix. An arrow points from the label  $d^1_{00}(\beta)$  to the  $\cos \beta$  element in the middle row and middle column of the matrix, where both  $m = 0$  and  $m' = 0$ .



# Reduced Wigner Matrix Elements (Rank 2)

$$d_{00}^2(\beta)$$

$$d_{mm'}^2(\beta) =$$

$$m = -2$$

$$\begin{pmatrix} \frac{1}{4}(1 + \cos\beta)^2 & -\frac{1}{2}\sin\beta(1 + \cos\beta) & \sqrt{\frac{3}{8}}\sin^2\beta & -\frac{1}{2}\sin\beta(1 - \cos\beta) & \frac{1}{4}(1 - \cos\beta)^2 \\ \frac{1}{2}\sin\beta(1 + \cos\beta) & \frac{1}{2}(2\cos^2\beta + \cos\beta - 1) & -\sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(2\cos^2\beta - \cos\beta - 1) & -\frac{1}{2}\sin\beta(1 - \cos\beta) \\ \sqrt{\frac{3}{8}}\sin^2\beta & \sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(3\cos^2\beta - 1) & -\sqrt{\frac{3}{8}}\sin 2\beta & \sqrt{\frac{3}{8}}\sin^2\beta \\ \frac{1}{2}\sin\beta(1 - \cos\beta) & -\frac{1}{2}(2\cos^2\beta - \cos\beta - 1) & \sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(2\cos^2\beta + \cos\beta - 1) & -\frac{1}{2}\sin\beta(1 + \cos\beta) \\ \frac{1}{4}(1 - \cos\beta)^2 & \frac{1}{2}\sin\beta(1 - \cos\beta) & \sqrt{\frac{3}{8}}\sin^2\beta & \frac{1}{2}\sin\beta(1 + \cos\beta) & \frac{1}{4}(1 + \cos\beta)^2 \end{pmatrix}$$

$$m = 2$$

$$m' = -2$$

$$m' = -1$$

$$m' = 0$$

$$m' = 1$$

$$m' = 2$$

# Summary of NMR Interactions

Interaction	Liquids	Solids	Field ( $B_0$ ) dependence	Notes
Zeeman (chemical shift)	Line position	Line position and structure	Linear	For liquids this is just the position of each peak, but for solids each peak can have a range of chemical shifts depending on the orientation of its electronic environment with the magnetic field. This is known as the chemical shift anisotropy and can have a larger range for nuclei in more asymmetric electronic environments.
Radiofrequency pulses	Amplitude	Amplitude	None	Same for liquids and solids
J coupling	Line structure	Not normally observed (!)	None*	Basically the same for solids and liquids but not normally observed in solids due to much wider lines
Dipolar coupling	Relaxation	Line structure	None*	Averages to zero in liquids due to isotropic motions (but does still result in relaxation effects). In solids can completely dominate the spectra especially for large $\gamma$ nuclei like protons
Quadrupolar interaction (spin $> 1/2$ )	Relaxation	Line structure	None*	Averages to zero in liquids due to isotropic motions, but in solids can completely dominate the spectra especially for nuclei with large quadrupole moments ( $^{14}\text{N}$ , $^2\text{H}$ ) in asymmetric electronic environments.

**\*To first order**

# Magic-Angle Spinning, MAS

# Reality

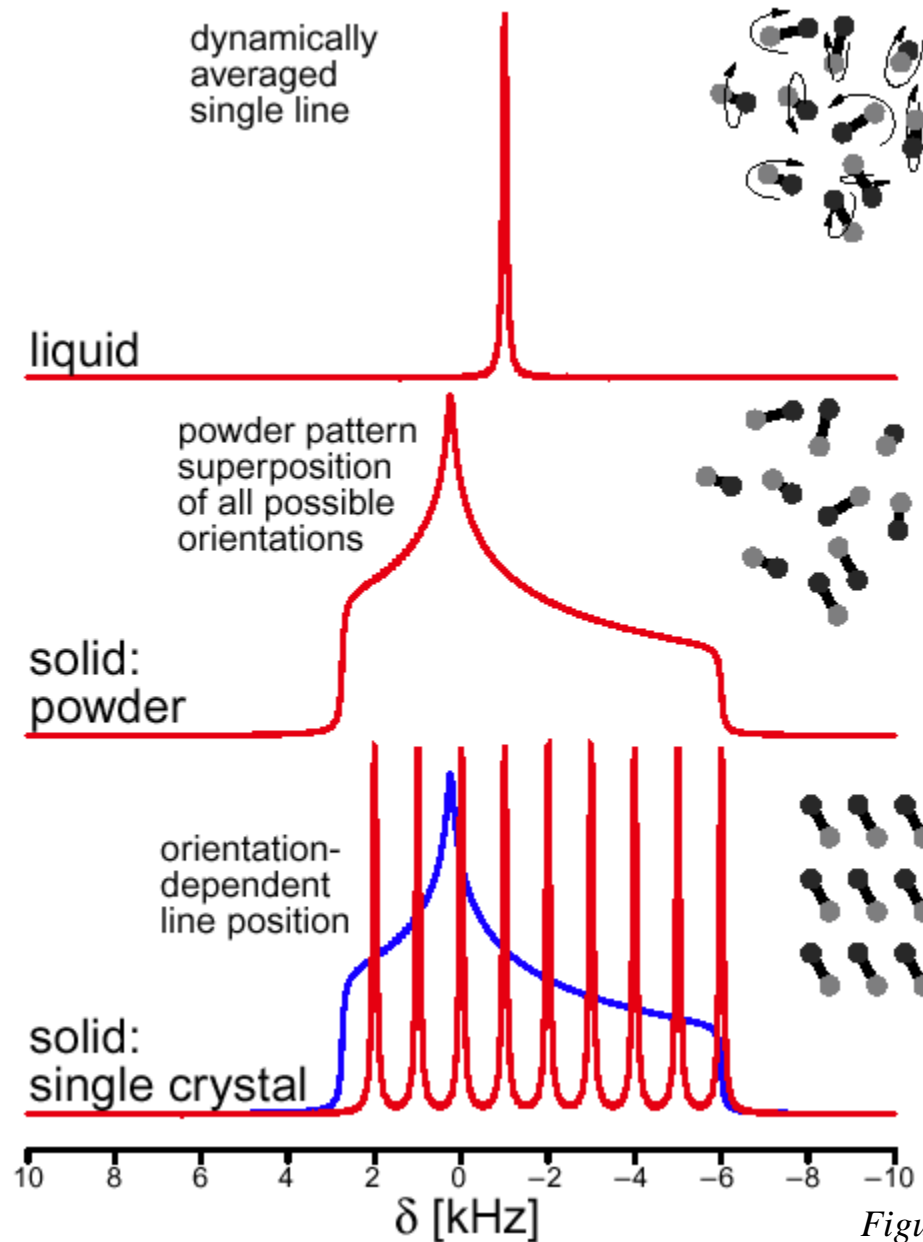
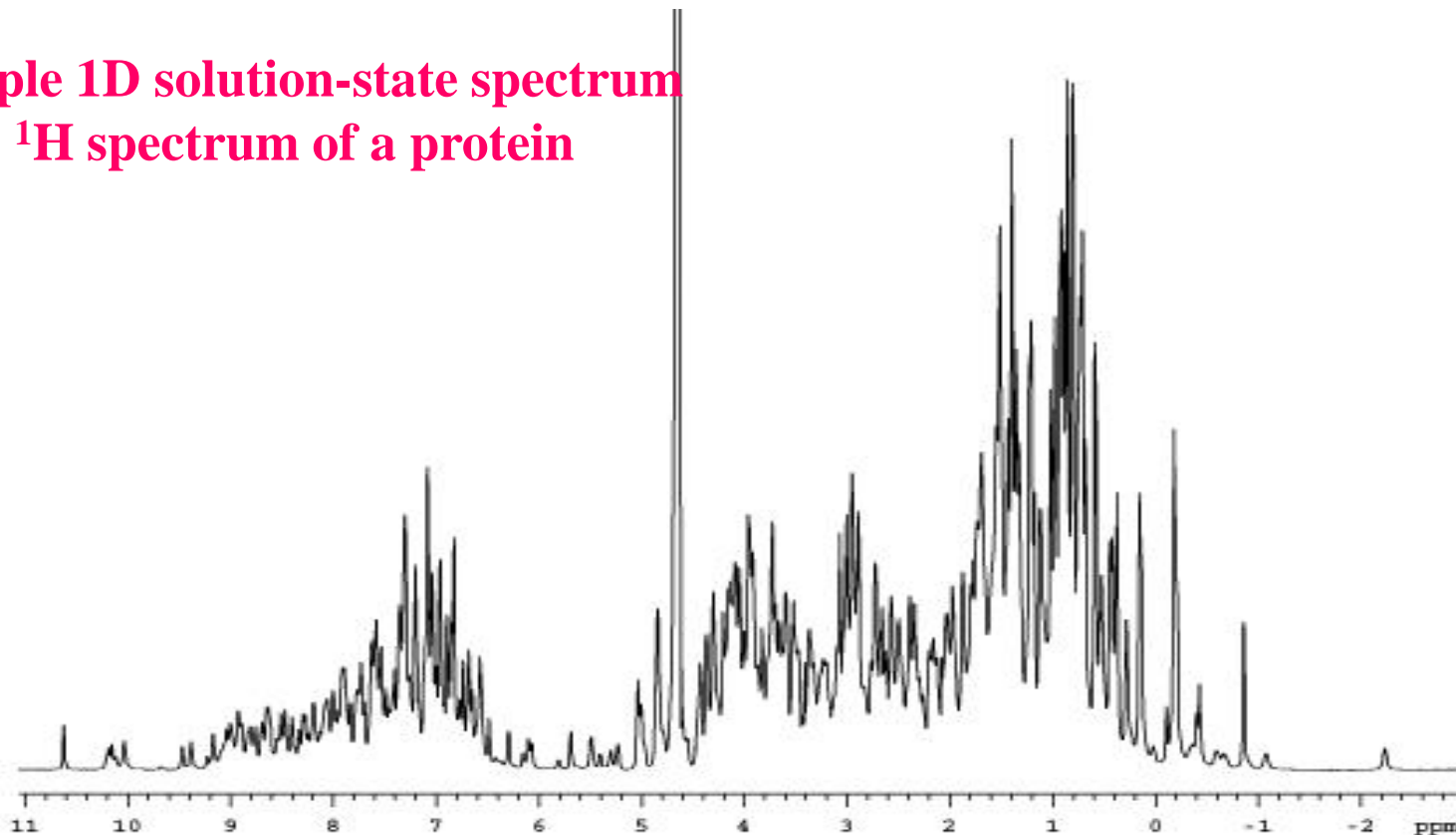


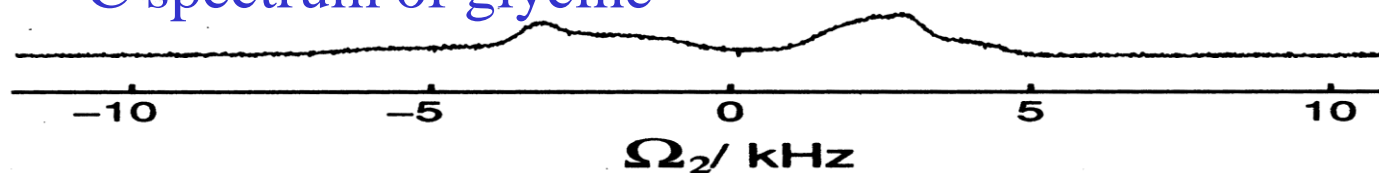
Figure courtesy: Matthias Ernst

# Reality

Simple 1D solution-state spectrum  
 $^1\text{H}$  spectrum of a protein

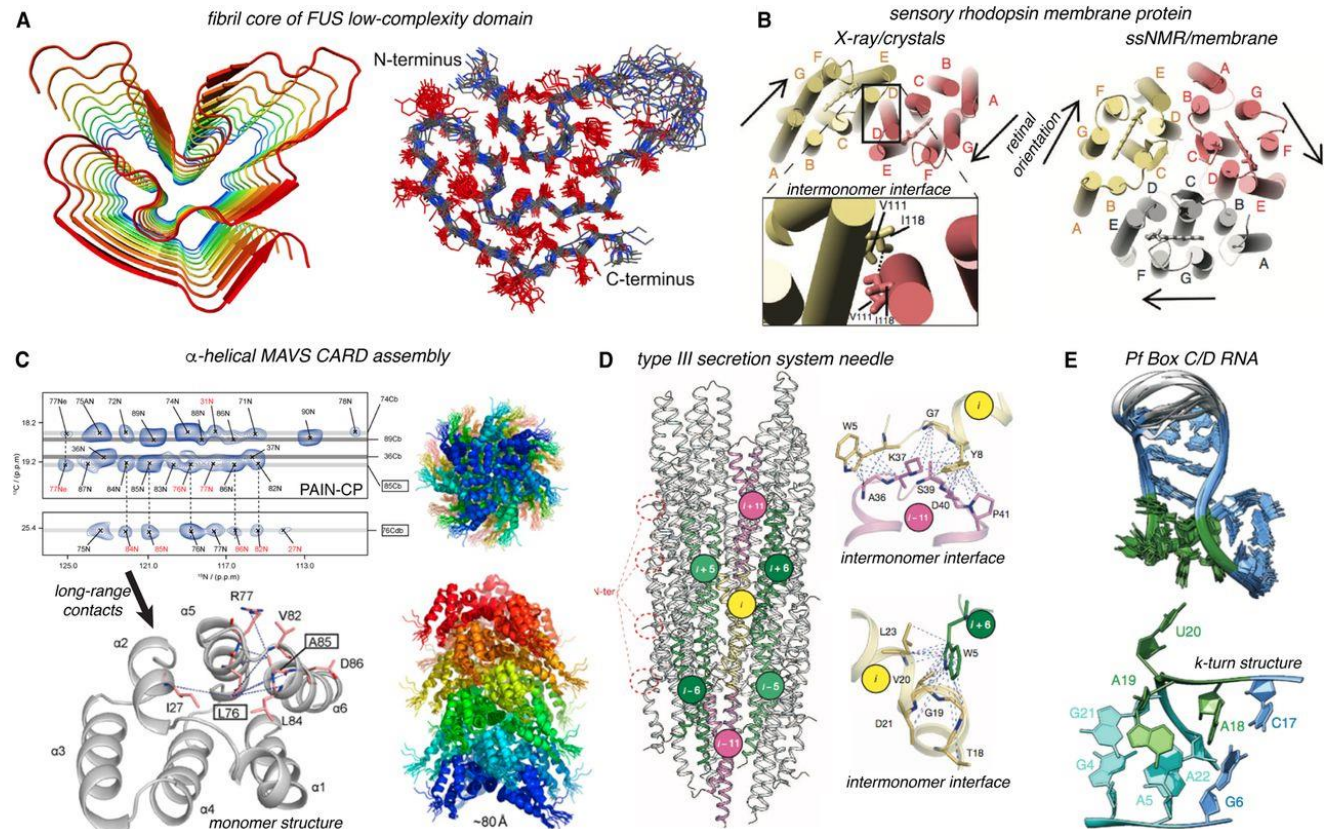


Simple 1D solid-state spectrum  
 $^{13}\text{C}$  spectrum of glycine



# Solid-State NMR at Frontiers

- Higher fields
- Higher MAS
- Better coils
- Proton detection
  - Sensitivity
  - Sample volume



Patrick van del Wel, Emerging topics in life sciences, 2, 57, 2018

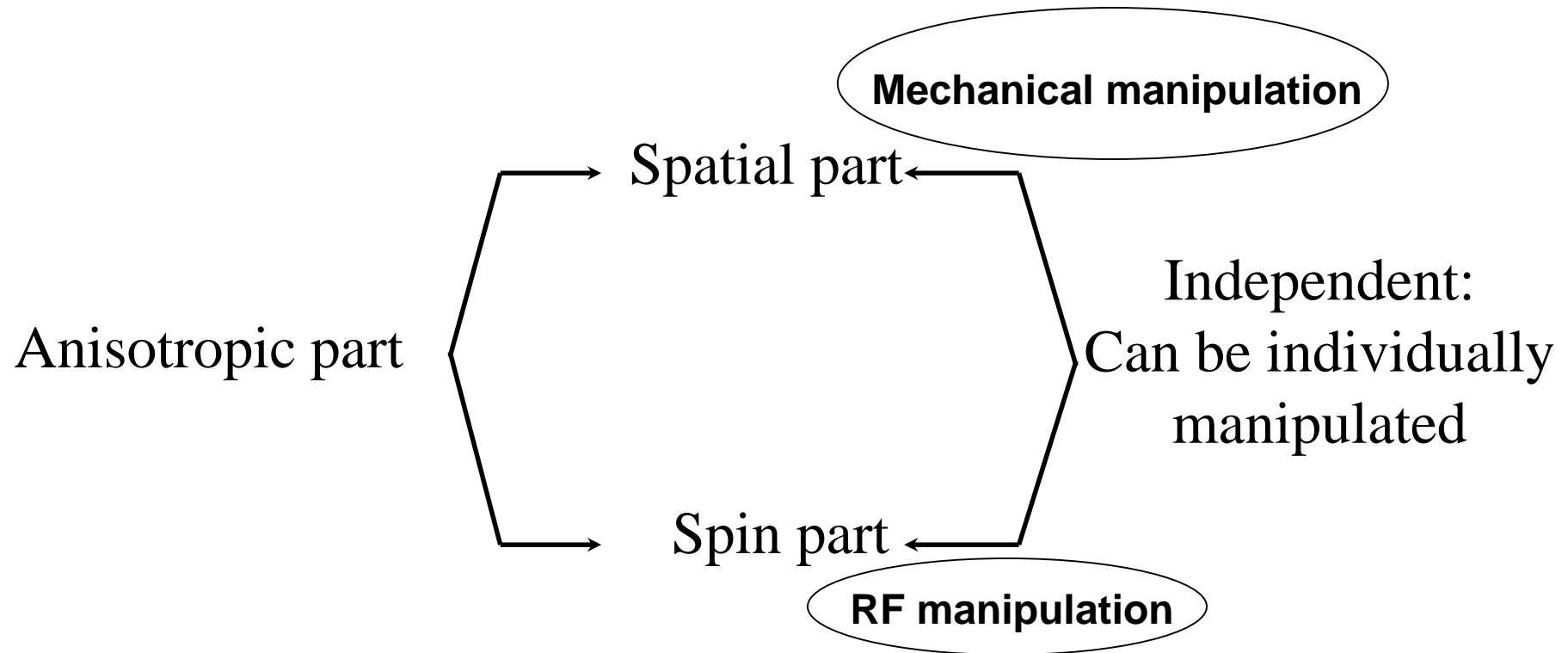
Reif, Ashbrook, Emsley, Hong, Nat. Rev. Methods Primers, 2021:1:2.doi: 10.1038/s43586-020-00002-1

Ahlawat, Mote, Lakomek, Agarwal, Chem. Rev. 122, 9643-9737, 2022

# Remedies

- **Mimick the inherent averaging processes in solution-state to obtain high-resolution, isotropic information**
- **Goal #1:(Resolution and Sensitivity): Remove anisotropic parts and retain only isotropic parts: Decoupling**
- **Goal #2: Get back the anisotropic parts for elucidation of geometry parameters: Recoupling**

# Remedies





# Hamiltonians and their Manipulation

$$H_{TOTAL} = [H_{SPACE} \otimes H_{SPIN}]^{anisotropic} + H^{isotropic}$$

## Spatial Part: Manipulation

- Rotating the crystallites in a given powder
- Sample spinning: Mechanical manipulation
- Easier to visualise
- Difficult to implement

## Spin Part: Manipulation

- Rotating the spins in a given powder
- Spins rotation: Manipulation by RF pulses
- Easier to implement
- Difficult to visualise

Interaction	Space Rank, $l$	Spin Rank, $\lambda$
Iso-CS	0	1
CSA	2	1
J	0	0
Hetero-DD	2	1
Homo-DD	2	2

# Hamiltonians and their Manipulation

$$H_{TOTAL} = [H_{SPACE} \otimes H_{SPIN}]^{anisotropic} + H^{isotropic}$$

Interaction	Space Rank, $l$	Spin Rank, $\lambda$
Iso-CS	0	1
CSA	2	1
J	0	0
Hetero-DD	2	1
Homo-DD	2	2

$$H = \sum_{m=-l}^l [A_{lm}]^L [T_{l-m}]^L$$

↑      ↑  
Space      Spin  
part      part

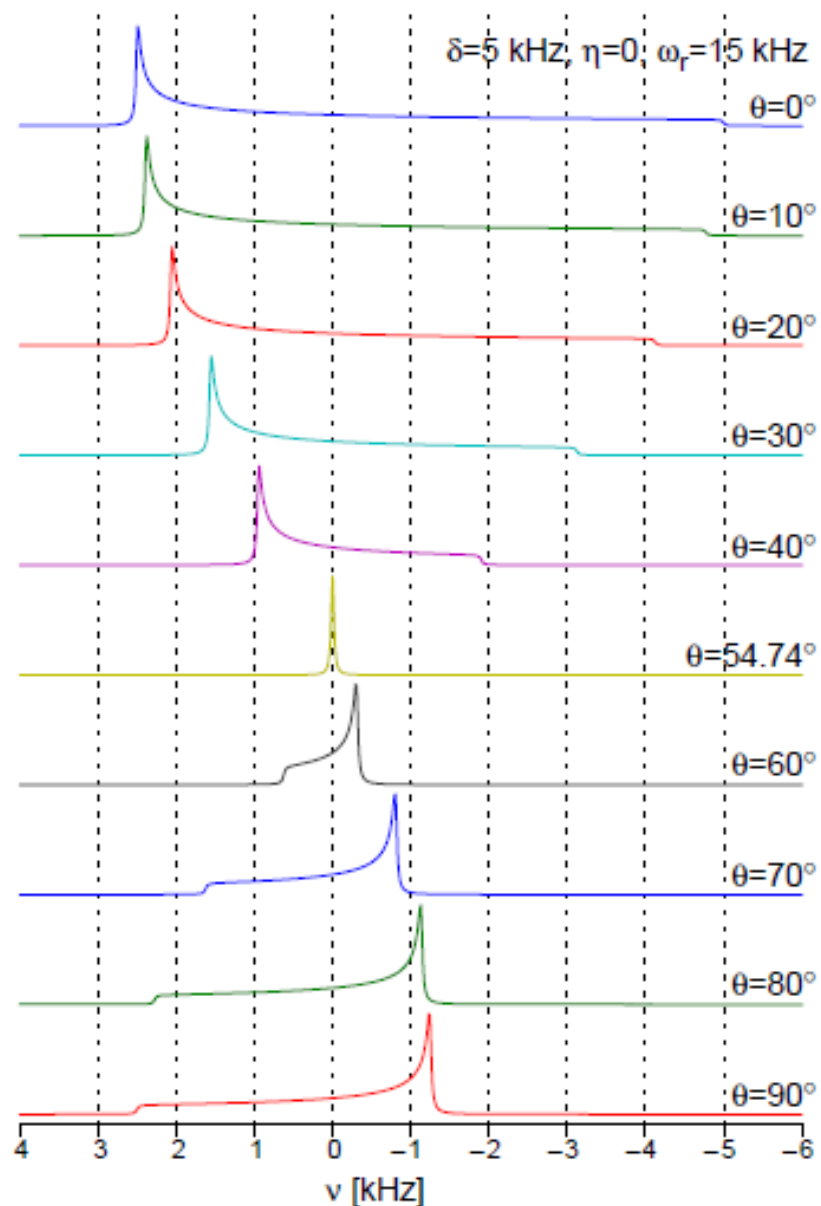
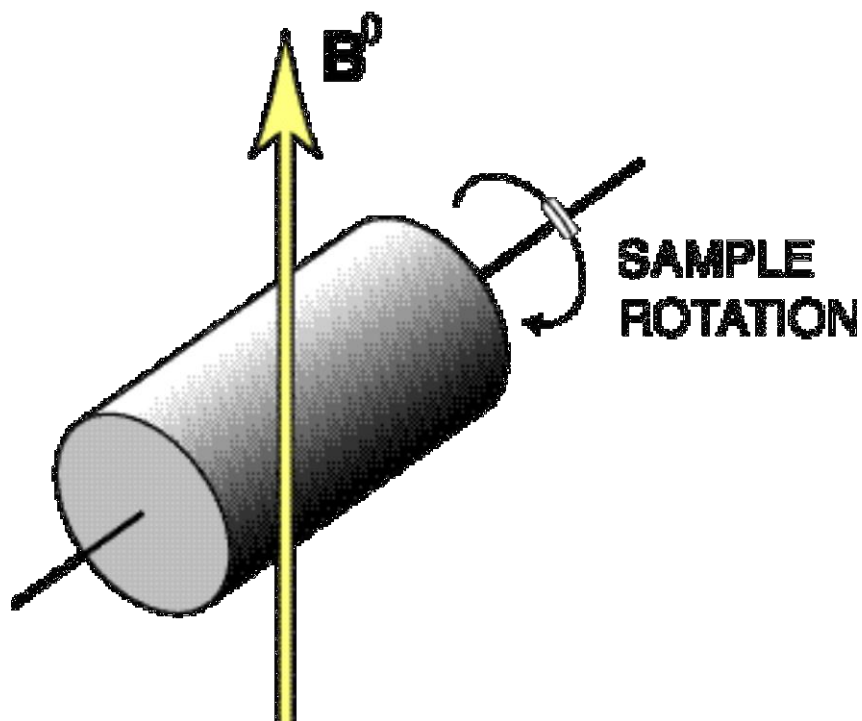
$$H = \sum_{m=-2}^2 [A_{2m}]^L [T_{2-m}]^L$$

NMR  
case

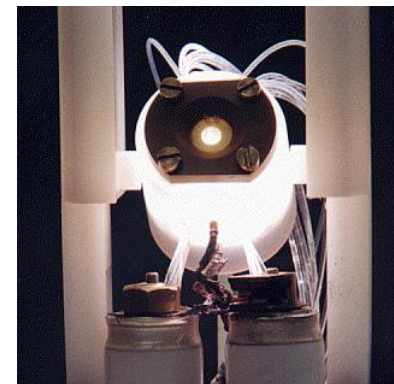
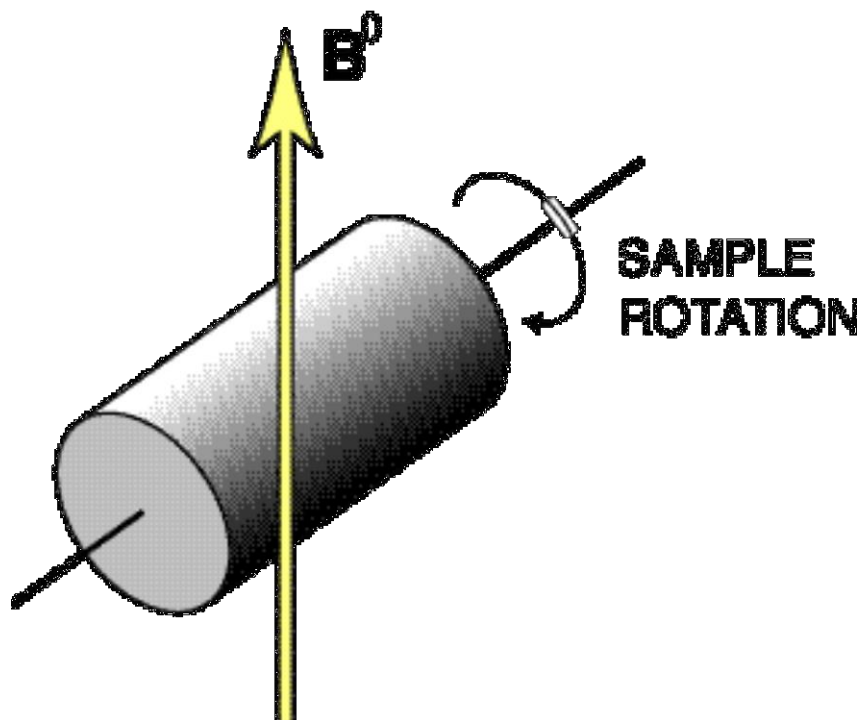
$$H = [A_{20}]^L [T_{20}]^L$$

High field, secular  
approximation

# Powder at Various Rotor Angles: Spinning

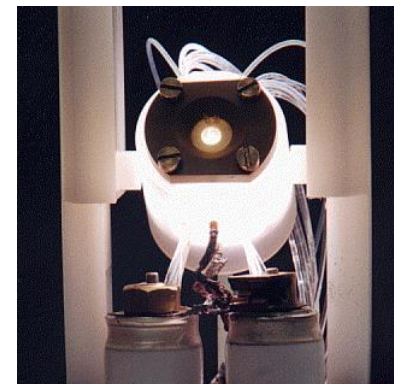
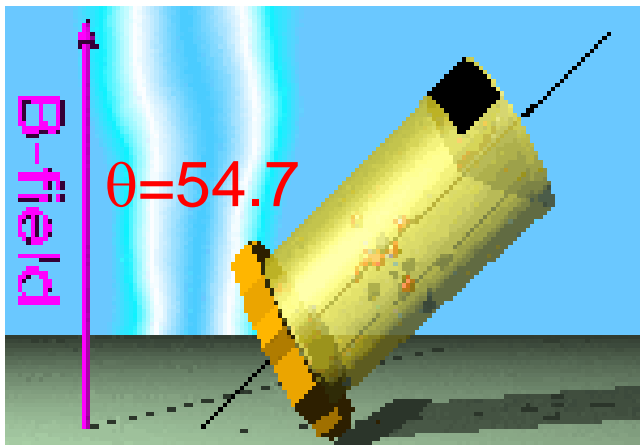


# Magic-Angle Spinning (MAS)



Average out the chemical shift anisotropy, to achieve good sensitivity and resolution

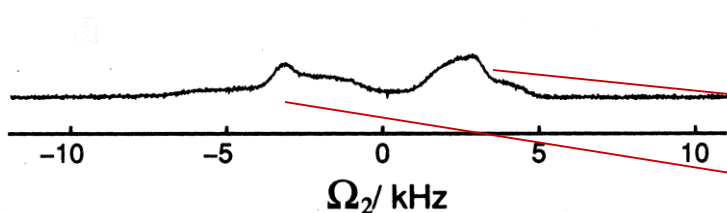
# Magic-Angle Spinning (MAS)



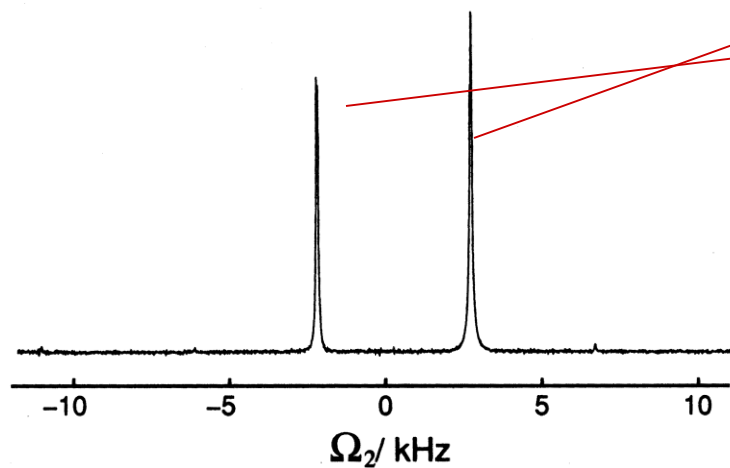
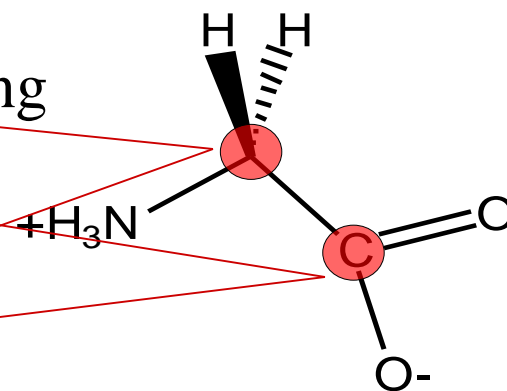
Averages out the chemical shift anisotropy, to achieve good sensitivity and resolution

# Resolution and Sensitivity Enhancement by MAS

$^{13}\text{C}$  spectra of  $[^{13}\text{C}_2]$ -glycine

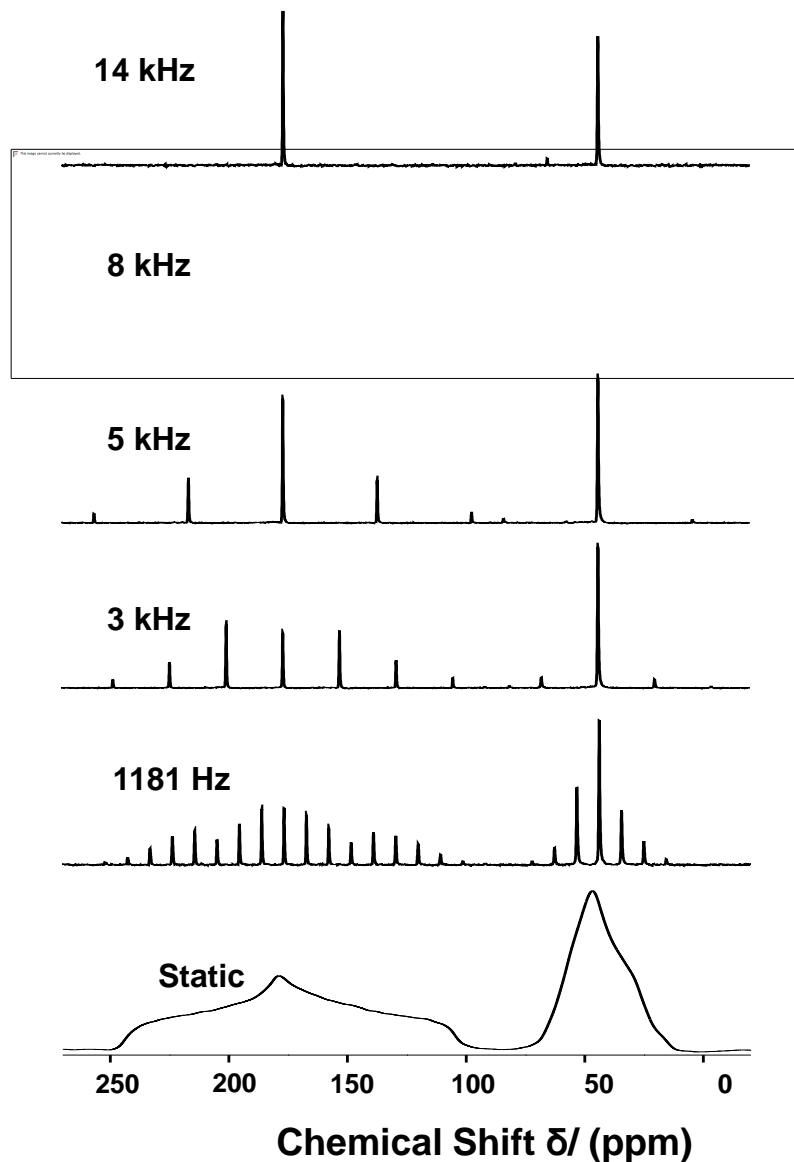


no spinning



with MAS  
at 12 kHz

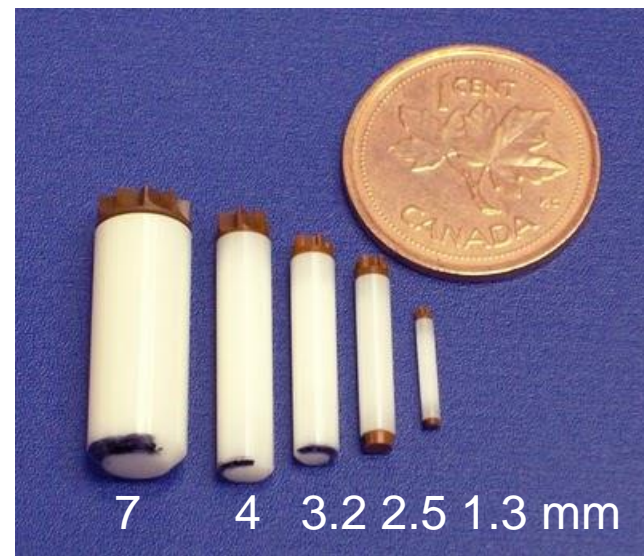
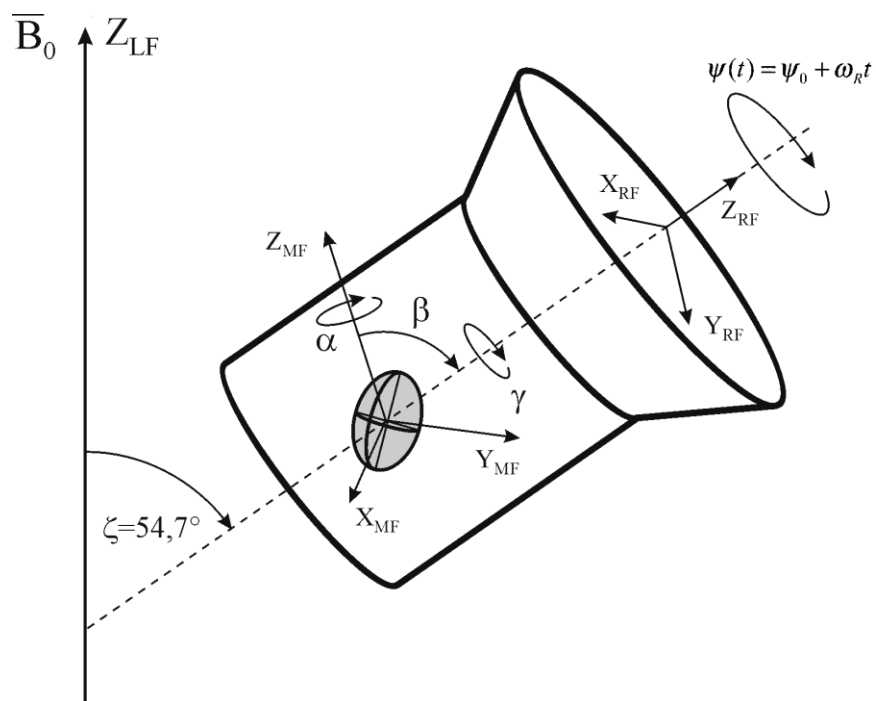
# Magic-Angle-Spinning Spectra: Resolution Enhancement



**Glycine**

The powder pattern breaks up into a centreband and sidebands spaced at integer multiples of the rotor frequency

# MAS Rotor Types





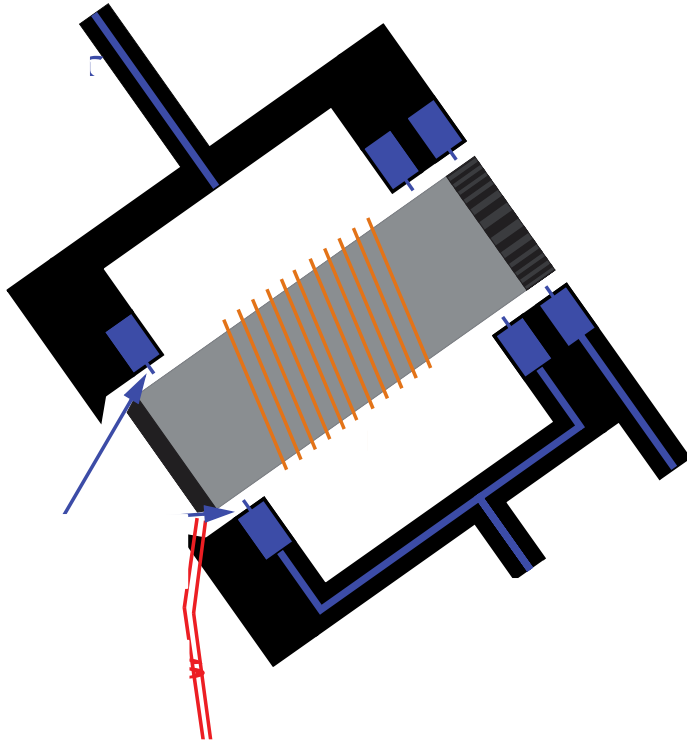
# Angular Frequency to Linear Velocity

## Magic Angle Spinning (MAS)

A 0.8 mm rotor spinning at 100 kHz ...

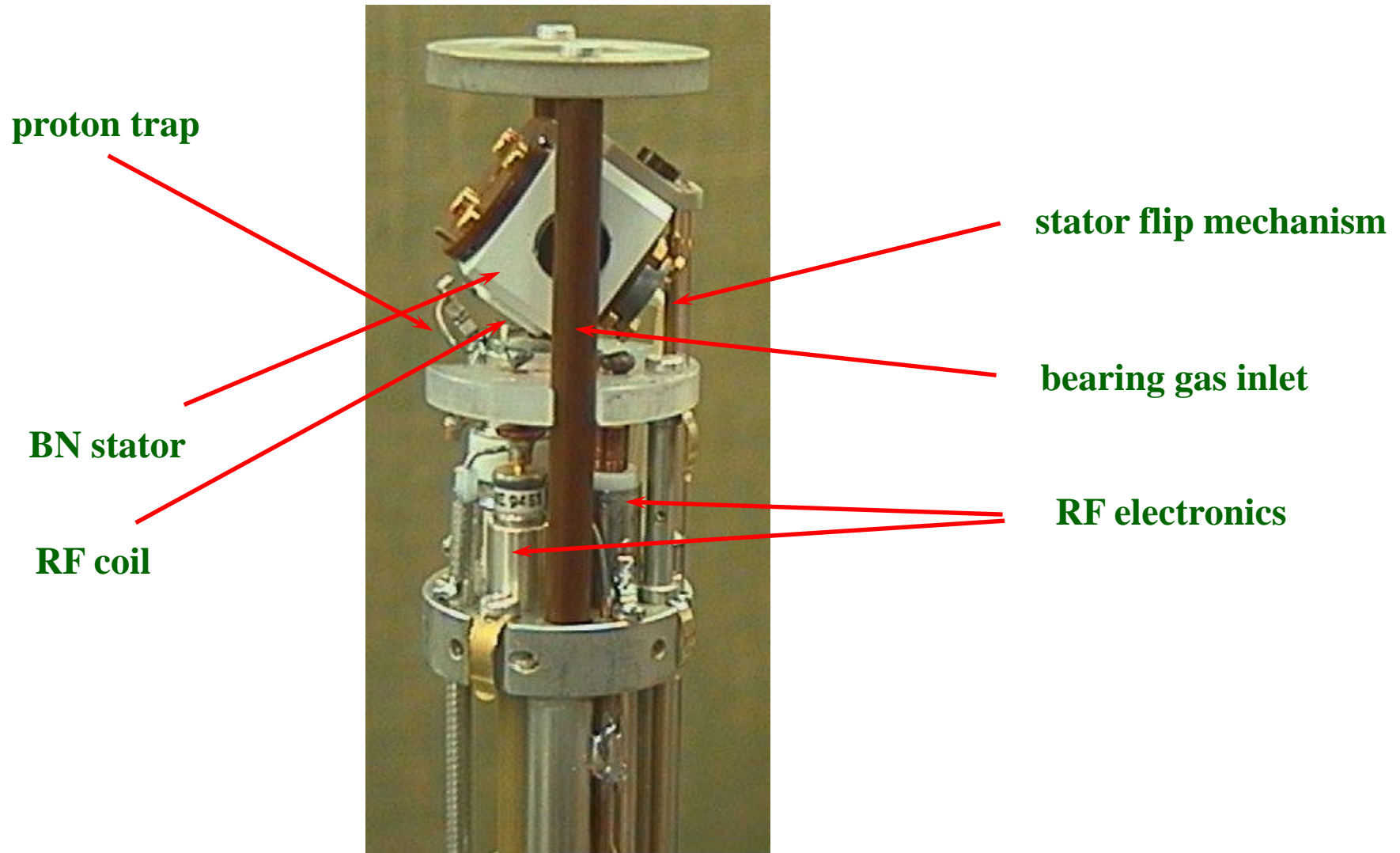
... has a speed of 250m/s when rolling along the ground...

..... needs only 44 hours to roll around the earth....

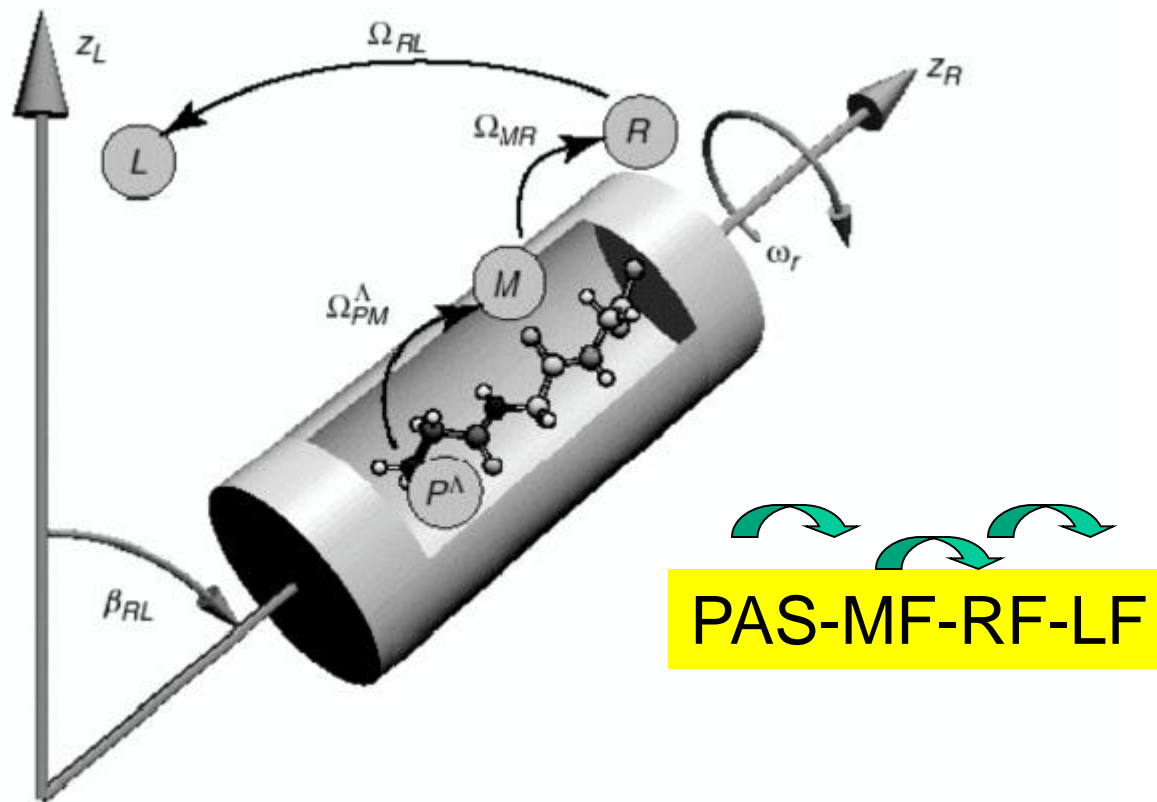


Angular frequency  $\sim 120\text{-}130$  kHz

# Standard Bore MAS Probe



# Reference Frames in Solid-State NMR



Transformation via the relevant Euler angles necessary for visualisation and simulations

# Rotation of Spherical Tensors

$$R(\Omega)T_{lm}R(\Omega)^{\dagger} = \sum_{m'=-l}^l T_{lm'}D_{mm'}^l(\Omega)$$

Rotation operator  
for Euler angles  $\Omega$

Elements of Wigner matrix  
for Euler angles  $\Omega$

# Wigner Matrices

$$D^l(\Omega) = \begin{pmatrix} \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \end{pmatrix} \begin{matrix} \updownarrow \\ 2l+1 \text{ elements} \end{matrix}$$

$\leftarrow \rightarrow$   
 $2l+1 \text{ elements}$

$$D_{m'm}^l(\Omega) = \exp(-im'\alpha) d_{m'm}^l(\beta) \exp(-im\gamma)$$

**Wigner matrix element**

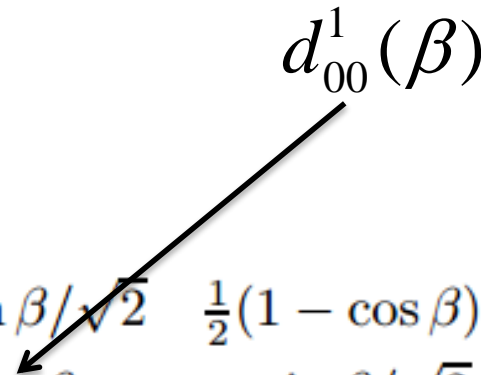
**Reduced Wigner matrix element**

# Reduced Wigner Matrix Elements (Rank 0 and 1)

$$d^0(\beta) = 1$$

$$d^1_{mm'}(\beta) = \begin{pmatrix} \frac{1}{2}(1 + \cos \beta) & -\sin \beta / \sqrt{2} & \frac{1}{2}(1 - \cos \beta) \\ \sin \beta / \sqrt{2} & \cos \beta & -\sin \beta / \sqrt{2} \\ \frac{1}{2}(1 - \cos \beta) & \sin \beta / \sqrt{2} & \frac{1}{2}(1 + \cos \beta) \end{pmatrix} \begin{matrix} m = -1 \\ m = 0 \\ m = 1 \end{matrix}$$

$m' = -1 \qquad m' = 0 \qquad m' = 1$



The diagram shows a label  $d^1_{00}(\beta)$  at the top right. A black arrow points from this label to the element  $\cos \beta$  in the matrix, which is at the intersection of the row  $m=0$  and the column  $m'=0$ .

# Reduced Wigner Matrix Elements (Rank 2)

$$d_{00}^2(\beta)$$

$$d_{mm'}^2(\beta) =$$

$$m = -2$$

$$\begin{pmatrix} \frac{1}{4}(1 + \cos\beta)^2 & -\frac{1}{2}\sin\beta(1 + \cos\beta) & \sqrt{\frac{3}{8}}\sin^2\beta & -\frac{1}{2}\sin\beta(1 - \cos\beta) & \frac{1}{4}(1 - \cos\beta)^2 \\ \frac{1}{2}\sin\beta(1 + \cos\beta) & \frac{1}{2}(2\cos^2\beta + \cos\beta - 1) & -\sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(2\cos^2\beta - \cos\beta - 1) & -\frac{1}{2}\sin\beta(1 - \cos\beta) \\ \sqrt{\frac{3}{8}}\sin^2\beta & \sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(3\cos^2\beta - 1) & -\sqrt{\frac{3}{8}}\sin 2\beta & \sqrt{\frac{3}{8}}\sin^2\beta \\ \frac{1}{2}\sin\beta(1 - \cos\beta) & -\frac{1}{2}(2\cos^2\beta - \cos\beta - 1) & \sqrt{\frac{3}{8}}\sin 2\beta & \frac{1}{2}(2\cos^2\beta + \cos\beta - 1) & -\frac{1}{2}\sin\beta(1 + \cos\beta) \\ \frac{1}{4}(1 - \cos\beta)^2 & \frac{1}{2}\sin\beta(1 - \cos\beta) & \sqrt{\frac{3}{8}}\sin^2\beta & \frac{1}{2}\sin\beta(1 + \cos\beta) & \frac{1}{4}(1 + \cos\beta)^2 \end{pmatrix}$$

$$m = 2$$

$$m' = -2$$

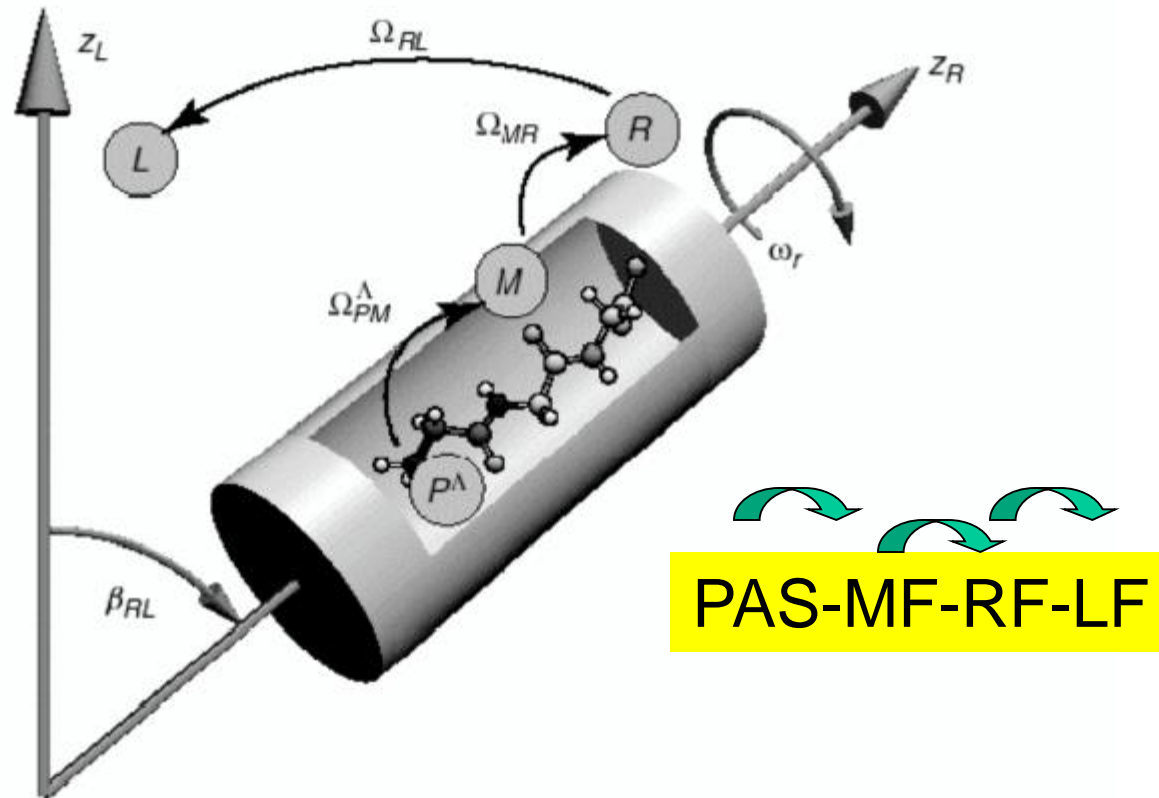
$$m' = -1$$

$$m' = 0$$

$$m' = 1$$

$$m' = 2$$

# Reference Frames in Solid-State NMR



**Transformation via the relevant Euler angles necessary for visualisation and simulations**



# Wigner Matrix Chains

$$D^l(\Omega_{AC}) = D^l(\Omega_{AB})D^l(\Omega_{BC})$$

$$D^l_{m'm}(\Omega_{AC}) = \sum_{m''=-l}^l D^l_{m'm''}(\Omega_{AB})D^l_{m''m}(\Omega_{BC})$$

$$D^l(\Omega_{AD}) = D^l(\Omega_{AB})D^l(\Omega_{BC})D^l(\Omega_{CD})$$

$$D^l_{m'm}(\Omega_{AD}) = \sum_{m''=-l}^l \sum_{m'''=-l}^l D^l_{m'm''}(\Omega_{AB})D^l_{m''m'''}(\Omega_{BC})D^l_{m'''m}(\Omega_{CD})$$

# Frame Transformations of Spherical Tensors

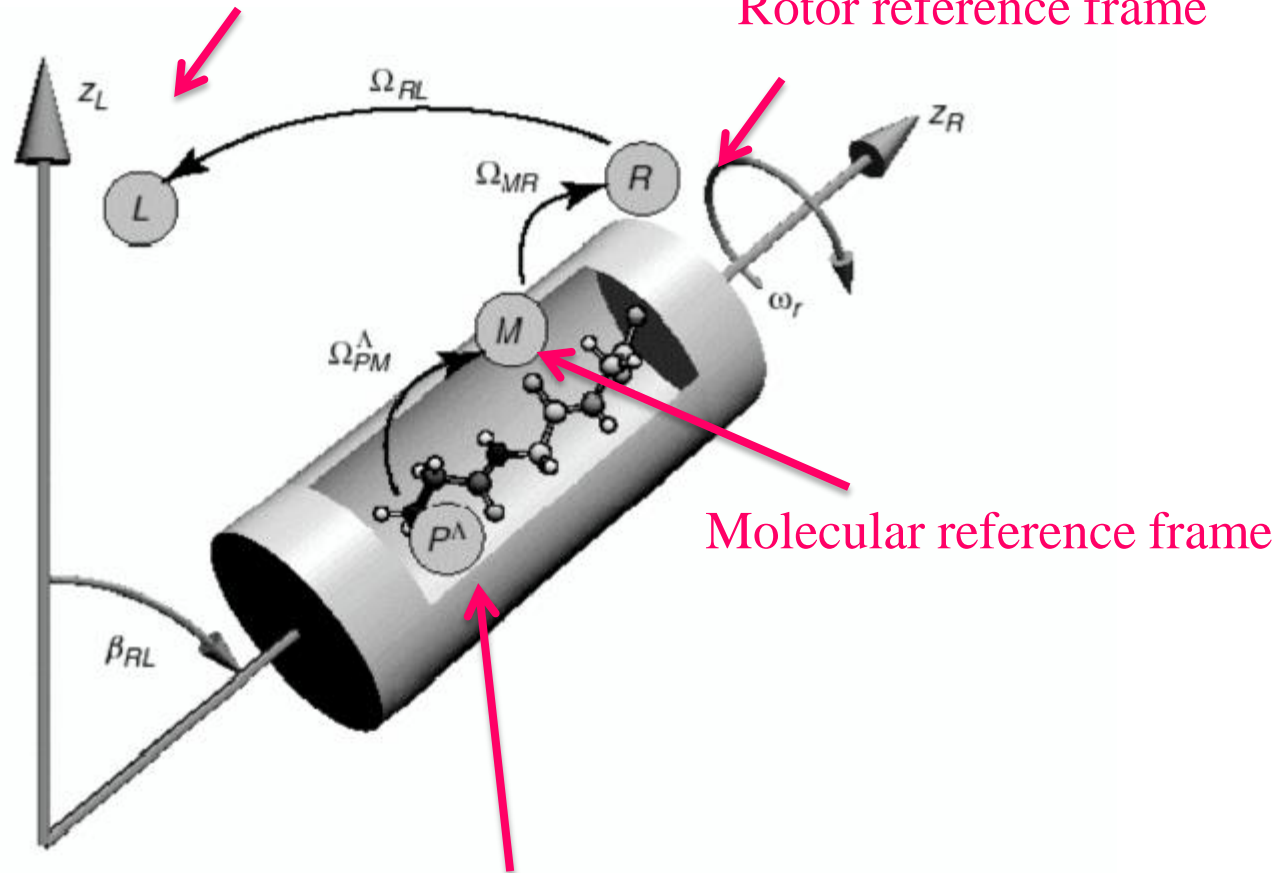
$$\begin{pmatrix} [T_{2,-2}]^A \\ [T_{2,-1}]^A \\ [T_{2,0}]^A \\ [T_{2,1}]^A \\ [T_{2,2}]^A \end{pmatrix} = \begin{pmatrix} \ddots & & \vdots & & \ddots \\ & \ddots & & & \\ \cdots & & D^2(\Omega_{AB}) & & \cdots \\ & \ddots & & \ddots & \\ \ddots & & \vdots & & \ddots \end{pmatrix} \begin{pmatrix} [T_{2,-2}]^B \\ [T_{2,-1}]^B \\ [T_{2,0}]^B \\ [T_{2,1}]^B \\ [T_{2,2}]^B \end{pmatrix}$$

$$\begin{pmatrix} [T_{2,-2}]^A \\ [T_{2,-1}]^A \\ [T_{2,0}]^A \\ [T_{2,1}]^A \\ [T_{2,2}]^A \end{pmatrix} = \begin{pmatrix} \ddots & & \vdots & & \ddots \\ & \ddots & & & \\ \cdots & & D^2(\Omega_{AB}) & & \cdots \\ & \ddots & & \ddots & \\ \ddots & & \vdots & & \ddots \end{pmatrix} \begin{pmatrix} \ddots & & \vdots & & \ddots \\ & \ddots & & & \\ \cdots & & D^2(\Omega_{BC}) & & \cdots \\ & \ddots & & \ddots & \\ \ddots & & \vdots & & \ddots \end{pmatrix} \begin{pmatrix} [T_{2,-2}]^C \\ [T_{2,-1}]^C \\ [T_{2,0}]^C \\ [T_{2,1}]^C \\ [T_{2,2}]^C \end{pmatrix}$$

# Chain of Reference Frames in Solid-State NMR

Laboratory reference frame

Rotor reference frame

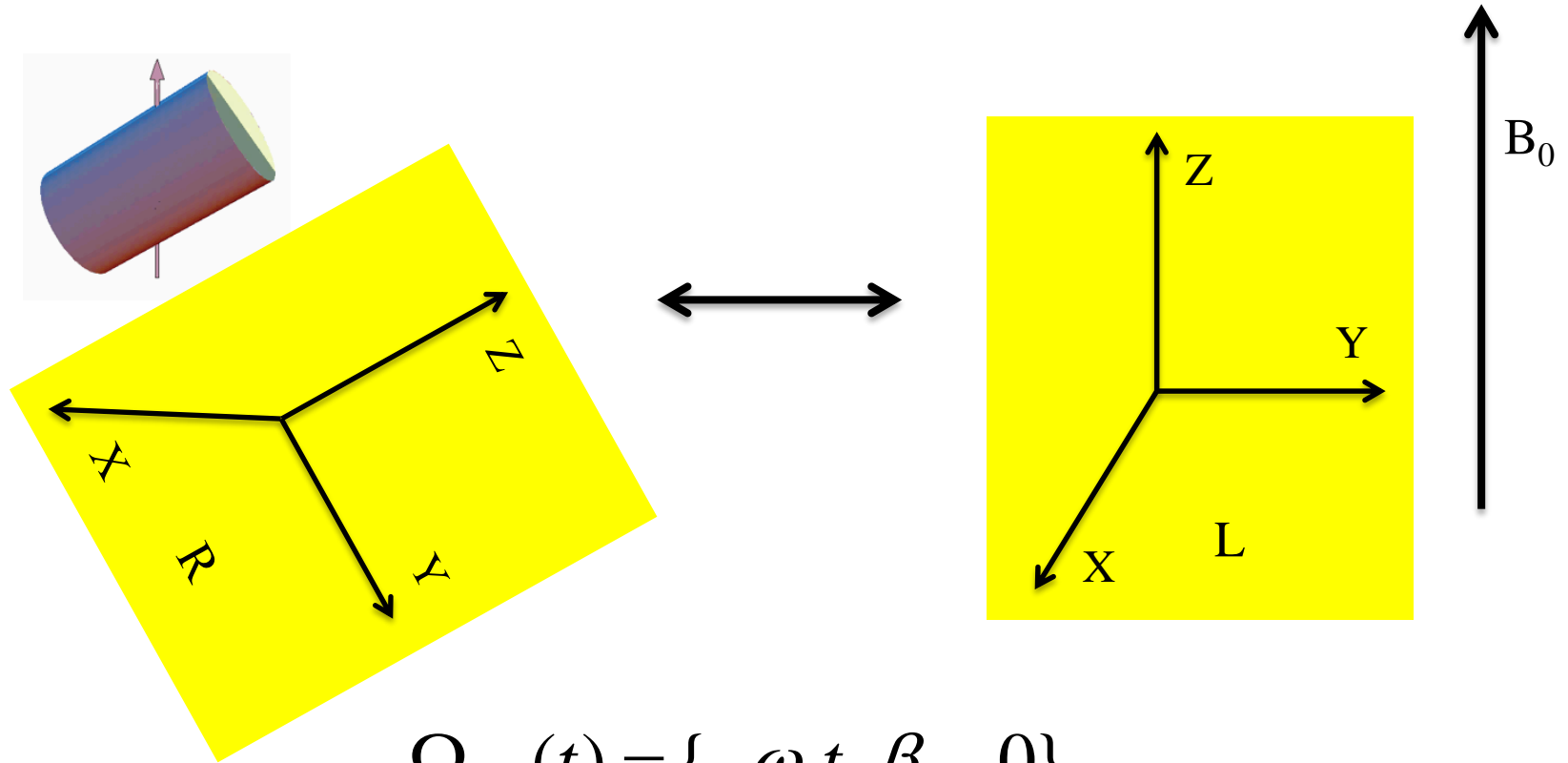


Principal axis frame of a spin interaction

# Rotor to Lab Frame Transformation

Rotor reference frame

Lab reference frame

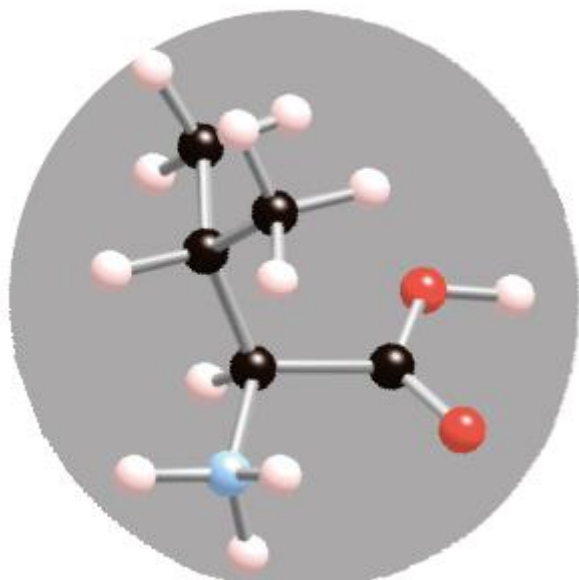


$$\Omega_{RL}(t) = \{-\omega_r t, \beta_{RL}, 0\}$$

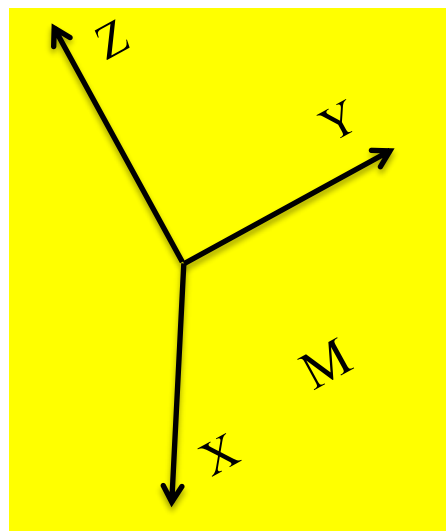
Spinning frequency

Rotor (magic) angle

# Molecular Frame



$\Omega_{MR}$



Molecular frame is more arbitrary, although in certain cases, calculations are simpler with a good choice

Different Euler angles for different molecules

# Chain of Transformations in Solid-State NMR

$$D^l(\Omega_{PL}^\Lambda) = D^l(\Omega_{PM}^\Lambda) D^l(\Omega_{MR}^\Lambda) D^l(\Omega_{RL}^\Lambda(t))$$

Orientation of an interaction,  $\Lambda$ , with respect to the molecular frame. Depends only on the interaction, not on time or crystallite

Orientation of the rotor wrt  
To the static field, time dependent

Orientation of molecular frame wrt to  
rotor frame, depends only on crystallite,  
not on interaction or time

# Irreducible Tensor Representation of the Hamiltonians

$$H = \sum_{m=-l}^l [A_{lm}]^L [T_{l-m}]^L$$

↑                      ↑  
Space part          Spin part

$$H = \sum_{m=-2}^2 [A_{2m}]^L [T_{2-m}]^L$$

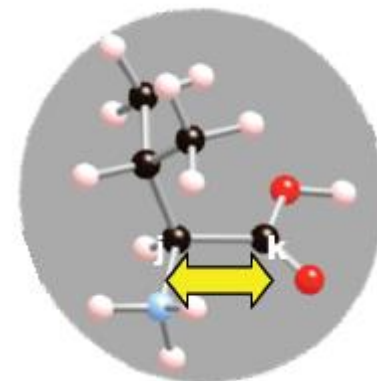
NMR case

$$H = [A_{20}]^L [T_{20}]^L$$

High field,  
secular approximation

# DD Coupling Hamiltonian

$$H_{DD}^{jk} = [A_{20}^{jk}]^L [T_{20}^{jk}]^L$$



$$T_2^{jk} = \begin{pmatrix} T_{2-2}^{jk} \\ T_{2-1}^{jk} \\ T_{20}^{jk} \\ T_{21}^{jk} \\ T_{22}^{jk} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} I_j^- I_k^- \\ \frac{1}{2} (I_j^- I_{kz} + I_{jz} I_k^-) \\ 6^{-1/2} \left\{ 2 I_{jz} I_{kz} - \frac{1}{2} (I_j^+ I_k^- - I_j^- I_k^+) \right\} \\ -\frac{1}{2} (I_j^+ I_{kz} + I_{jz} I_k^+) \\ \frac{1}{2} I_j^+ I_k^+ \end{pmatrix}$$

Spin tensor

$$[A_2^{jk}]^P = \begin{pmatrix} 0 \\ 0 \\ 6^{1/2} b_{jk} \\ 0 \\ 0 \end{pmatrix}$$

Space tensor in the PAS

$$b_{jk} = -\left(\frac{\mu_0}{4\pi}\right) \frac{\hbar \gamma_j \gamma_k}{r_{jk}^3}$$



# DD Coupling Hamiltonian

$$H_{DD}^{jk} = [A_{20}^{jk}]^L [T_{20}^{jk}]^L$$

$$= \sum_{m=-2}^2 [A_{20}^{jk}]^P D_{m0}^2(\Omega_{PL}^{jk}) [T_{20}^{jk}]^L$$

$$= [A_{20}^{jk}]^P D_{00}^2(\Omega_{PL}^{jk}) [T_{20}^{jk}]^L$$

$$= [A_{20}^{jk}]^P d_{00}^2(\beta_{PL}^{jk}) [T_{20}^{jk}]^L$$

Zero at the magic angle

$$= b_{jk} \frac{1}{2} (3 \cos^2 \theta_{PL}^{jk} - 1) \left\{ 2 I_{jx} I_{ky} - \frac{1}{2} (I_j^+ I_k^- - I_j^- I_k^+) \right\}$$

# Isotropic Chemical-Shift Hamiltonian

$$H_{iso}^j = \omega_{iso}^j I_{jz}$$

Spin rank 1

$$\omega_{iso}^j = \delta_{iso}^j \omega_0^j$$

Space rank 0

$$\omega_0^j = -\gamma^j B_0$$

# CSA Hamiltonian

Spin rank 1

$$H_{CSA}^j = [A_{20}^j]^L [T_{20}^j]^L B_0 = [A_{20}^j]^L I_{jz} B_0$$

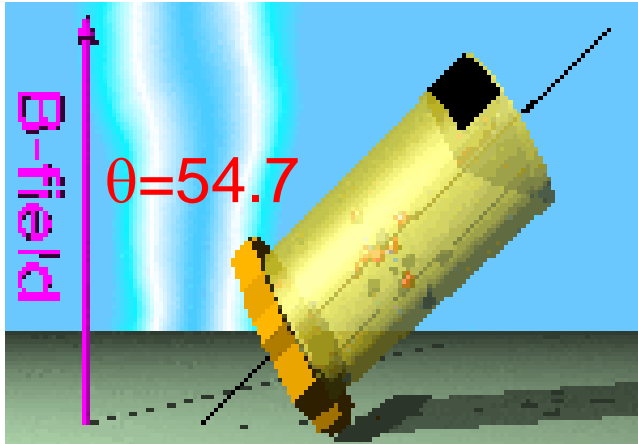
Space rank 2

Space tensor in the PAS

$$[A_2^j]^P = \omega_{aniso}^j \begin{pmatrix} -6^{-1/2} \eta^j \\ 0 \\ 1 \\ 0 \\ -6^{-1/2} \eta^j \end{pmatrix}$$

$$\omega_{aniso}^j = \delta_{aniso}^j \omega_0^j$$

# Average Hamiltonian Theory and MAS



$$\alpha_{RL} = -\omega_r t$$

$$\beta_{RL} = 54.7$$

$$\gamma_{RL} = \text{arbitrary}$$

Isolated spin-1/2 experiencing CSA:

$$H^j(t) = \omega_{iso}^j I_{jz} + H_{CSA}^j(\Omega_{MR}, t)$$

# CSA Hamiltonian under MAS

$$H_{CSA}^j = [A_{20}^j]^L I_{jz} B_0$$

$$= \sum_{m=-2}^2 [A_{2m}^j]^R D_{m0}^2(\Omega_{RL}) I_{jz} B_0$$

$$= \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^j]^M D_{m'm}^2(\Omega_{MR}) D_{m0}^2(\Omega_{RL}) I_{jz} B_0$$


$$= \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^j]^M D_{m'm}^2(\Omega_{MR}) e^{im\omega_r t} d_{m0}^2(\beta_{RL}) I_{jz} B_0$$

# Concept of Average Hamiltonian

If the Hamiltonian is such that:

- It is periodic,  $H(t) = H(t + NT)$
- It varies sufficiently fast with respect to time

Then the spin system behaves as if it is subjected to a time average of  $H(t)$  over the period  $T$  (then the Hamiltonian also becomes time independent):

$$H(t) \Rightarrow \overline{H}^{(1)} = \frac{1}{T} \int H(t) dt$$


**Average Hamiltonian**

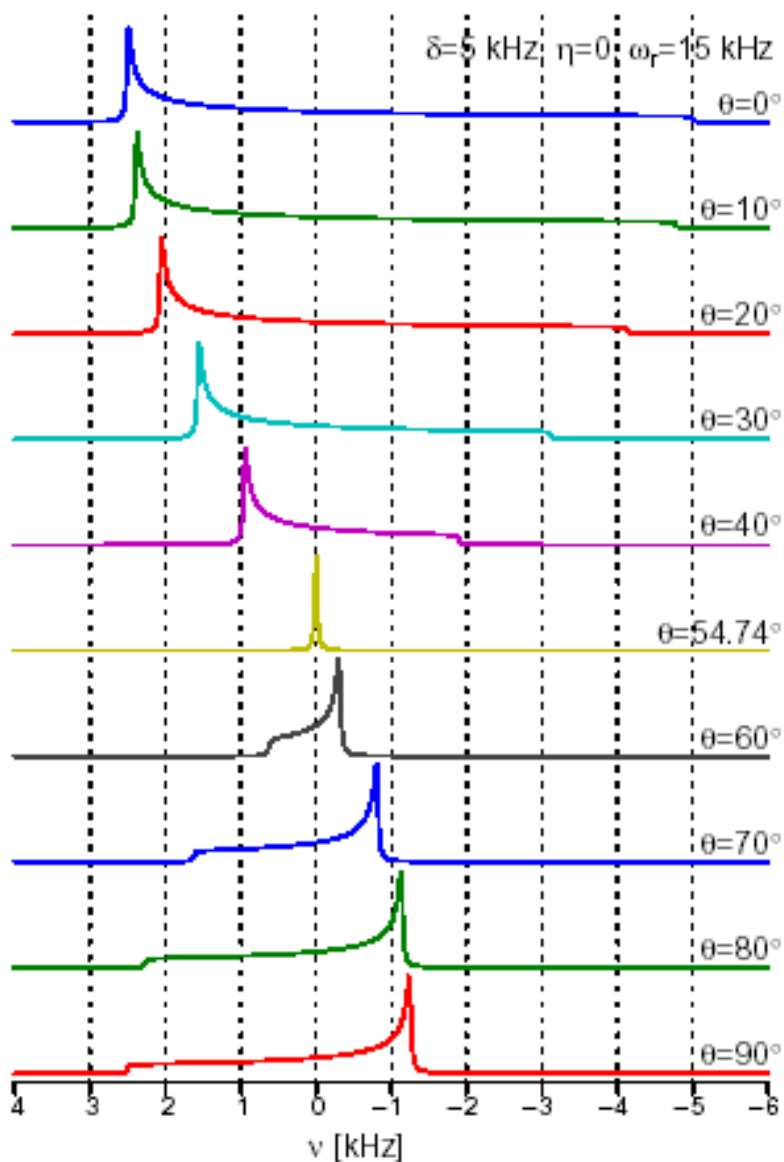
# Average CSA Hamiltonian Under MAS

$$\begin{aligned} H_{CSA}^j(\Omega_{MR}) &= \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^j]^M D_{m'm}^2(\Omega_{MR}) \overline{e^{im\omega_r t}} d_{m0}^2(\beta_{RL}) I_{jz} B_0 \\ &= \sum_{m'=-2}^2 [A_{2m'}^j]^M D_{m'0}^2(\Omega_{MR}) d_{00}^2(\beta_{RL}) I_{jz} B_0 \end{aligned}$$

Zero for  $\beta_{RL}=54.7$

Exact MAS, hence, averages CSA to zero, provided the rotation is sufficiently fast.

# CSA Powder Pattern and Rotation Angle



For angles other than 54.7, scaled versions of static powder pattern appear, with scale factor ranging from 1 to  $-0.5$

Isotropic spectrum results at the magic angle, 54.7



# DD Hamiltonian Under MAS

$$\begin{aligned}
 H_{DD}^{jk}(\Omega_{MR}, t) &= [A_{20}^{jk}]^L [T_{20}^{jk}]^L \\
 &= \sum_{m=-2}^2 [A_{2m}^{jk}]^R D_{m0}^2(\Omega_{RL}) [T_{20}^{jk}]^L \\
 &= \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^{jk}]^M D_{m'm}^2(\Omega_{MR}) D_{m0}^2(\Omega_{RL}) [T_{20}^{jk}]^L \\
 &= \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^{jk}]^M D_{m'm}^2(\Omega_{MR}) e^{im\omega_r t} d_{m0}^2(\beta_{RL}) [T_{20}^{jk}]^L
 \end{aligned}$$

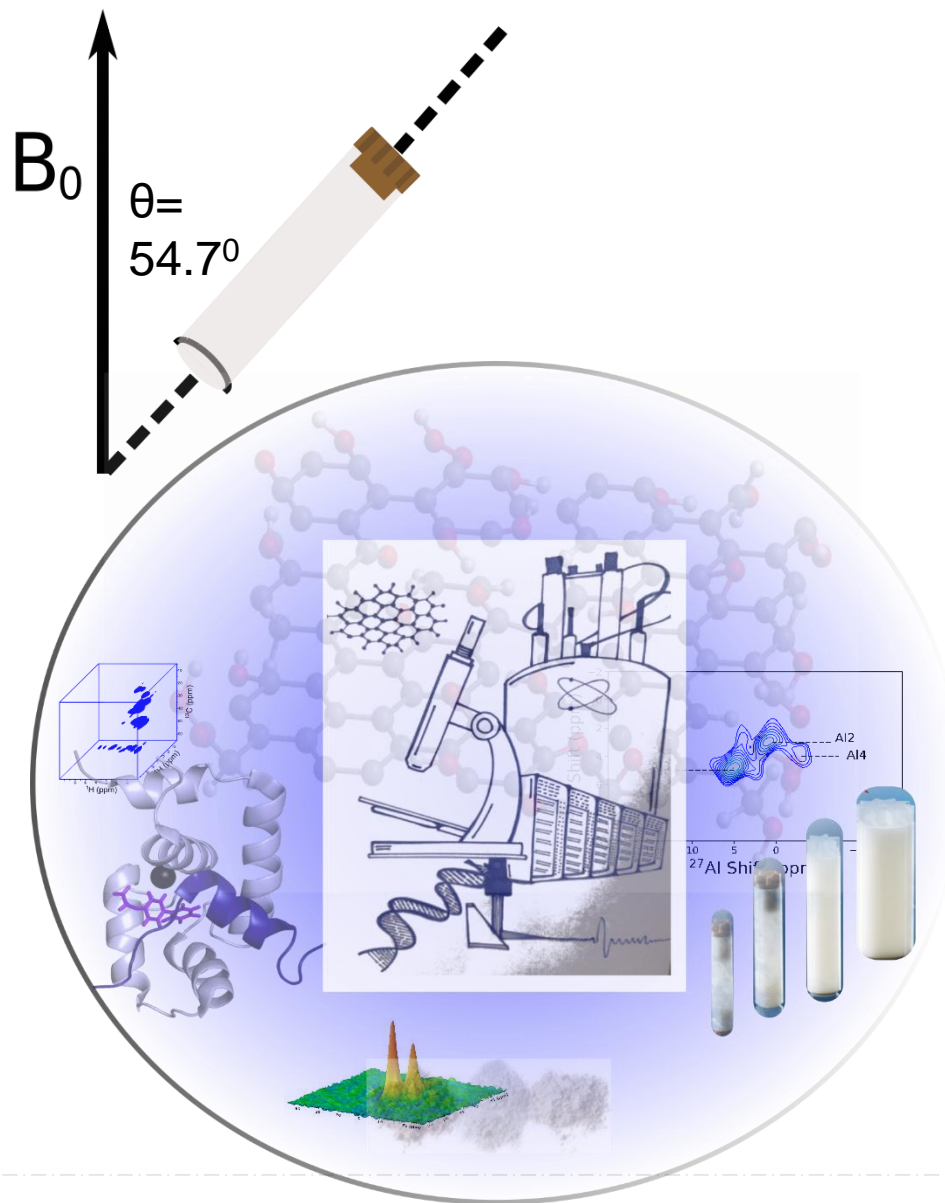
# Average DD Hamiltonian Under MAS

$$H_{DD}^{jk}(\Omega_{MR}, t) = \sum_{m'=-2}^2 \sum_{m=-2}^2 [A_{2m'}^{jk}]^M D_{m'm}^2(\Omega_{MR}) \overline{e^{im\omega_r t}} d_{m0}^2(\beta_{RL}) [T_{2m'}^{jk}]^L$$
$$= \sum_{m'=-2}^2 [A_{2m'}^{jk}]^M D_{m'0}^2(\Omega_{MR}) d_{00}^2(\beta_{RL}) [T_{20}^{jk}]^L$$

Zero for  $\beta_{RL}=54.7$

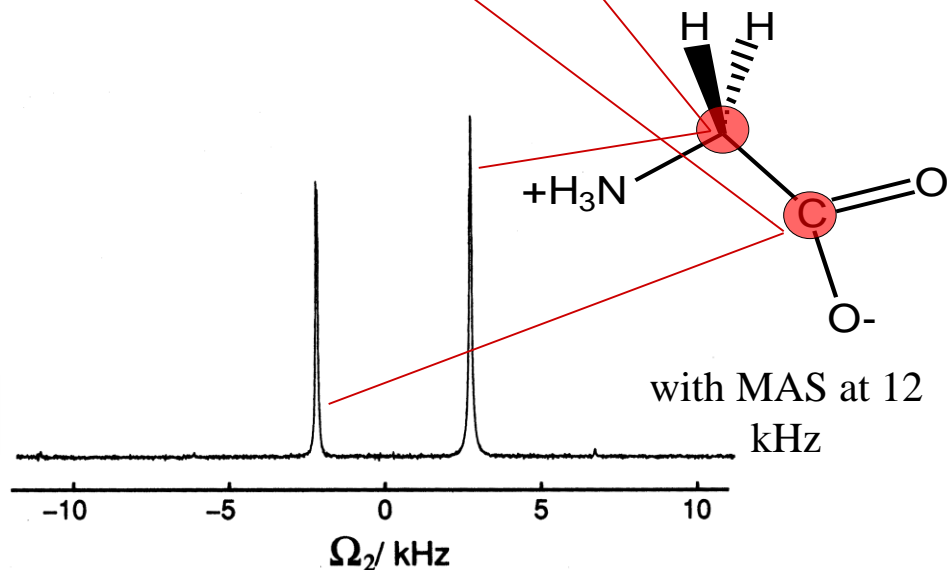
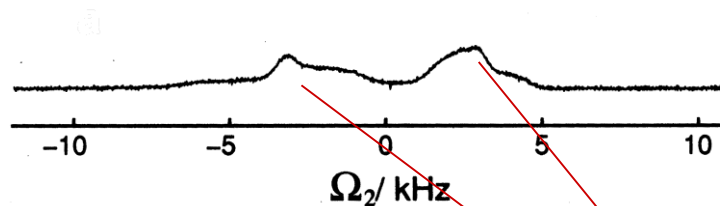
Exact MAS, hence, averages DD to zero, provided the rotation is sufficiently fast.

# Magic-Angle-Spinning Solid-State NMR

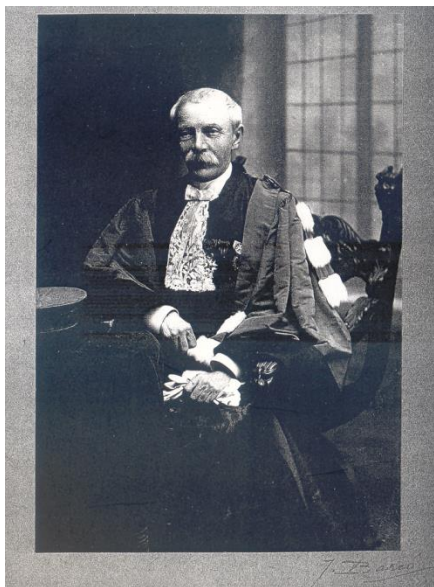


## $^{13}\text{C}$ spectra of $[\text{C}_2\text{H}_5\text{O}_2\text{N}]$ -glycine

no spinning



# Tracking Spins in NMR



Floquet

Floquet theory



Wilhelm Magnus

Magnus expansion  
Average Hamiltonian theory



F. Fer

Fer expansion

*Many more: Wilcox, Salzman, Pechukas, Burum*

# Time Development of the Spin System

$$\frac{d}{dt} |\psi(t)\rangle = -iH(t) |\psi(t)\rangle$$

**Hamiltonian**

**State function**

**Time  
evolution**

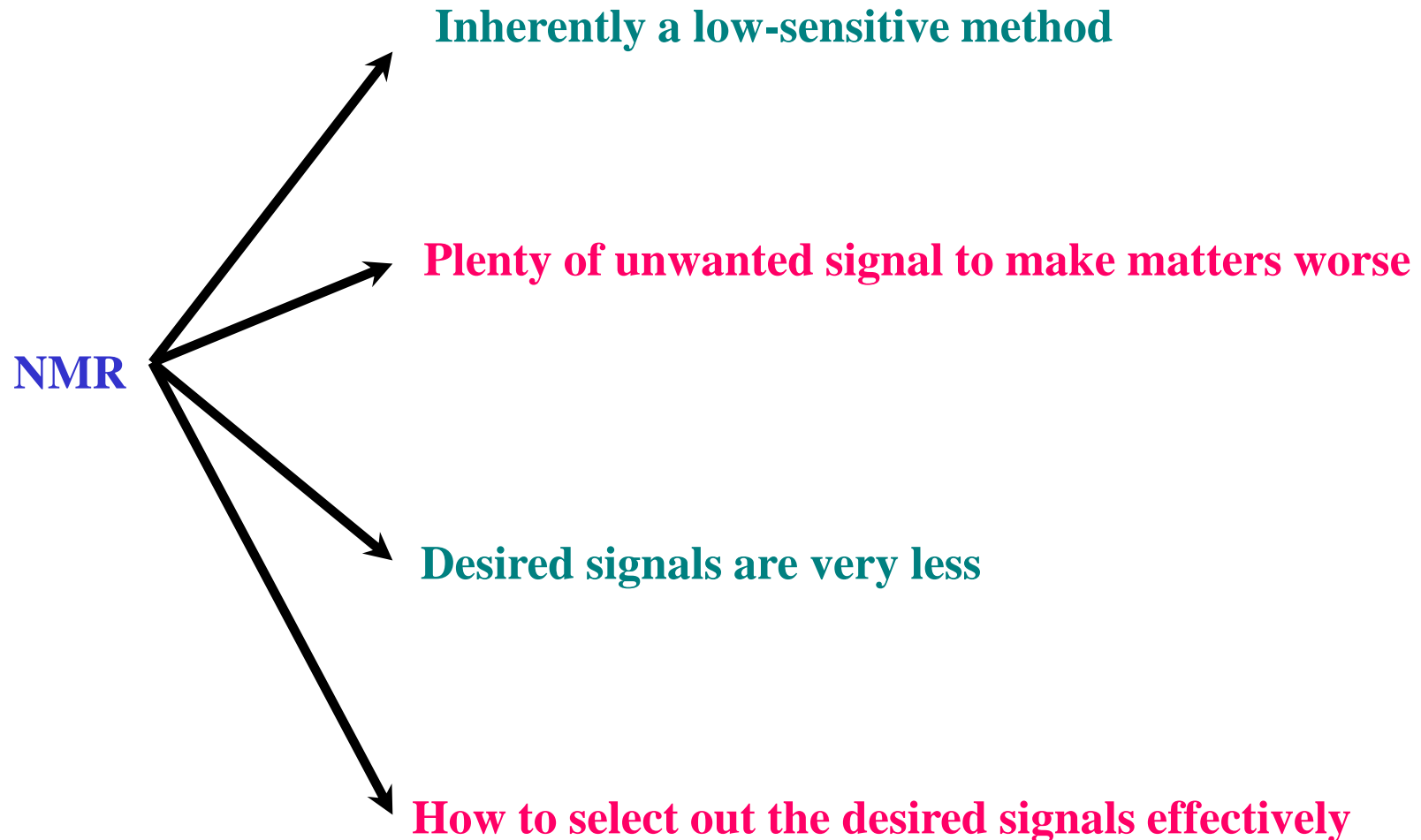
$|\psi(t_a)\rangle$

$|\psi(t_b)\rangle$



# **Phase Cycling and Pulsed Field Gradients**

# Separating Wheat from Chaff



**Phase Cycling or Pulse Field Gradients**

# Fourier Transform

The Fourier Transform (FT) converts the time domain signal to the frequency domain. There are several types of FT, and we will examine the discrete FT (DFT), because it works on discrete points like NMR data

DFT

$$f_n = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} d_k e^{-2\pi i k n / N}$$

$f_n$  is the  $n$ th point in the “frequency vector”

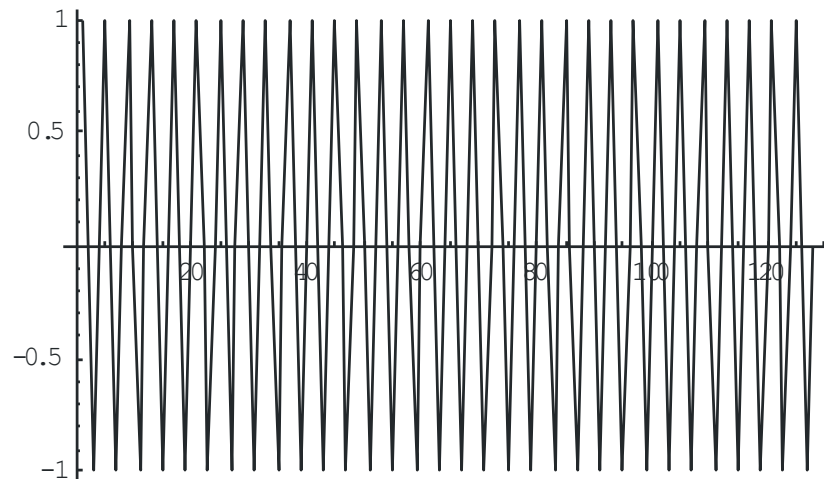
$N$  is the number of time data points,  $d_k$

$i$  is  $\sqrt{-1}$

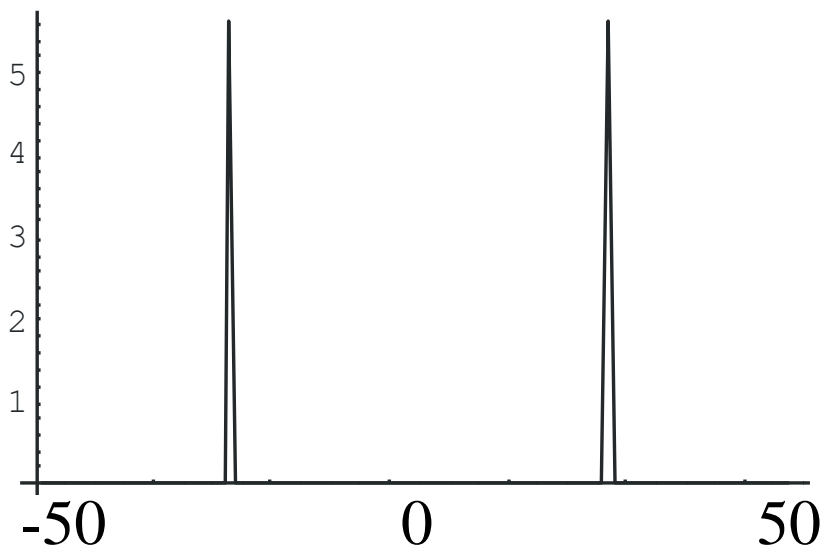
$k$  and  $n$  are integers that refer to the points in  $d_k$  and  $f_n$



# FT: Appearance



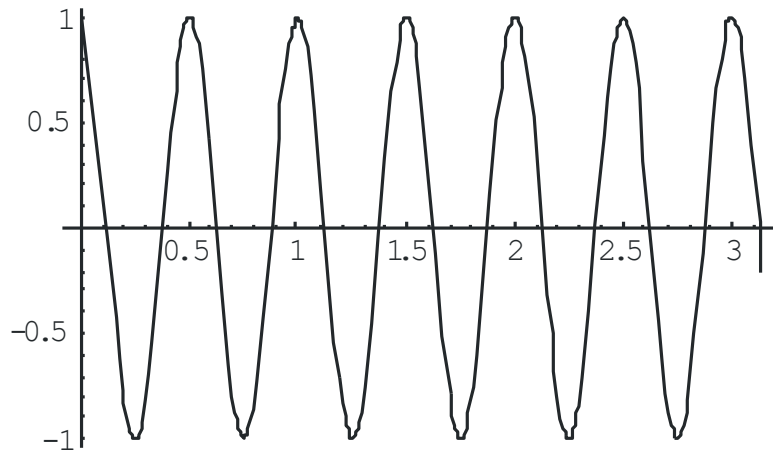
Here is a 25 Hz time-domain signal from  $\cos[2\pi \cdot 25 \cdot t]$  sampled for 128 points from  $t=0$  to  $t=1.27$  sec with 0.01 sec spacing between points



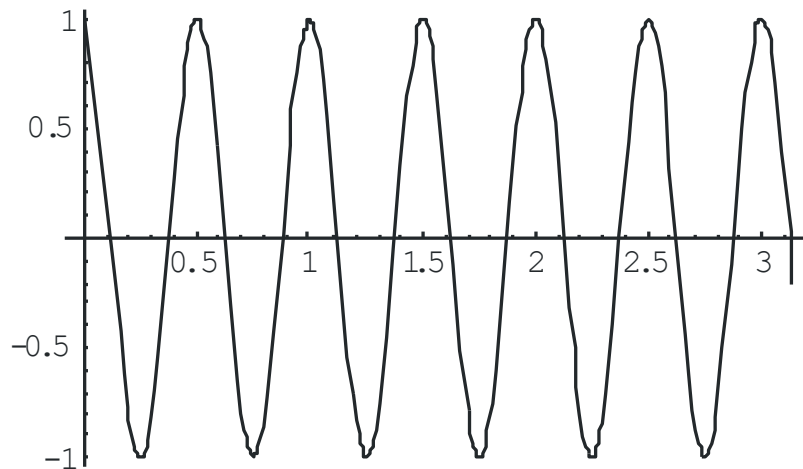
Here is the real part of the DFT of the signal above. Several things are worth noting:

- 1) There are 2 peaks, each 25 Hz from either edge.
- 2) The spectral width is 100 Hz
- 3) The peaks are quite sharp

# Why 2 Peaks from One Frequency?



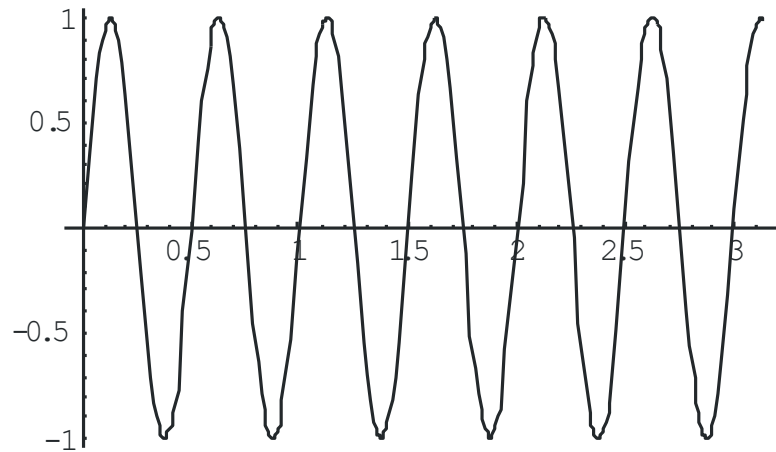
Here is a plot of  $\text{Cos}[2*\text{Pi}*2*t]$



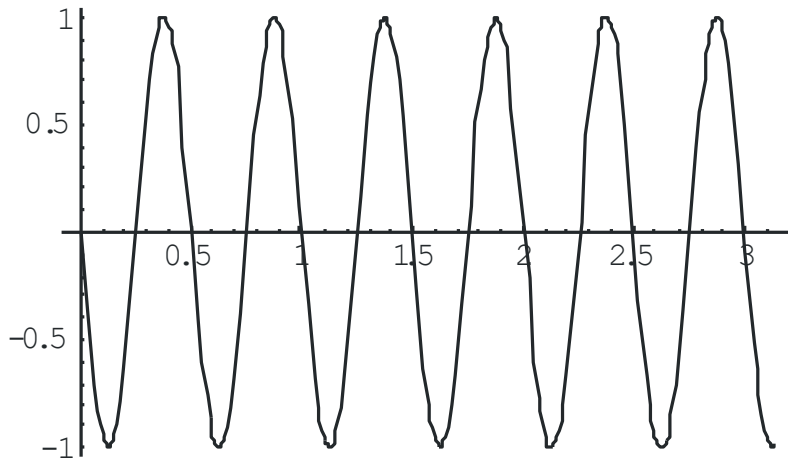
These are indistinguishable even though they have opposite frequencies. Therefore, the FT results in 2 frequencies, one positive and the other negative

Here is a plot of  $\text{Cos}[2*\text{Pi}*(-2)*t]$

# Why 2 Peaks from One Frequency?



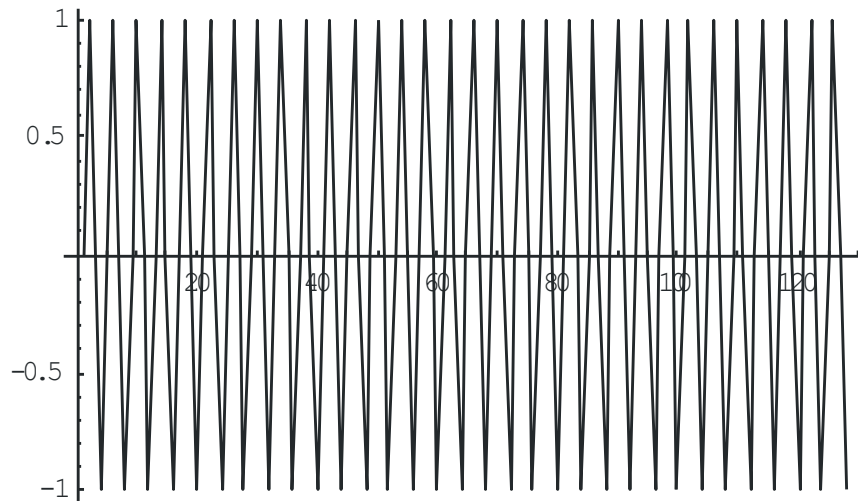
Here is a plot of  $\sin[2\pi \cdot 2 \cdot t]$



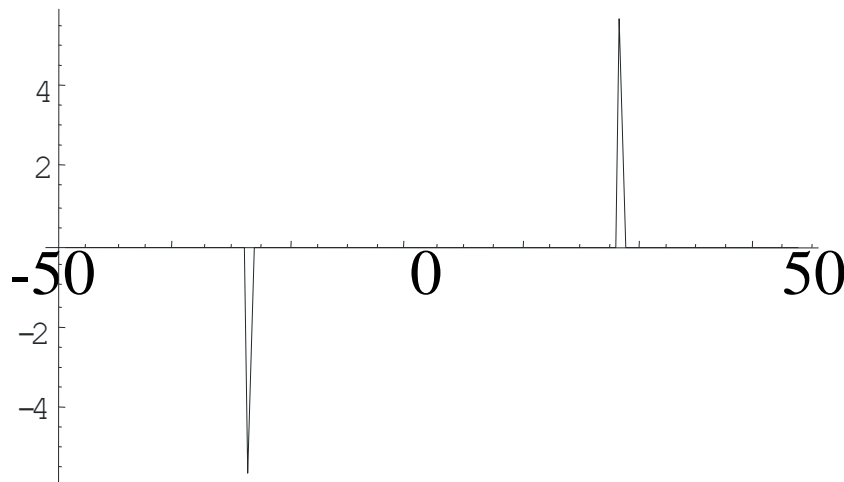
We *can* distinguish different signs in Sin functions

Here is a plot of  $\sin[2\pi \cdot (-2) \cdot t]$

# FT of a Sine Function



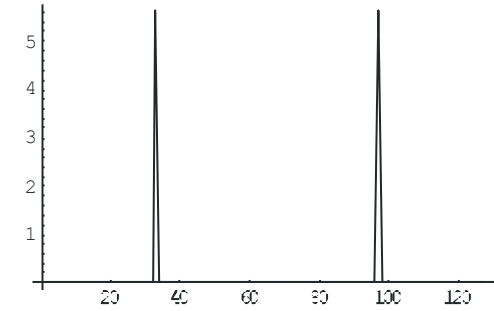
Here is a 25 Hz time-domain signal from  $\text{Sin}[2 \cdot \text{Pi} \cdot 25 \cdot t]$  sampled for 128 points from  $t=0$  to  $t=1.27$  sec with 0.01 sec spacing between points.



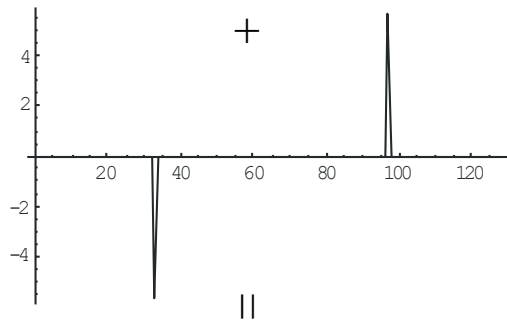
Here is the imaginary part of the DFT of the signal above. Several things are worth noting:

- 1) There are 2 peaks, each 25 Hz from either edge. **Now they are opposite each other!**
- 2) The spectral width is 100 Hz
- 3) The peaks are quite sharp

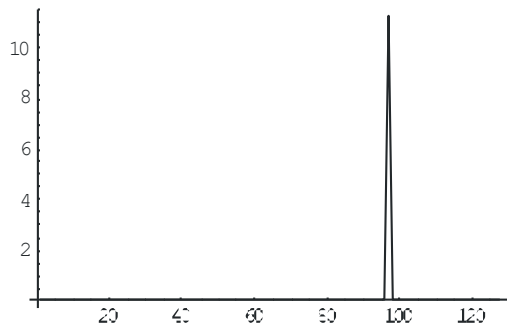
# Adding Sine and Cosine FT's



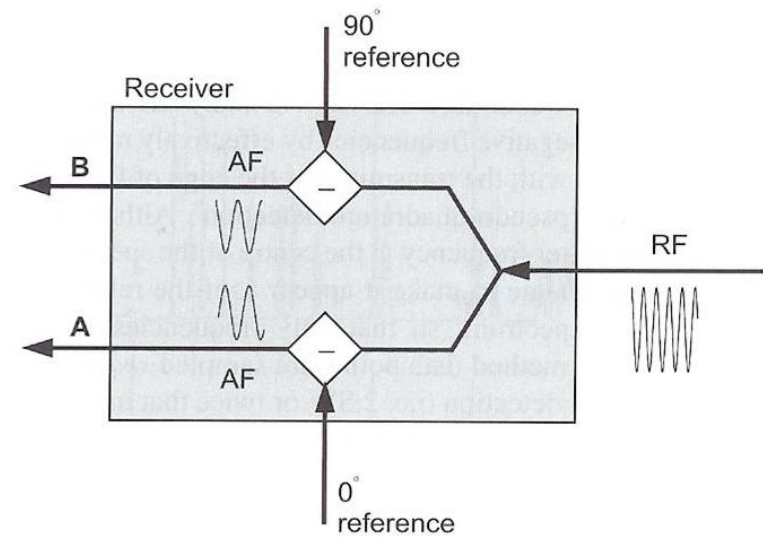
**FT of cosine function**



**FT of sine function**



**Sum**



**NMR Receiver**

# Quadrature Detection

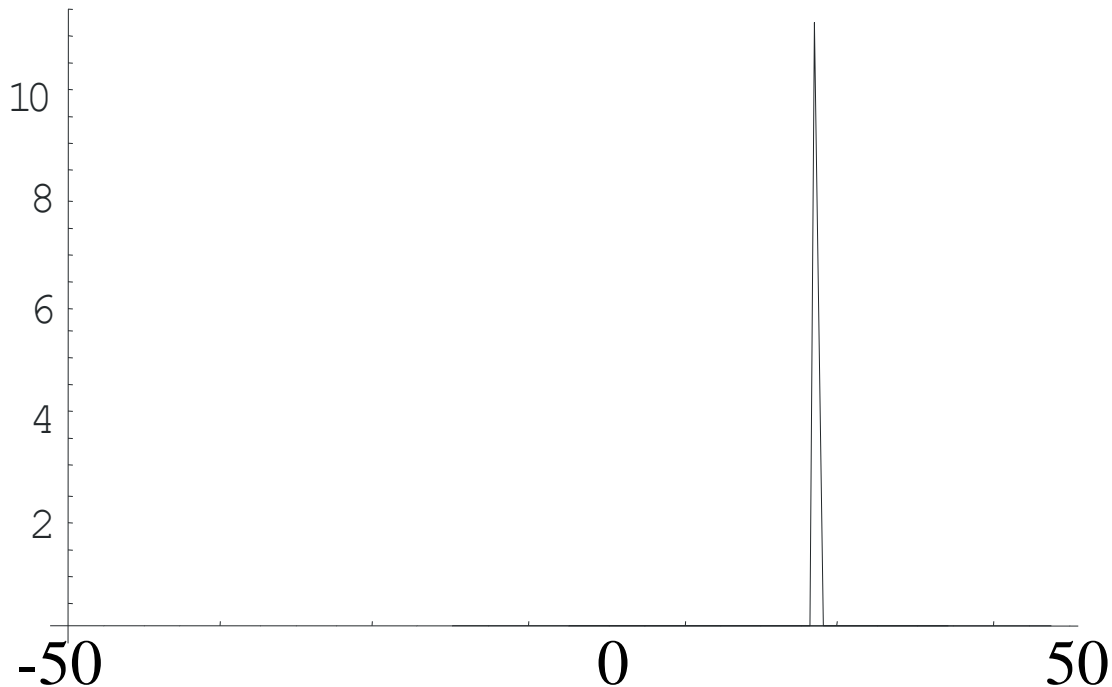
In order to distinguish the sign of frequencies, NMR signals must be collected along both the x (e.g. cosine) and y (e.g. sine) axes. This is called quadrature detection. The signal is treated as a “real” part (cosine) and an “imaginary” part (sine). They are both equally real and important, but this allows easy mathematical manipulation from Euler’s relations:

$$\underbrace{\text{Cos}(t)}_{\text{real}} + i \underbrace{\text{Sin}(t)}_{\text{imaginary}} = e^{it}$$

$$\underbrace{\text{Cos}(t)}_{\text{real}} - i \underbrace{\text{Sin}(t)}_{\text{imaginary}} = e^{-it}$$

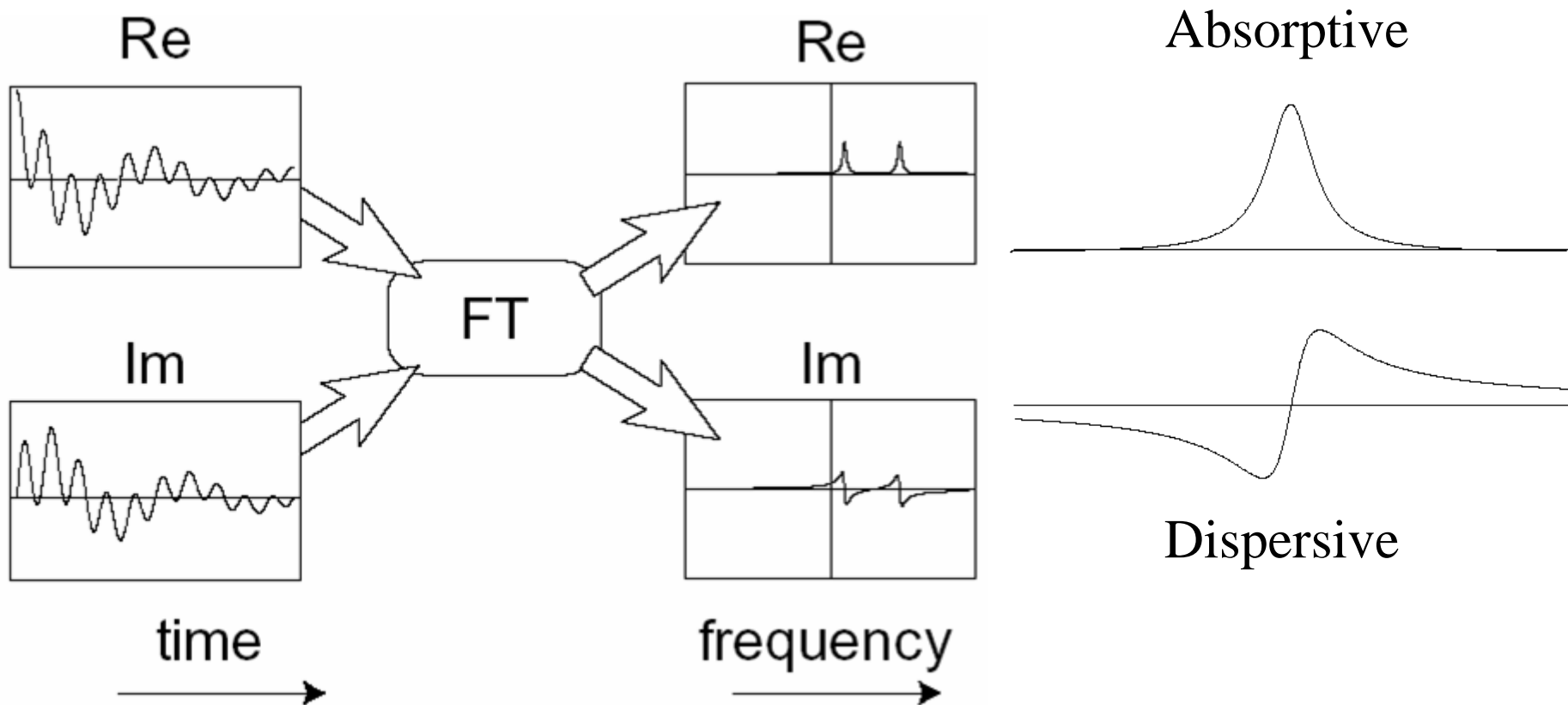
# Quadrature Detection

$$d = \text{Cos}[2*\text{Pi}*25*t] + I*\text{Sin}[2*\text{Pi}*25*t]$$



**The DFT of the 128 point function defined above with a frequency of 25 Hz**

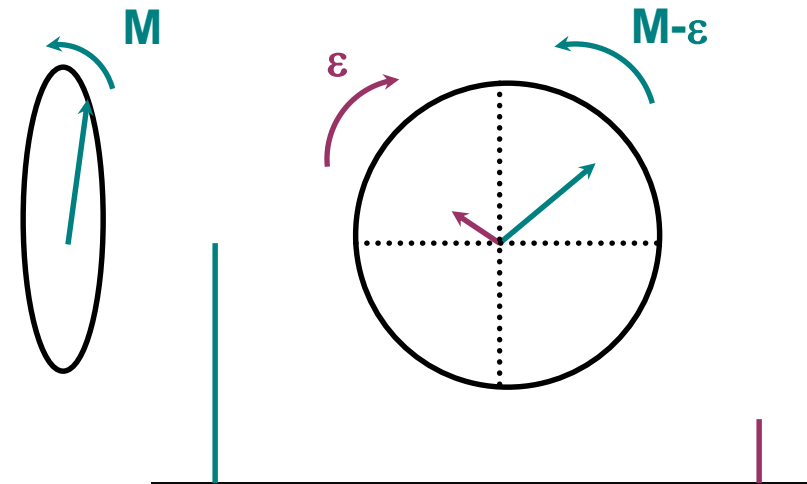
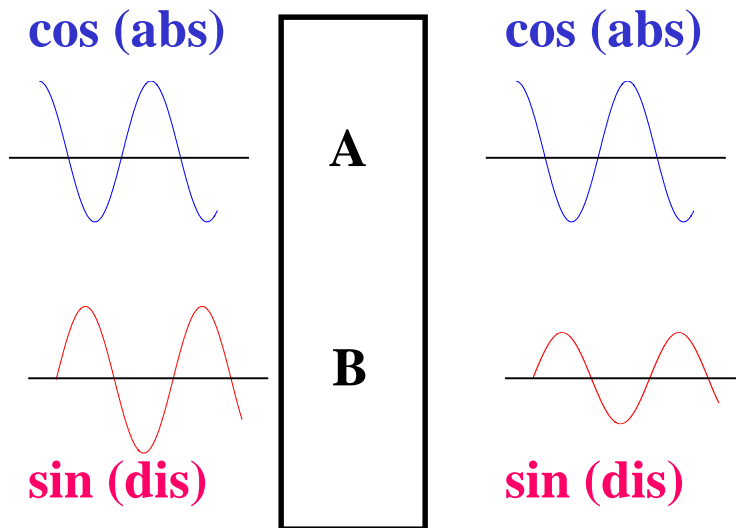
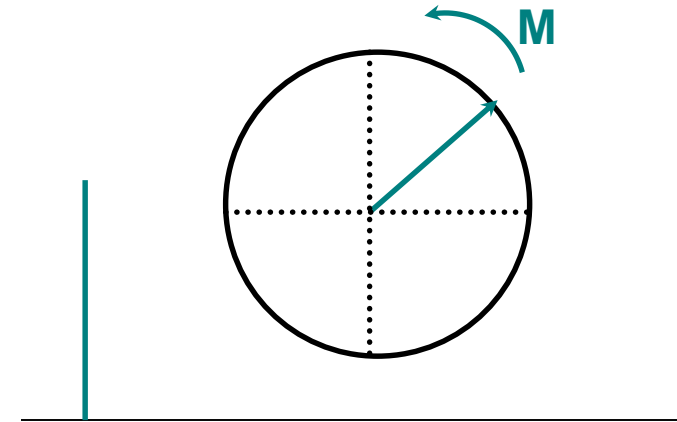
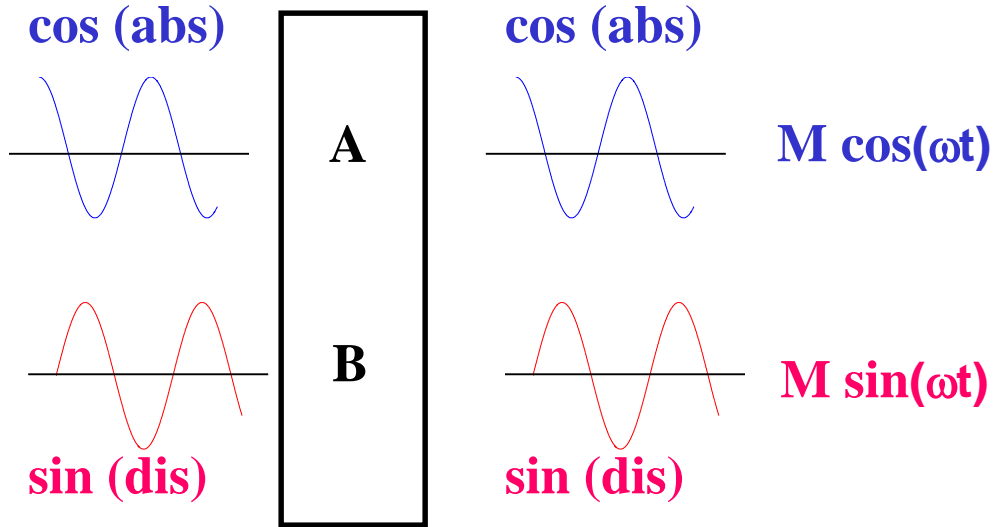
# Quadrature Detection: The Full Story



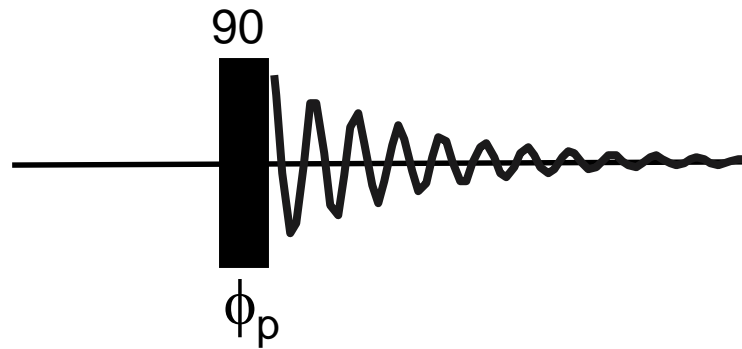


# CYCLOPS (Cyclically Ordered Phase Sequence)

Channels A and B need to be orthogonal and they should amplify both absorptive and dispersive components of the signals identically



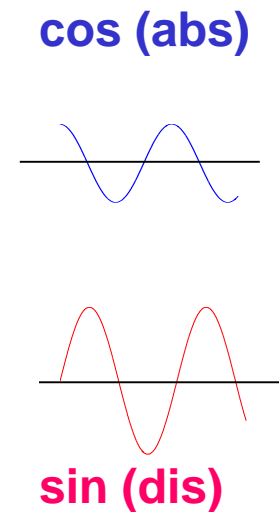
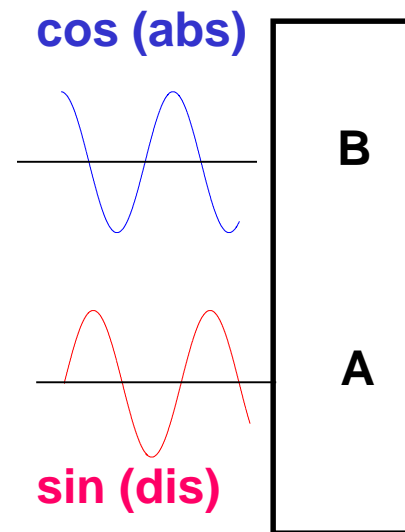
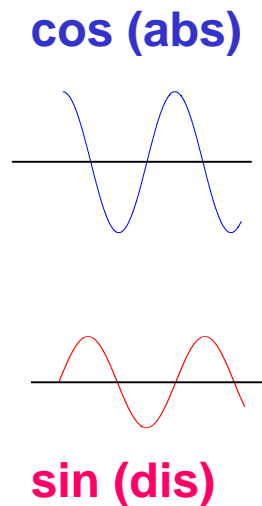
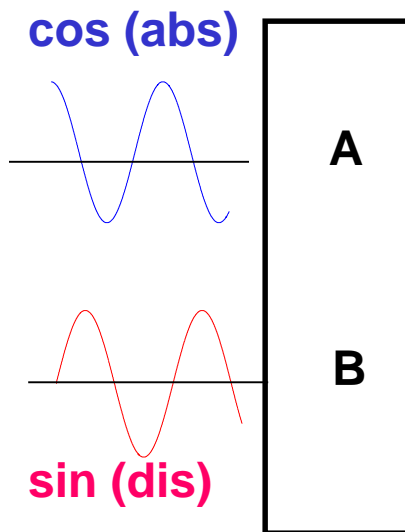
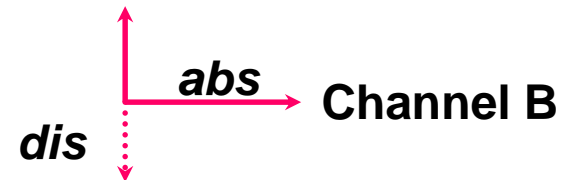
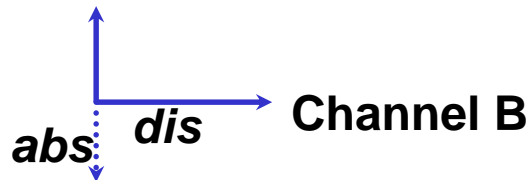
# CYCLOPS



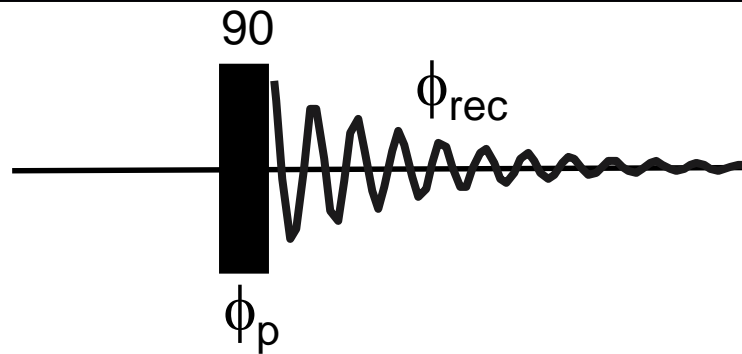
Channel A

Scan	Pulse	Magn.	A:cos	B:sin
1	+x	-y	-y	+x
2	+y	+x	+x	+y
3	-x	+y	+y	-x
4	-y	-x	-x	-y

Channel A

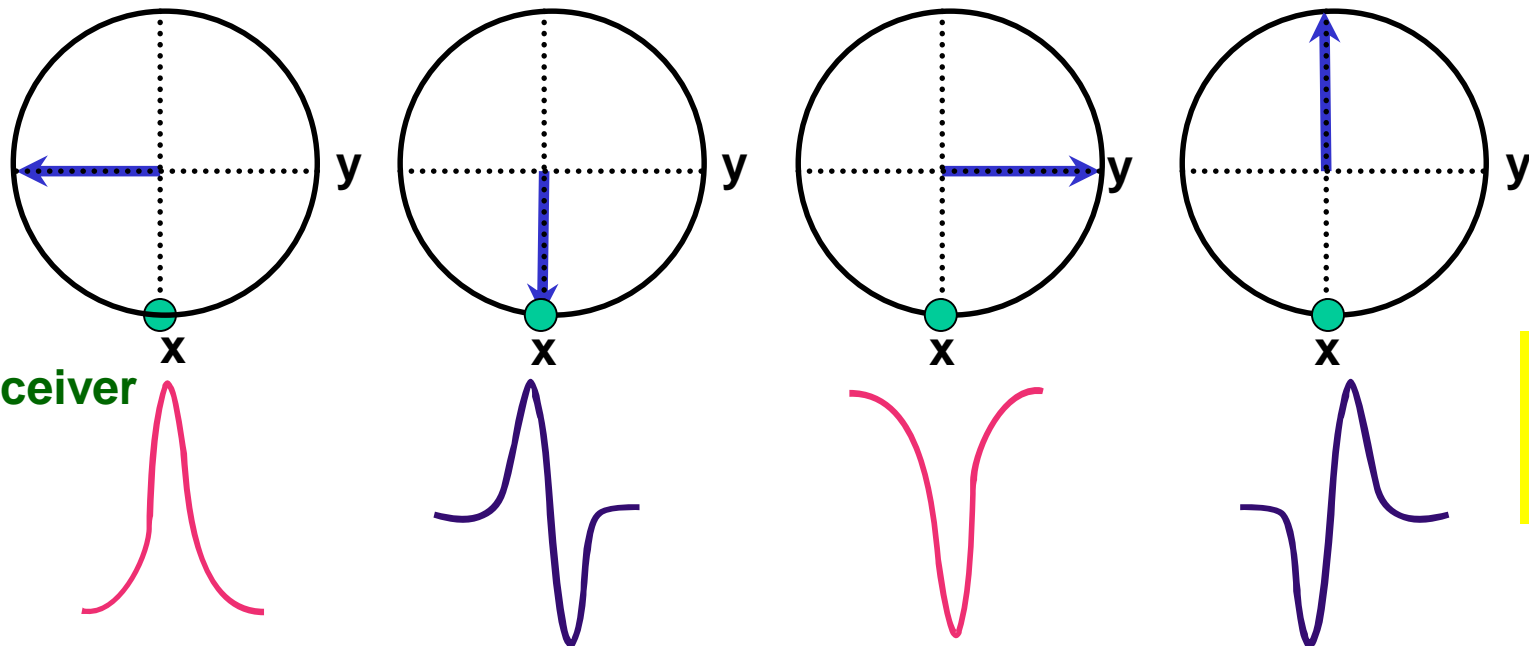


# CYCLOPS



Scan	Pulse	Magn.	A:cos	B:sin
1	+x	-y	-y	+x
2	+y	+x	+x	+y
3	-x	+y	+y	-x
4	-y	-x	-x	-y

**CYCLOPS** compensates for amplitude and phase imbalances of the quadrature channels. How does the signal look like?

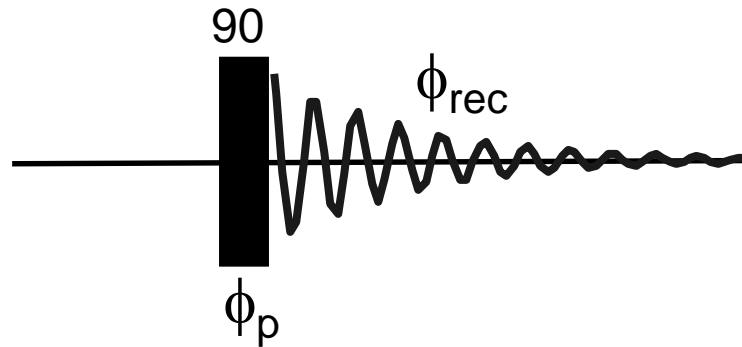


$$\phi_{rec} = X$$

**Net signal**

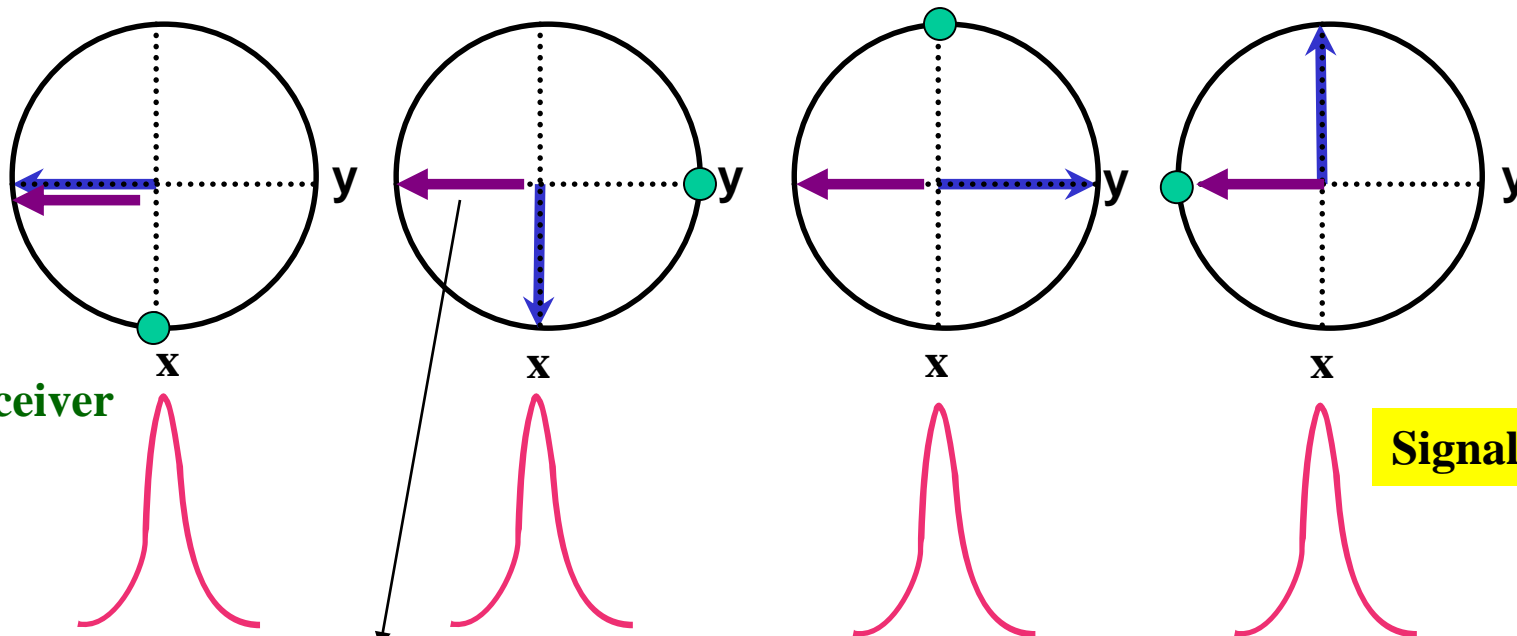
**0!**

# CYCLOPS: Phase Cycling



Scan	Pulse	Magn.	Rec.	A:cos	B:sin
1	+x	-y	+x	-y	+x
2	+y	+x	+y	+x	+y
3	-x	+y	-x	+y	-x
4	-y	-x	-y	-x	-y

**CYCLOPS** compensates for amplitude and phase imbalances of the quadrature channels. How does the signal look like?



Unwanted signals like this (DC offset) will then go to zero

# CYCLOPS: Phase Cycling

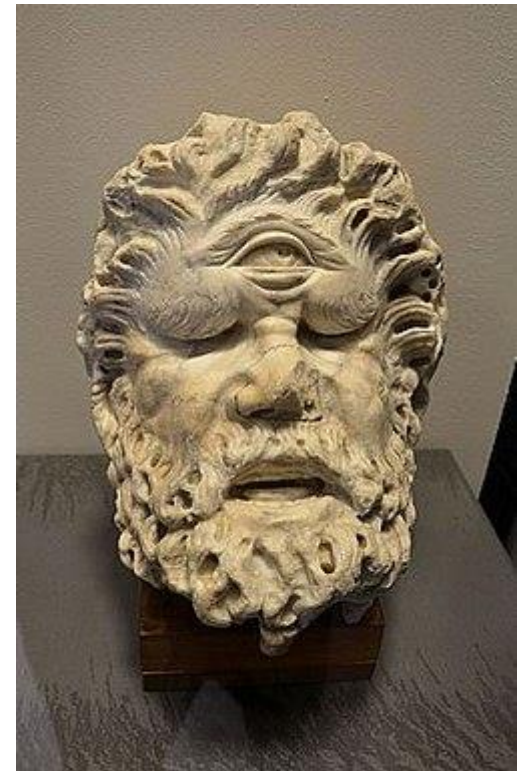
- **Phase cycling**

- The pulse and the receiver are cycled together in phase
- The receiver should follow the phase of the desired signal
- The number of steps in a phase cycle depends on how many undesired signals need to be suppressed and how many desired signals need to be selected
- CYCLOPS is a 4-step phase cycle
- CYCLOPS can correct for the phase and amplitude imbalances of the receiver channels with a 2-step phase cycle, 0,90
- CYCLOPS can remove zero peaks (DC offsets) with another 2-step phase cycle, 0,180
- Hence, overall one needs a 4-step phase cycle, 0, 90, 180, 270, in other words +x, +y, -x, -y (Is this correct?)
- One can do CYCLOPS in 3 steps, with both pulses and receiver cycled as 0, 120, 240

# CYCLOPS/CYCLOPES

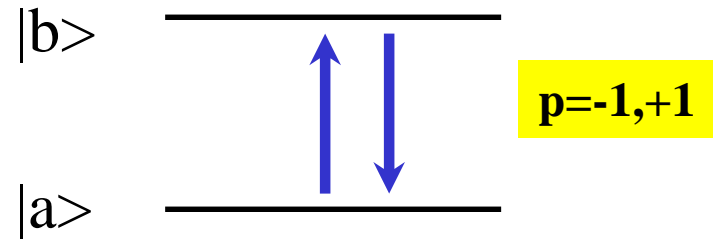
CYCLOPES are giant one-eyed creatures in Greek (and later Roman mythology).

They are three brothers: Brontes, Steropes, Arges (Arges made the thunderbolt for Zeus, God of lightning among others. Indra, Hindu God of lightning had Vajra as an equivalent.)

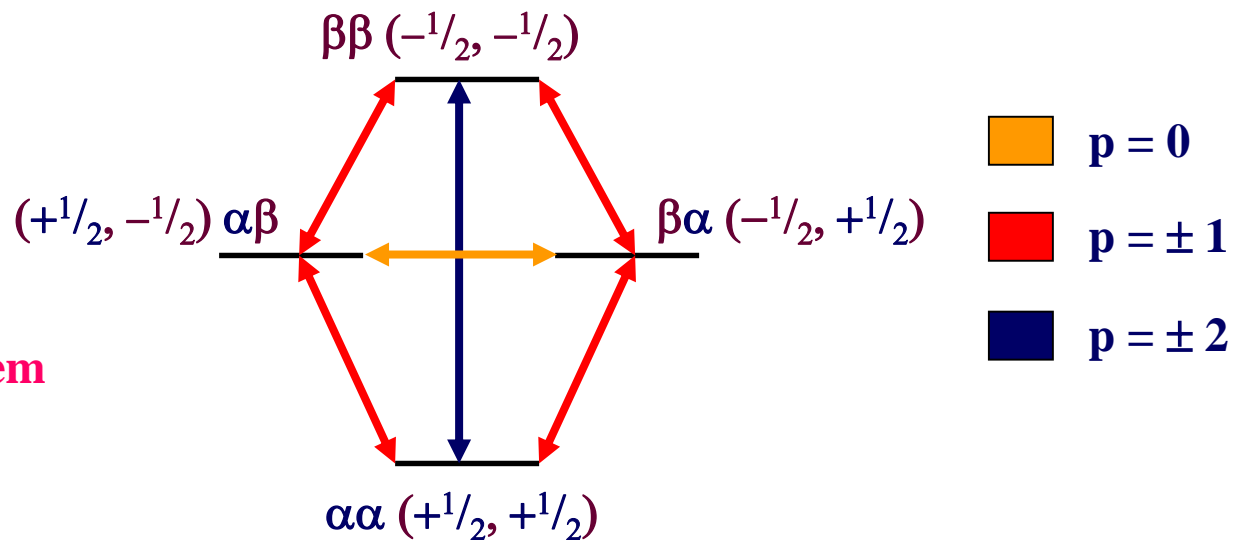


# Phase Cycling: Quantitative Derivation

Coherence order of -1 or +1 for single spin-1/2  
Single-quantum transitions



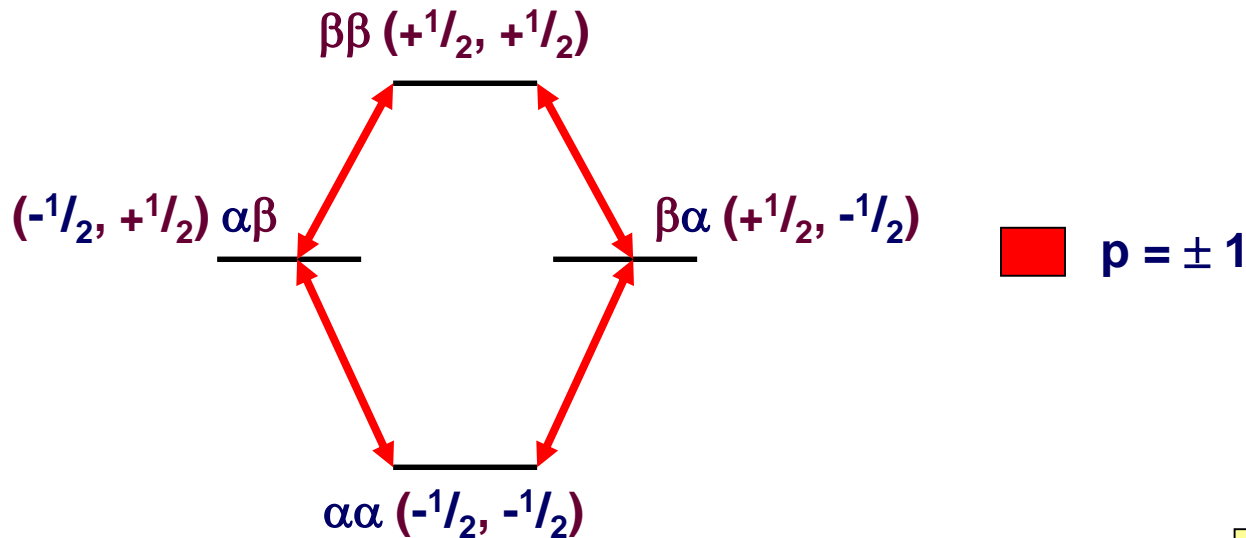
For a two-spin  $1/2$  system



Zero-quantum, Double-quantum, and single-quantum transitions

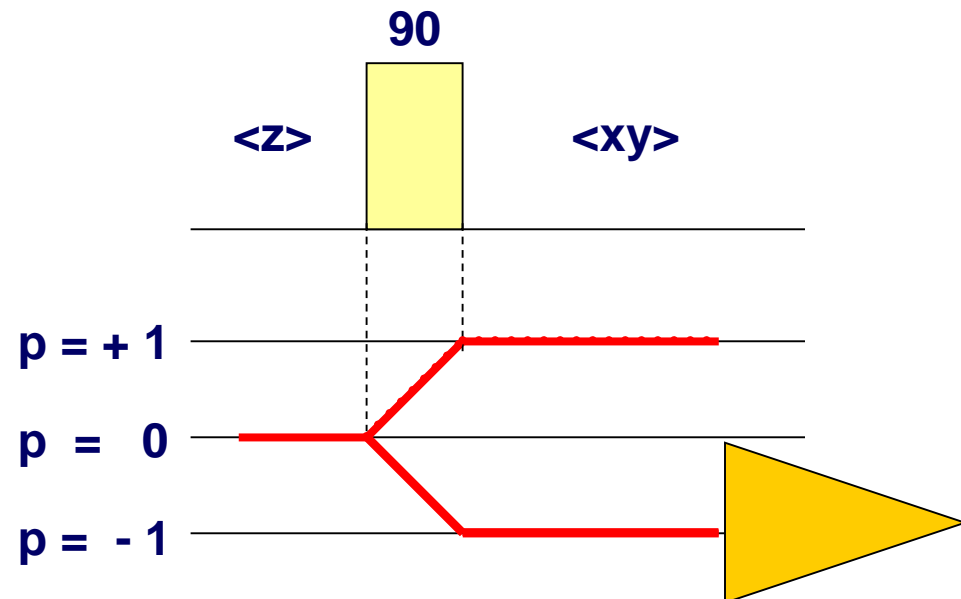
# Phase Cycling: Coherence Order

A 90 pulse on equilibrium magnetisation  $I_z$  creates transverse (xy) magnetisation, the single-quantum transition elements



We represent the SQC or any coherence by coherence transfer pathway diagrams, musical stave

Change of coherence order,  $\Delta p = -1$





# Phase Cycling: Coherence Order

A coherence of order  $\sigma$ , represented by the density operator  $\sigma^{(p)}$ , evolves under a z-rotation of angle  $\phi$  according to

$$\exp(-i\phi F_z) \sigma^{(p)} \exp(i\phi F_z) = \exp(-ip\phi) \sigma^{(p)}$$



**Definition of coherence order**

**Total z-component of the spin angular momentum**

The effect of a z-rotation on a term like  $I_1^+ I_2^+$

$$\begin{aligned} & \exp(-i\phi I_{1z}) \exp(-i\phi I_{2z}) \exp(-i\phi I_{1z}) \exp(-i\phi I_{2z}) \\ &= \exp(-i\phi I_{1z}) \exp(-i\phi) I_{I_1+I_2+} \exp(i\phi I_{1z}) \\ &= \exp(-i\phi) \exp(-i\phi) I_{I_1+I_2+} \\ &= \exp(-2i\phi) I_{I_1+I_2+} \end{aligned}$$

**Hence, a coherence (here DQ term) that experiences a phase shift of  $2\phi$  could be referred to as  $p=2$**

# Phase Cycling: Coherence Order

Consider  $p$  to  $p'$  by a pulse: How the phase of the pulse affects the phase of the coherence?

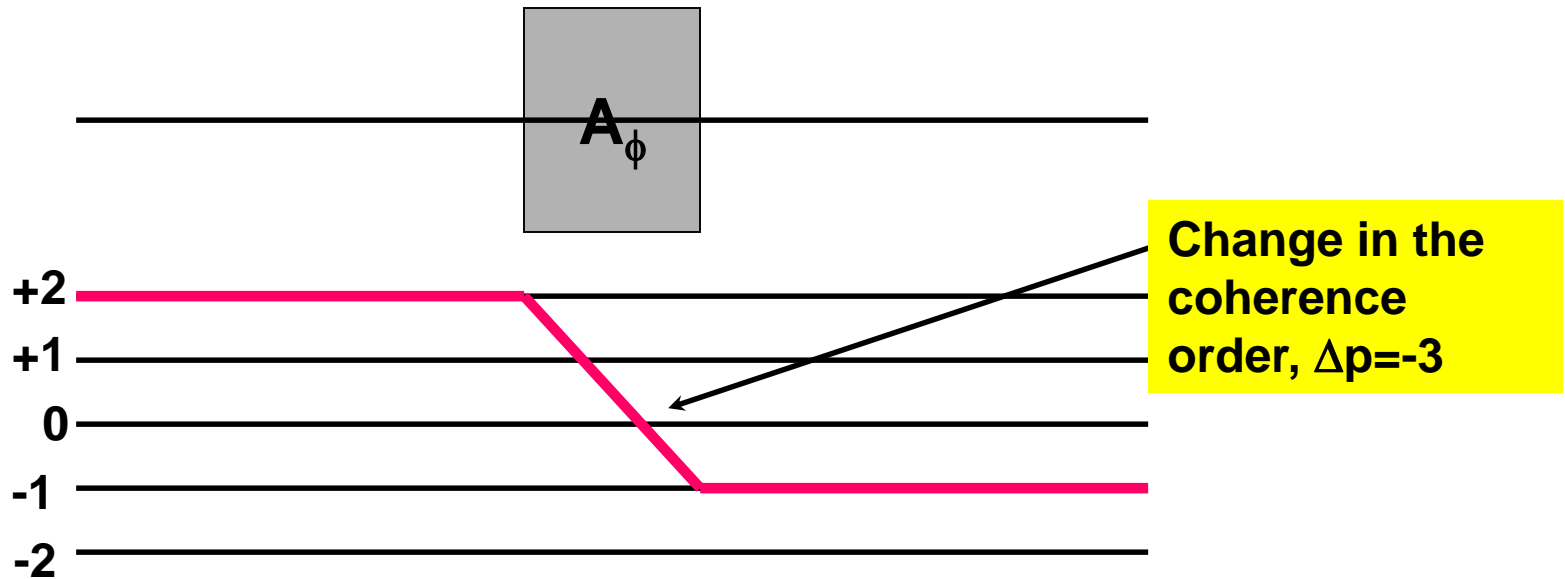
$$U_0 \sigma^{(p)} U_0^{-1} = \sigma^{(p')} + H.O.Terms \quad U_\phi = \exp(-i\phi F_z) U_0 \exp(i\phi F_z)$$

The effect of the phase-shifted pulse on the initial state:

$$\begin{aligned} U_\phi \sigma^{(p)} U_\phi^{-1} &= \exp(-i\phi F_z) U_0 \exp(i\phi F_z) \sigma^{(p)} \exp(-i\phi F_z) U_0^{-1} \exp(i\phi F_z) \\ &= \exp(ip\phi) \exp(-i\phi F_z) U_0 \sigma^{(p)} U_0^{-1} \exp(i\phi F_z) \\ &= \exp(ip\phi) \exp(-i\phi F_z) \sigma^{(p')} \exp(i\phi F_z) \\ &= \exp(ip\phi) \exp(-ip'\phi) \sigma^{(p')} = \exp(-i\Delta p \phi) \sigma^{(p')} \end{aligned}$$

If a pulse shifted in phase by  $\phi$  causes a coherence order change of  $\Delta p$ , the coherence acquires a phase label of  $(-\Delta p \phi)$

# Phase Cycling: Coherence Order



**Phase cycling: Transformation/rotation of signals under phase shifts of the RF pulses**

$Z(\phi)$  tells us the amplitude of conversion of +2 coherence to -1 coherence

$$Z(\phi) = Z(0) \exp(i\Delta p \phi)$$

For  $\Delta p = -3$ , when the phase of the pulse changes by  $\phi$ , the phase of the amplitude,  $Z$ , changes by  $3\phi$ . How do we catch that particular signal?

# Phase Cycling: Coherence Order

step	pulse phase	phase shift experienced by transfer with $\Delta p = -3$	equivalent phase	receiver
1	0	0	0	
2	90	270	270	
3	180	540	180	
4	270	810	90	

step	pulse phase	phase shift experienced by transfer with $\Delta p = 2$	equivalent phase	rx. phase to select $\Delta p = -3$	difference
1	0	0	0	0	0
2	90	-180	180	270	$270 - 180 = 90$
3	180	-360	0	180	$180 - 0 = 180$
4	270	-540	180	90	$90 - 180 = -90$

Hence,  $\Delta p = -3$  selected, whilst  $\Delta p = 2$  not

In fact, this phase cycle will select all  $\Delta p = -3 + 4n$

where  $n = -1, +1, -2, +2, \dots$

# Phase Cycling: Coherence Order

## Phase cycling: General rule-

If the pulse phase gets cycled as

$$\phi_k = \frac{2\pi}{N} k, k = 0, 1, 2, \dots, N - 1$$

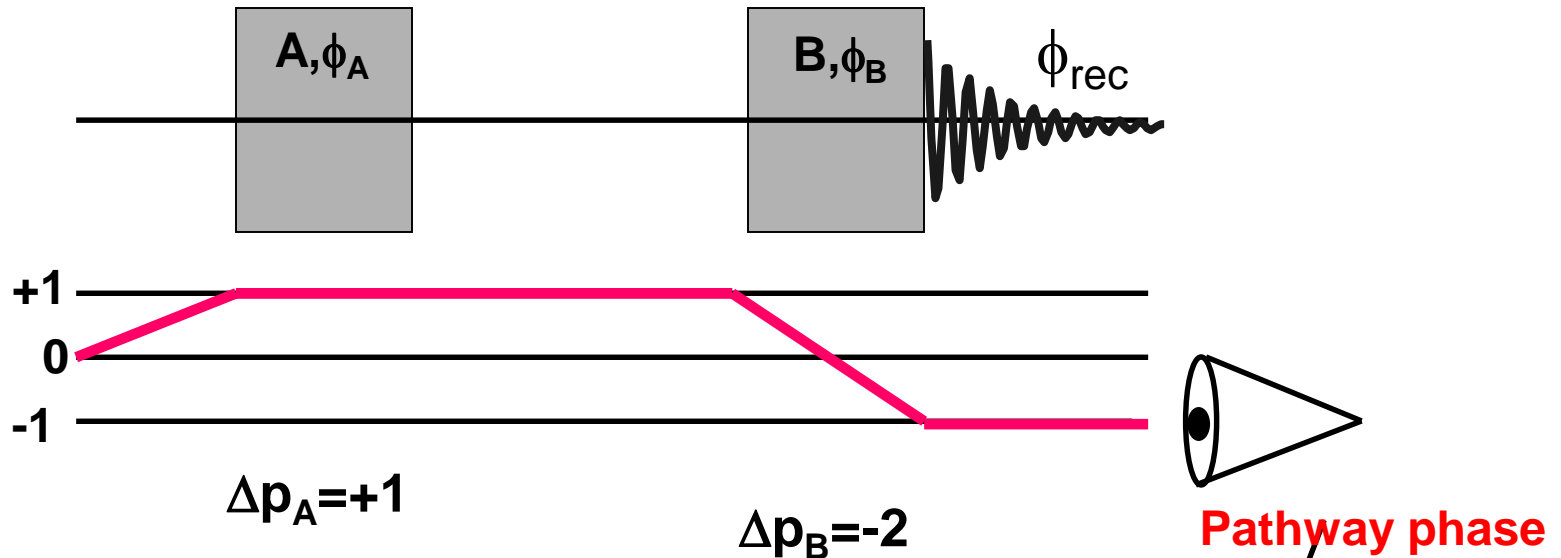
A coherence order change,  $\Delta p$ , gets selected, if the receiver phase

$$\phi_{rec} = -\Delta p \cdot \phi_k$$

For each step and summing up the signal

Also  $\Delta p \pm nN$  get selected

# Phase Cycling: Selection Rule



$$S(t; \phi_A, \phi_A, \phi_{rec}) = \sum_{path} S_{path}(t; \phi_A, \phi_A, \phi_{rec})$$

$$S_{path}(t; \phi_A, \phi_A, \phi_{rec}) = S_{path}(t; 0, 0, 0) \exp(-i\phi_{path})$$

$$\phi_{path} = \Delta p_A \phi_A + \Delta p_B \phi_B + \phi_{rec}$$

Phase-cycle condition demands  $\phi_{path}=0$

$$\phi_{rec} = -\sum_i \Delta p_i \phi_i$$

# Coherence and Phase Cycle Rules

Only pulses can change coherence order. Pulses on  $\langle z \rangle$  magnetization ( $p = 0$ ) generate  $p = \pm 1$ , while pulses on  $\langle xy \rangle$  magnetization can create higher coherence order, depending on the number of coupled spins

We can only detect coherence with order  $\pm 1$ , because it corresponds to *single-quantum transitions*, or  $\langle xy \rangle$  magnetization

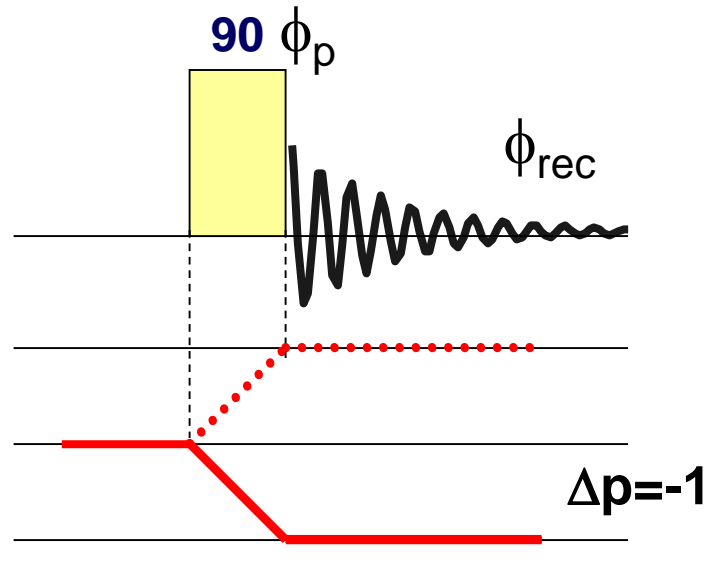
The number of cycles and steps per cycle needed will depend on the order of the coherence we want to select/transfer

In order to select or detect a certain component of the coherence order generated by a pulse of phase  $\phi$ , the phase of the selecting pulse or receiver is given by:

$$\phi_{rec} = -\sum_i \Delta p_i \phi_i$$

where  $\Delta p$  is the coherence change we want to follow generated by the pulse of phase  $\phi$ , and  $\phi$  is its phase

# CYCLOPS: Phase Cycling



$$\phi_{rec} = -\sum_i \Delta p_i \phi_i$$

Here,  $\phi = 0, 90, 180, 270$

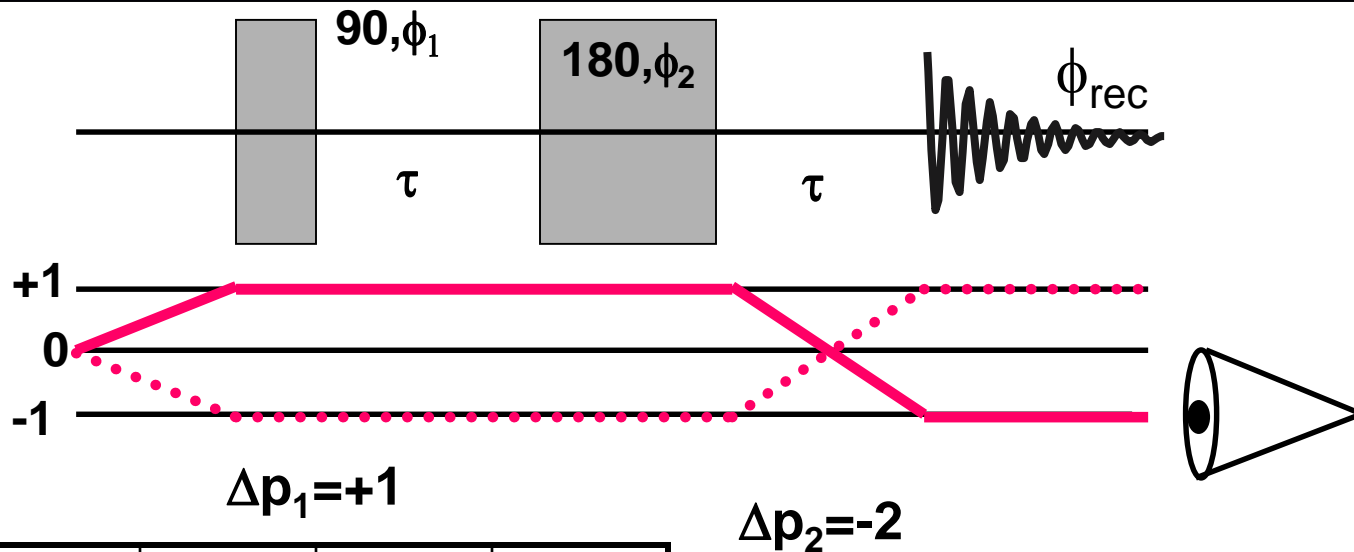
Hence,  $\phi_{rec} = +1 \cdot \phi = 0, 90, 180, 270$

Scan, Cycle counter, m	$\phi_{pulse}$	$\phi_{rec}$
1	+x	+x
2	+y	+y
3	-x	-x
4	-y	-y

This phase cycle will remove  $\Delta p = +1$  pathway, to select which  $\phi_{rec} = 0, 270, 180, 90$



# Spin Echo: Phase Cycling



Scan	$\phi_1$	$\phi_2$	Magn.	Rec
1	+x	+x=0	+y	+x=0
2	+x	+y=90	-y	-x=180
3	+x	-x=180	+y	+x=0
4	+x	-y=270	-y	-x=180

But now on top of this, we have to do  
**CYCLOPS** on the first pulse

$$\phi_{rec} = -\Delta p_1 \phi_1 - \Delta p_2 \phi_2 = -\phi_1 + 2\phi_2$$

$\phi_1 = 0 \ 0 \ 0 \ 0 \ 90 \ 90 \ 90 \ 90 \ 180 \ 180 \ 180 \ 180 \ 270 \ 270 \ 270$   
 $\phi_2 = 0 \ 90 \ 180 \ 270 \ 0 \ 90 \ 180 \ 270 \ 0 \ 90 \ 180 \ 270 \ 0 \ 90 \ 180 \ 270$   
 $\phi_{rec} = 0 \ 180 \ 0 \ 180 \ 270 \ 90 \ 270 \ 90 \ 180 \ 0 \ 180 \ 0 \ 90 \ 270 \ 90 \ 270$   
**16-step phase cycle**

# Nested Phase Cycle

The spin-echo phase cycle (EXORCYCLE) is a classic example of nested phase cycle

Bodenhausen, Kogler, and Ernst, J. Magn. Reson. 58, 370, 1984

Bain, J. Magn. Reson. 56, 418, 1984

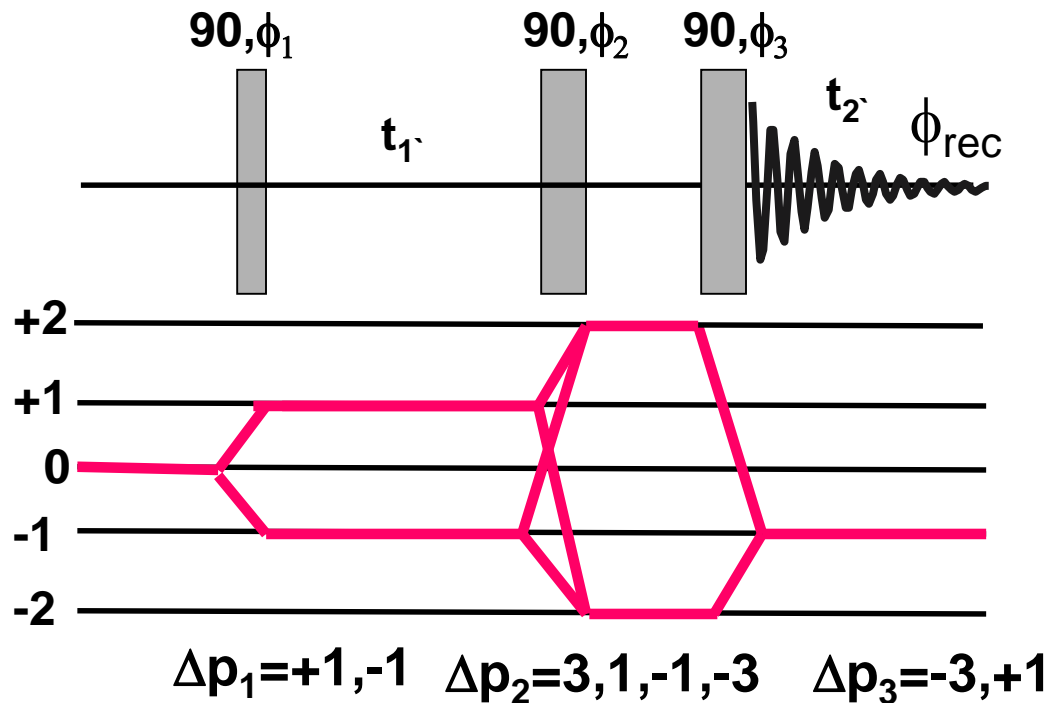
A more economical way of phase cycling called COGWHEEL method was introduced by the Levitt group

M. H. Levitt et al., J. Magn. Reson. 155, 300, 2002

Also see publications by Jerschow's group and Norbert Mueller's group

Also see Multiplex phase cycling, and combinations of cogwheel and multiplex philosophies for multiple data acquisition and fastening the experiments

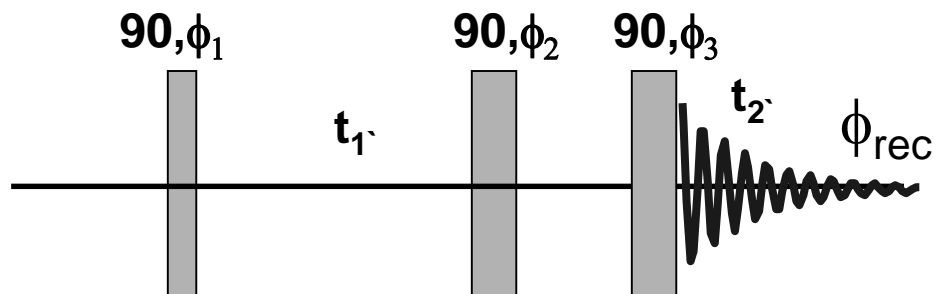
# DQF COSY: Phase Cycling



Cycle counter	$\phi_1$	$\phi_2$	$\phi_3$	Rec
1	0	0	0	0
2	180	0	0	180
3	0	0	90	270
4	180	0	90	90
5	0	0	180	180
6	180	0	180	0
7	0	0	270	90
8	180	0	270	270

This phase cycle removes all the other undesired pathways, check this!

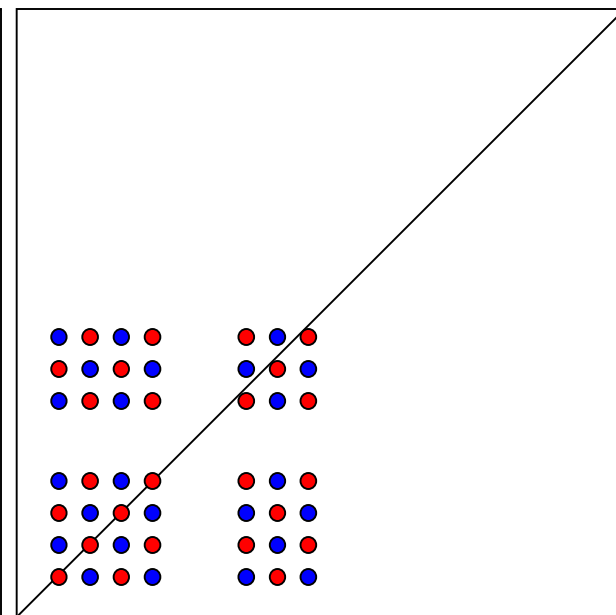
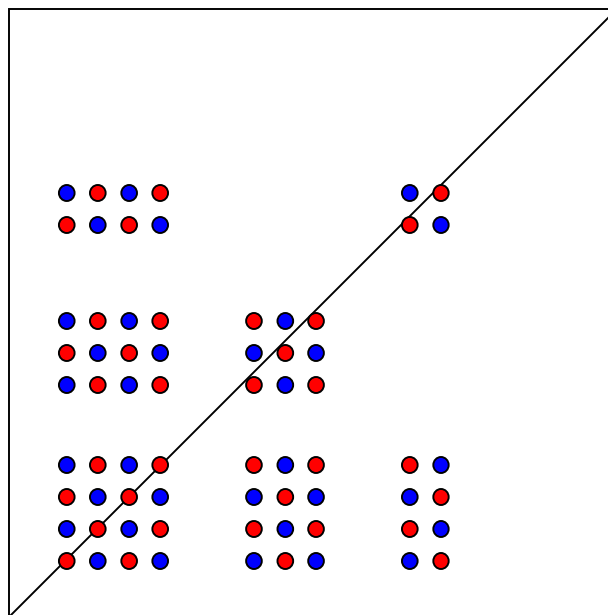
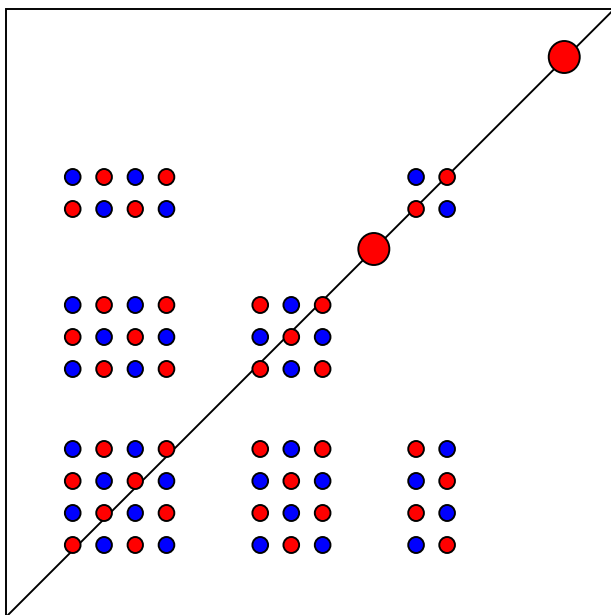
# MQF COSY



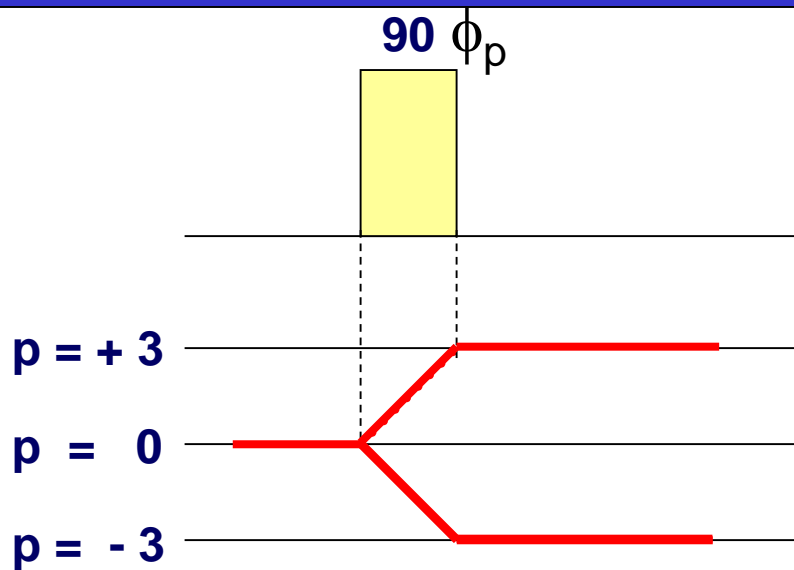
Normal COSY

DQF COSY

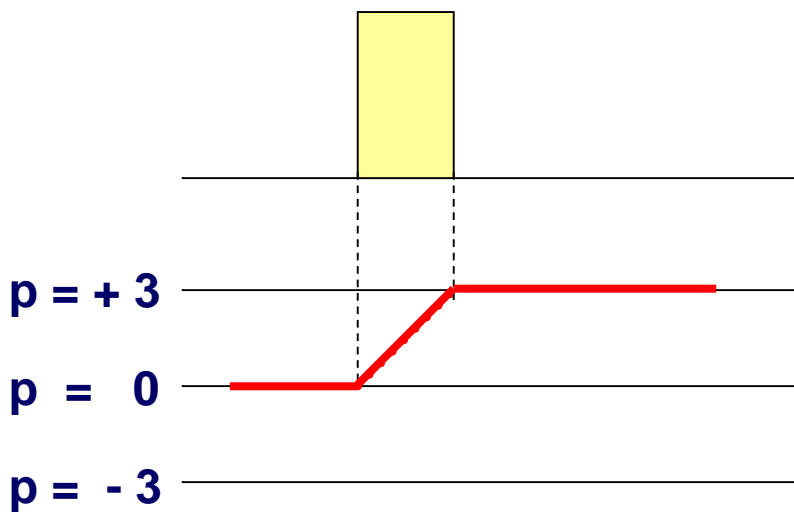
TQF COSY



# Selection of Higher Coherences



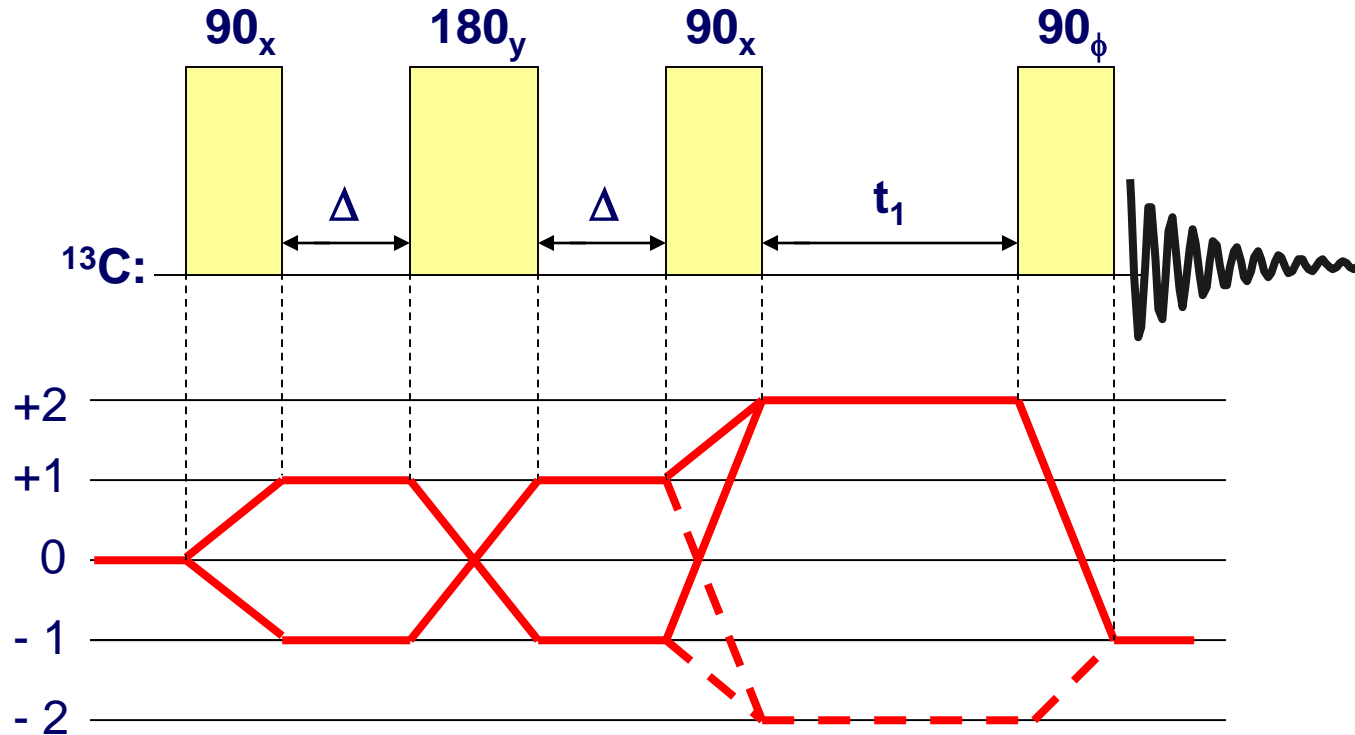
Scan, Cycle counter,m	$\phi_{\text{pulse}}$
1	0
2	60
3	120
4	180
5	240
6	300



Scan, Cycle counter,m	$\phi_{\text{pulse}}$	Scan, Cycle counter,m	$\phi_{\text{pulse}}$
1	0	7	180
2	30	8	210
3	60	9	240
4	90	10	270
5	120	11	300
6	150	12	330

# INADEQUATE

## Incredible Natural Abundance Double-Quantum Transfer Experiment



**Pulse 1,3:** 03214765254361073654721014325076  
03214765254361073654721014325076

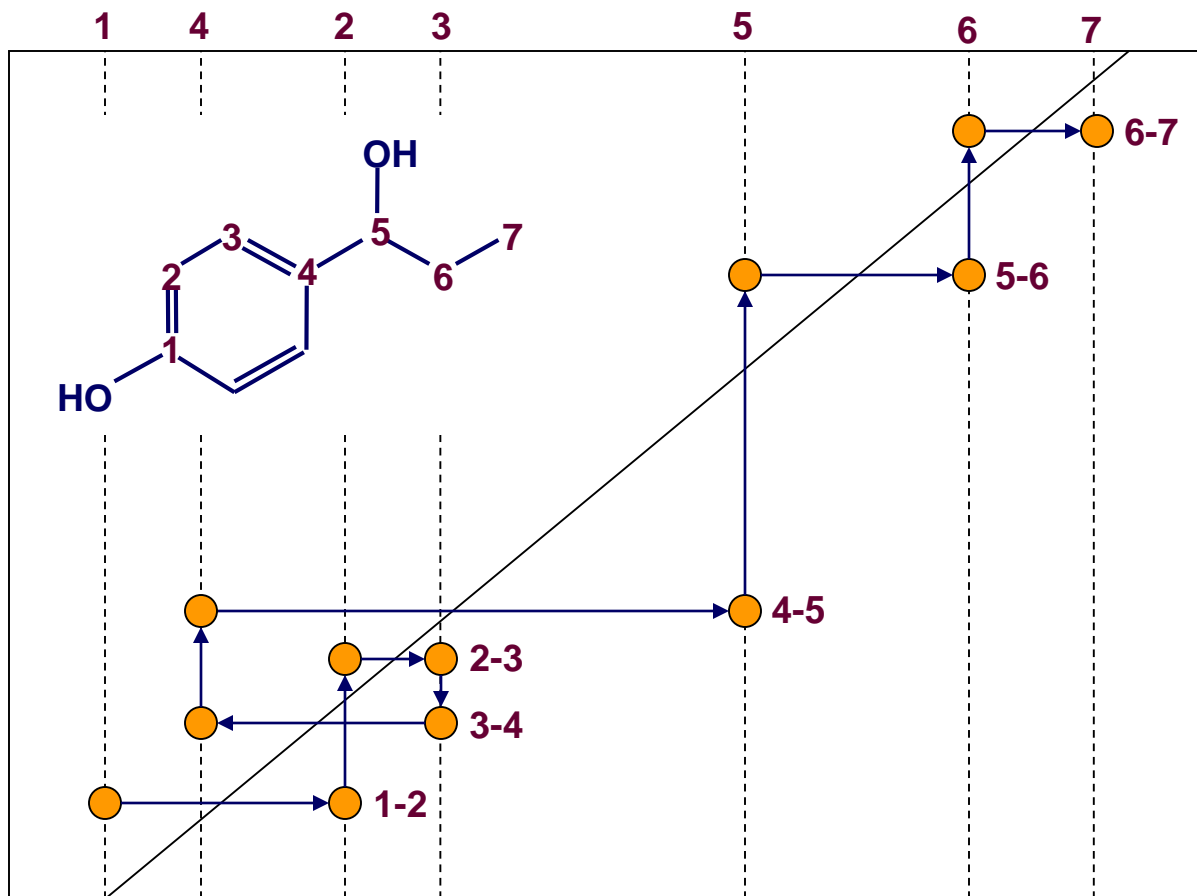
**Pulse 2:** 03214765254361073654721014325076  
47650321610725437210365450761432

**Pulse 3:** 012301230123012301230123012301230123  
012301230123012301230123012301230123

**Receiver:** 000000022222222111111113333333  
000000022222222111111113333333

# INADEQUATE

Assignments  
Long-range information



# Lineshapes

Typical NMR signal

$$S(t) = B \exp(i\Omega t) \exp(i\Phi) \exp(-\frac{t}{T_2})$$

$\downarrow$  **Amplitude**                       $\downarrow$  **Overall phase factor**  
 $\text{factor} = \phi_{\text{signal}} - \phi_{\text{receiver}}$

FT  $\downarrow$

$$S(\omega) = B[A(\omega) + iD(\omega)] \exp(i\Phi)$$

$$\begin{array}{cc} \downarrow & \downarrow \\ \frac{T_2}{1 + (\omega - \Omega)^2 T_2^2} & \frac{(\omega - \Omega) T_2^2}{1 + (\omega - \Omega)^2 T_2^2} \\ \downarrow & \downarrow \\ \text{Absorption mode Lorentzian} & \text{Dispersion mode Lorentzian} \\ \text{centred at } \omega = \Omega & \end{array}$$

Normally one displays the real part of  $S(\omega)$

$$\text{Re}(S(\omega)) = B[\cos \Phi A(\omega) - \sin \Phi D(\omega)]$$

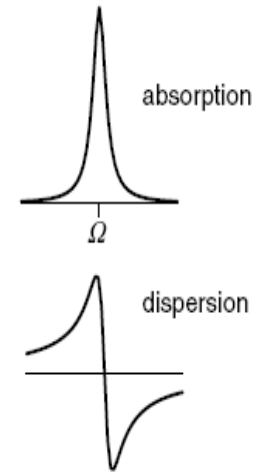
Mixture of both absorption and dispersion line shapes



# Lineshapes

**NMR spectral line shape:**

$$\text{Re}(S(\omega)) = B[\cos \Phi A(\omega) - \sin \Phi D(\omega)]$$



**How to get pure absorption mode line shapes? Make  $\Phi=0$ , multiply  $S(\omega)$  by a phase factor  $\exp(i\theta)$**

$$\begin{aligned} S(\omega) \exp(i\theta) &= B[A(\omega) + iD(\omega)] \exp(i\Phi) \exp(i\theta) \\ &= B[A(\omega) + iD(\omega)] \exp(i\Phi + \theta) \end{aligned}$$

**Now choose  $\theta=-\Phi$ , phase factor is removed and the  $\text{Re}(S(\omega))$  is in pure Absorption mode:      **Phase correction****

# Coherence Transfer Pathways

## Selection of CTP: Guidelines

Frequency discrimination

Line shape features

STATES/TPPI

Absorption-mode line shapes  
Real FT

Typical 2D

$$\cos(\Omega_i t_1) I_{ix} \xrightarrow{\text{mixing}} \cos(\Omega_j t_1) I_{jx}$$

The 2D signal  $\equiv \cos(\Omega_i t_1) \exp(i\Omega_j t_2)$



Amplitude modulated data set in  $t_1$

# Coherence Transfer Pathways

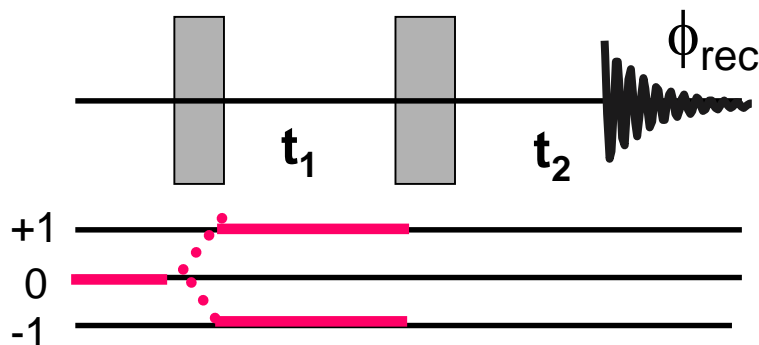
## Typical 2D

$$\cos(\Omega_i t_1) I_{ix} \xrightarrow{\text{mixing}} \cos(\Omega_j t_1) I_{jx}$$

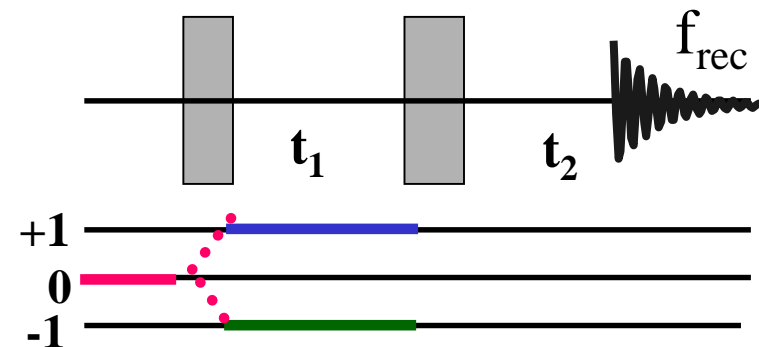
$$\frac{1}{2} \cos(\Omega_i t_1) (I_i^+ + I_i^-) \xrightarrow{\text{mixing}} \cos(\Omega_j t_1) I_{jx}$$

Amplitude modulation, hence, results from a selection of both the +1 and -1 coherence pathways

- Retaining symmetrical pathways
- No frequency discrimination
- Pure absorption-mode lineshapes



# Coherence Transfer Pathways



Selecting  $p=1$  pathway only during  $t_1$

At the start of  $t_1$ , the signal

$$I_x = \frac{1}{2} (I^+ + I^-)$$

During  $t_1$ , this evolves into

$$\frac{1}{2} \exp(i\Omega t_1) I_-$$

The 2D signal is then given by:

$$S_P(t_1, t_2) = \frac{1}{4} \exp(i\Omega t_1) \exp(i\Omega t_2)$$



Selecting  $p=-1$  pathway only during  $t_1$

Phase modulated data set in  $t_1$

The 2D signal is then given by:

$$S_N(t_1, t_2) = \frac{1}{4} \exp(-i\Omega t_1) \exp(i\Omega t_2)$$

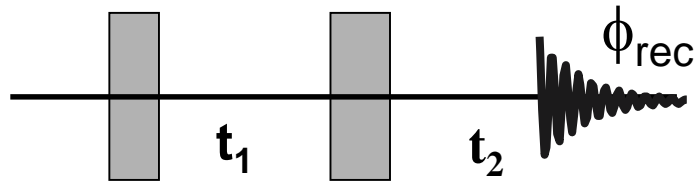
Phase modulation, hence, results from a selection of either the +1 or -1 coherence pathways

- Frequency discrimination
- Phase twisted lineshapes

*Frequency discrimination achieved by selecting one pathway with appropriate phase cycle*

*This procedure is called **anti-echo (P-type)** or **echo (N-type)** selection scheme*

# Quadrature in $t_1$ Dimension

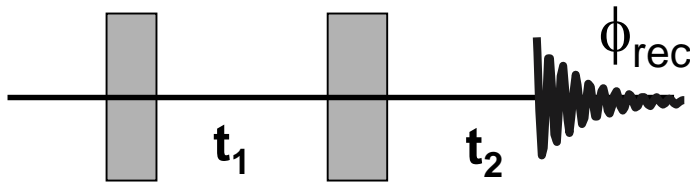


**Anti-Echo/Positive signal**

$+p$   $S(t_1, t_2)_P \approx \exp(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$   
 $0$    
 $-p$   $S(t_1, t_2)_N \approx \exp(-i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$

**Echo/Negative signal**

**Phase-modulated signal**



**Normally, 2D experiments record both P and N pathways, resulting in a signal of the form**

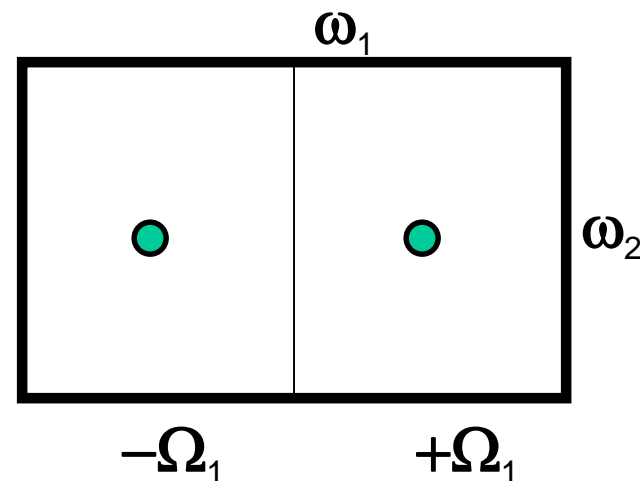
$0$   $S(t_1, t_2)_C \approx \cos(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$   
**or**

$S(t_1, t_2)_S \approx \sin(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$

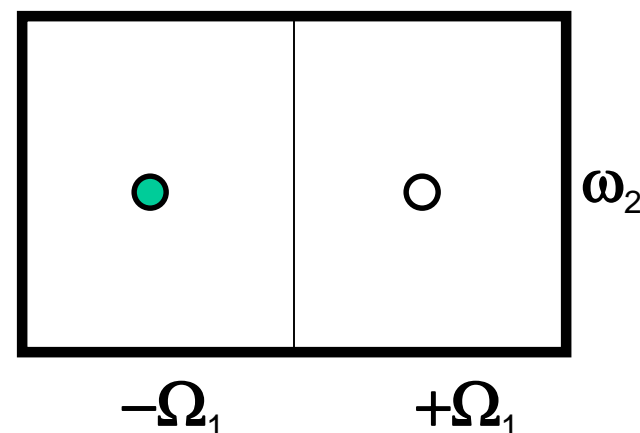
**Amplitude-modulated signal**

# Quadrature in $t_1$ Dimension

$$S(t_1, t_2) \approx \cos(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$



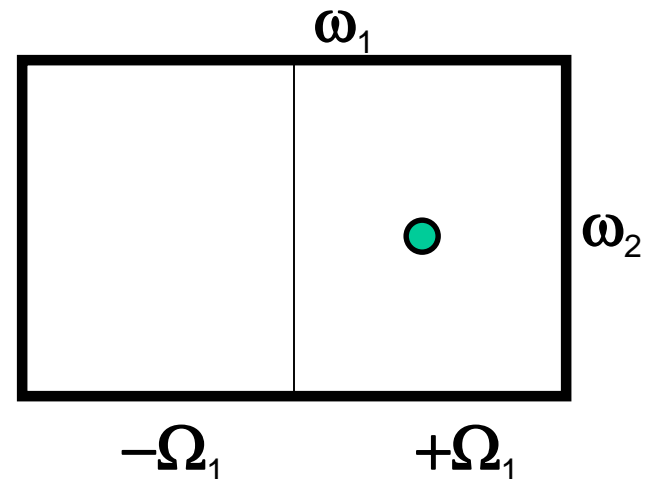
$$S(t_1, t_2) \approx \sin(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$



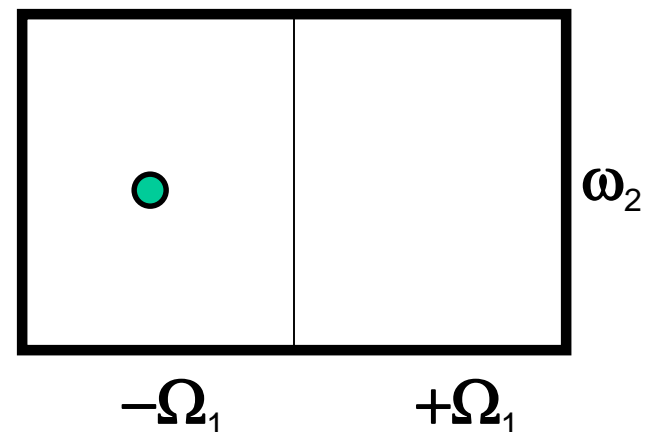
**Amplitude modulation: No frequency discrimination**

# Quadrature in $t_1$ Dimension

$$S(t_1, t_2) \approx \exp(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$

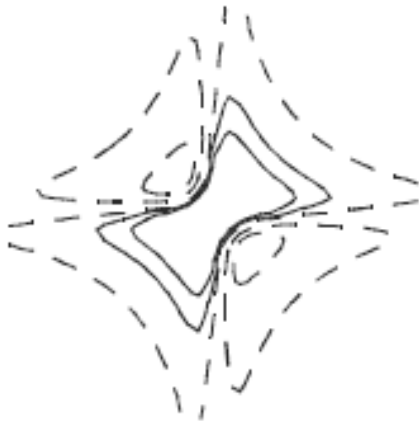
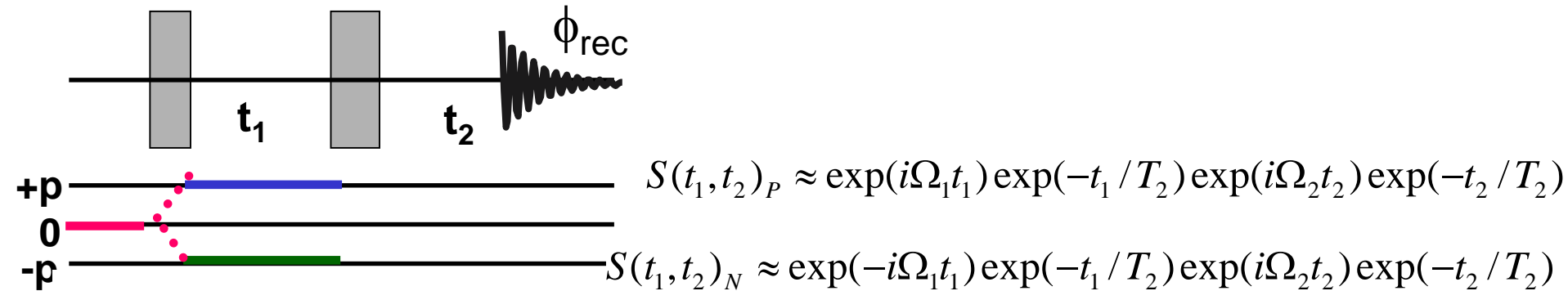


$$S(t_1, t_2) \approx \exp(-i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$



**Phase modulation: Frequency discrimination possible**

# To Collect or Not To Collect Both the Pathways



**Mixture of absorptive and dispersive lineshapes  
giving rise to twisted peak appearance for the  
2D contour peaks**

**Collection of both the pathways is necessary to get pure-absorptive lineshapes**



# Why Collect Both Pathways

$$S(t_1, t_2)_P \approx \exp(i\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$

$$S(t_1, F_2)_P = \exp(i\Omega_1 t_1) \exp(-t_1 / T_2) [A_2 + iD_2]$$

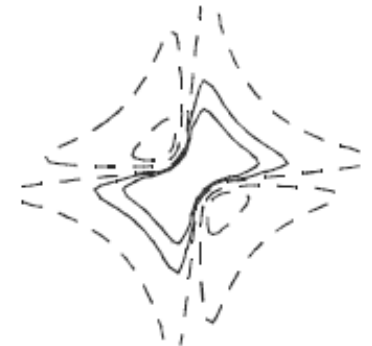
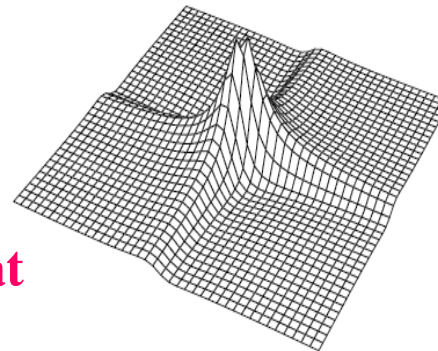
$$S(F_1, F_2)_P = [A_{1+} + iD_{1+}] [A_2 + iD_2]$$



**Absorption and dispersion  
lines at  $+\Omega$**

$$\text{Re}(S(F_1, F_2)_P) = [A_{1+} A_2 - D_{1+} D_2]$$

**Phase-twisted line shapes at  
( $F_1, F_2$ ) = ( $+\Omega, +\Omega$ )**



**Collection of both the pathways is necessary to get pure-absorptive lineshapes**

# Why Collect Both Pathways

$$S(t_1, t_2)_C = \frac{1}{2} \cos(\Omega_1 t_1) \exp(-t_1 / T_2) \exp(i\Omega_2 t_2) \exp(-t_2 / T_2)$$

$$S(t_1, F_2)_C = \frac{1}{2} \cos(\Omega_1 t_1) \exp(-t_1 / T_2) [A_2 + iD_2]$$

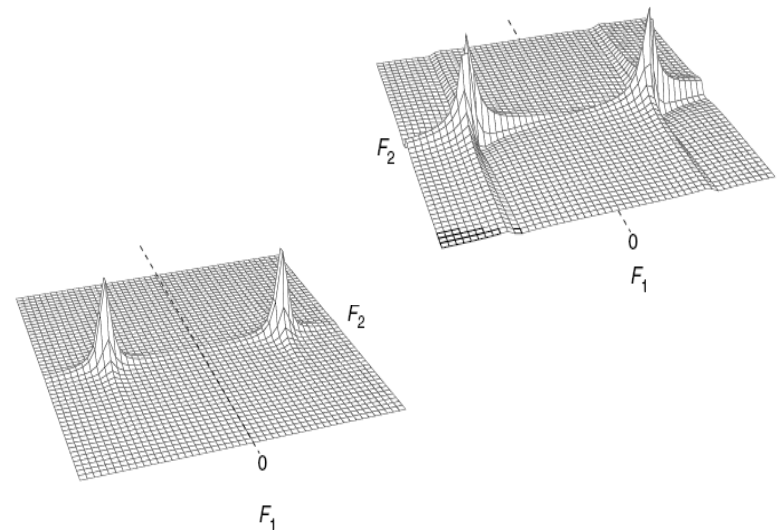
$$= \frac{1}{4} [\exp(i\Omega_1 t_1) + \exp(-i\Omega_1 t_1)] \exp(-t_1 / T_2) [A_2 + iD_2]$$

$$\text{Re}[S(F_1, F_2)_C] = \frac{1}{4} [A_{1+} A_2 - iD_{1+} D_2] + \frac{1}{4} [A_{1-} A_2 + iD_{1-} D_2]$$

**Terrible! No frequency discrimination, and moreover, two phase-twisted line shapes, one at  $(F_1, F_2) = (+\Omega, +\Omega)$ , and the other at  $(F_1, F_2) = (-\Omega, +\Omega)$**

$$\text{Re}[S(t_1, F_2)_C] = \frac{1}{2} \cos(\Omega_1 t_1) \exp(-t_1 / T_2) A_2$$

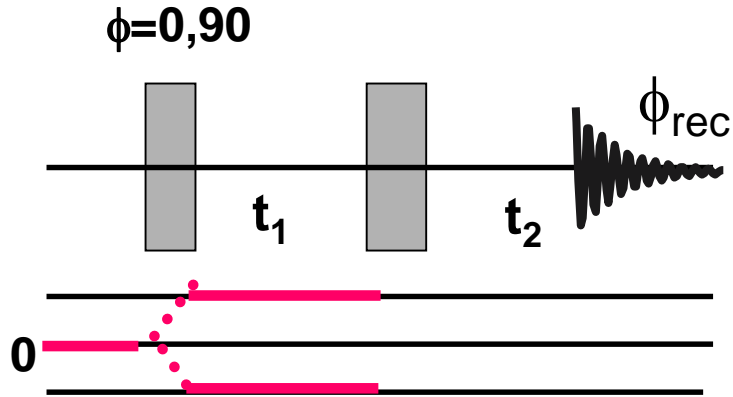
$$\text{Re}[S(F_1, F_2)_C] = \frac{1}{4} (A_{1+} A_2 + A_{1-} A_2)$$



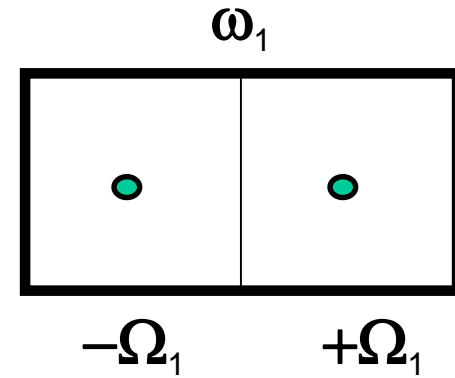
**Two double-absorption mode lineshapes without frequency discrimination**

# Quadrature in $t_1$ Dimension, STATES Method

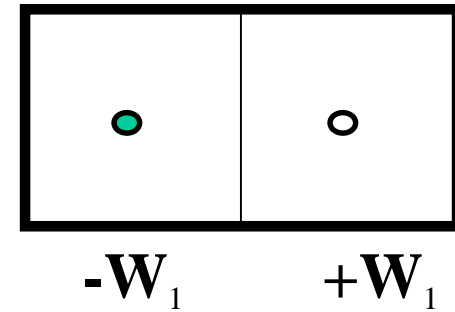
Same  $t_1$ , do 2 experiments



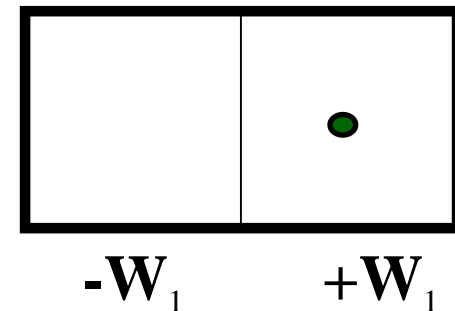
$\phi=0$ , cosine



$\phi=90$ , sine



Difference,  
cos-sin



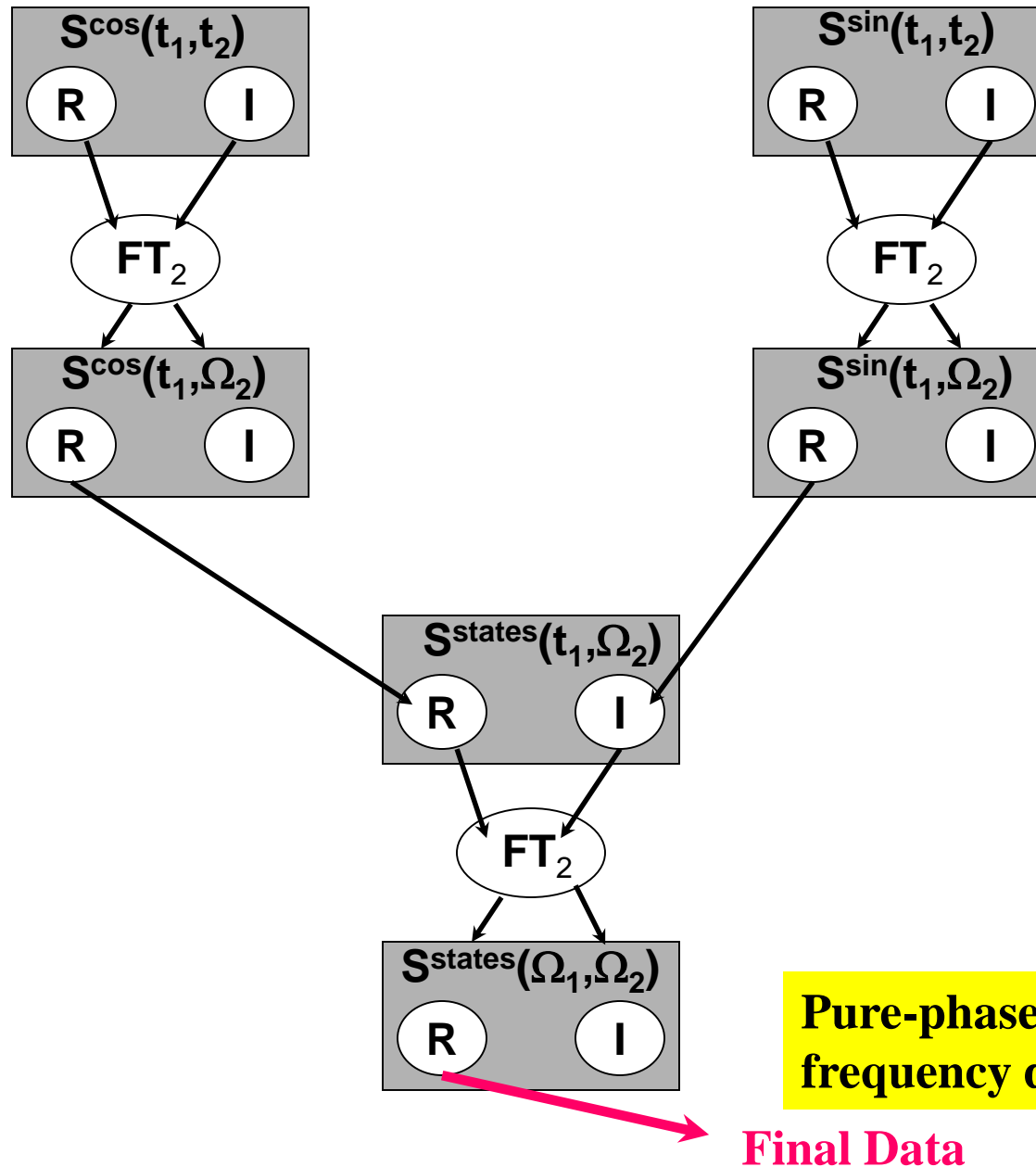
Thus, quadrature is accomplished in  $t_1$  as well

Frequency discrimination, STATES  
phase cycling method (also, TPPI,  
Time Proportional Phase Increment)

States, Haberkorn, Ruben, J. Magn. Reson. 48, 286, 1982

Marion, Wuthrich, Biochem. Biophys. Res. Commun. 113, 967, 1983

# 2D Spectrum: STATES+Pure-Absorptive Line Shape



# Pulsed Field Gradients in NMR: Theory and Practice

# PFG's in NMR

- Coherence transfer pathways
- Pathway selection by phase cycling and PFG
- DQF-COSY

# Coherences and Populations

**Population is a generalisation of longitudinal magnetisation:  
The corresponding spin operators are  $I_z$ ,  $S_z$  and their linear combinations**

**Coherence is a generalisation of transverse magnetisation:  
 $I_x$ ,  $S_x$ ,  $I_{1+}$ ,  $I_{1-}$  are some of the corresponding spin operators**

**The observable transverse magnetisation is classified as having  
a coherence order  $p = \pm 1$ , single-quantum coherence**

$p = \pm 2$       Double-quantum coherence

$p = \pm 3$       Triple-quantum coherence

$p = 0$       Zero-quantum coherence,  $z$  magnetisation

# Phase Cycling: Separating Wheat from Chaff

- **Suppression of artifacts**
- **Selection of the desired CTP, rejection of the undesired CTP**
- **A pulse can change the coherence level and the phase of the coherence level**
- **If the pulse phase is changed by  $\Delta q$ , those coherences, for which the pulse induces a coherence level shift  $\Delta p$ , change the phase  $\phi$  by  $\Delta p * \Delta q$ . In other words**

$$\Delta\phi = \Delta p * \Delta\theta$$

**Refocusing condition (for selection of desired signals)**

$$\sum_i \Delta\phi_i + \phi_{rec} = 0$$

**Phase of the receiver**



# Coherence and Density Matrix

Density matrix characterises the state of a spin system

Populations are the diagonal elements of the density matrix

Coherences are the off-diagonal elements of the density matrix

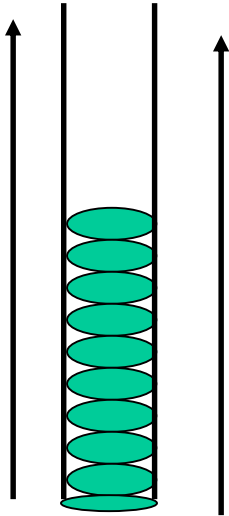
The density matrix may be separated into contributions from different coherence orders, denoted  $\sigma^{(p)}$

$$\sigma = \sum_{p=-p_m}^{p=p_m} \sigma^{(p)}$$

$p_m$ , the maximum coherence order, is equal to the number of mutually coupled spins

# What are Gradients?

$B_0$ , applied magnetic field

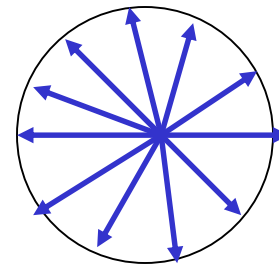
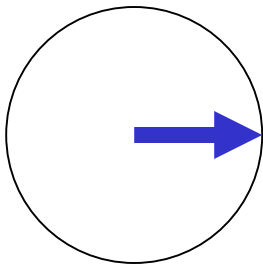


**Spatially varying small magnetic field along z direction, pulsed field gradients**

**Different portions of the sample experience different magnetic fields, and thus have different Larmor frequencies**

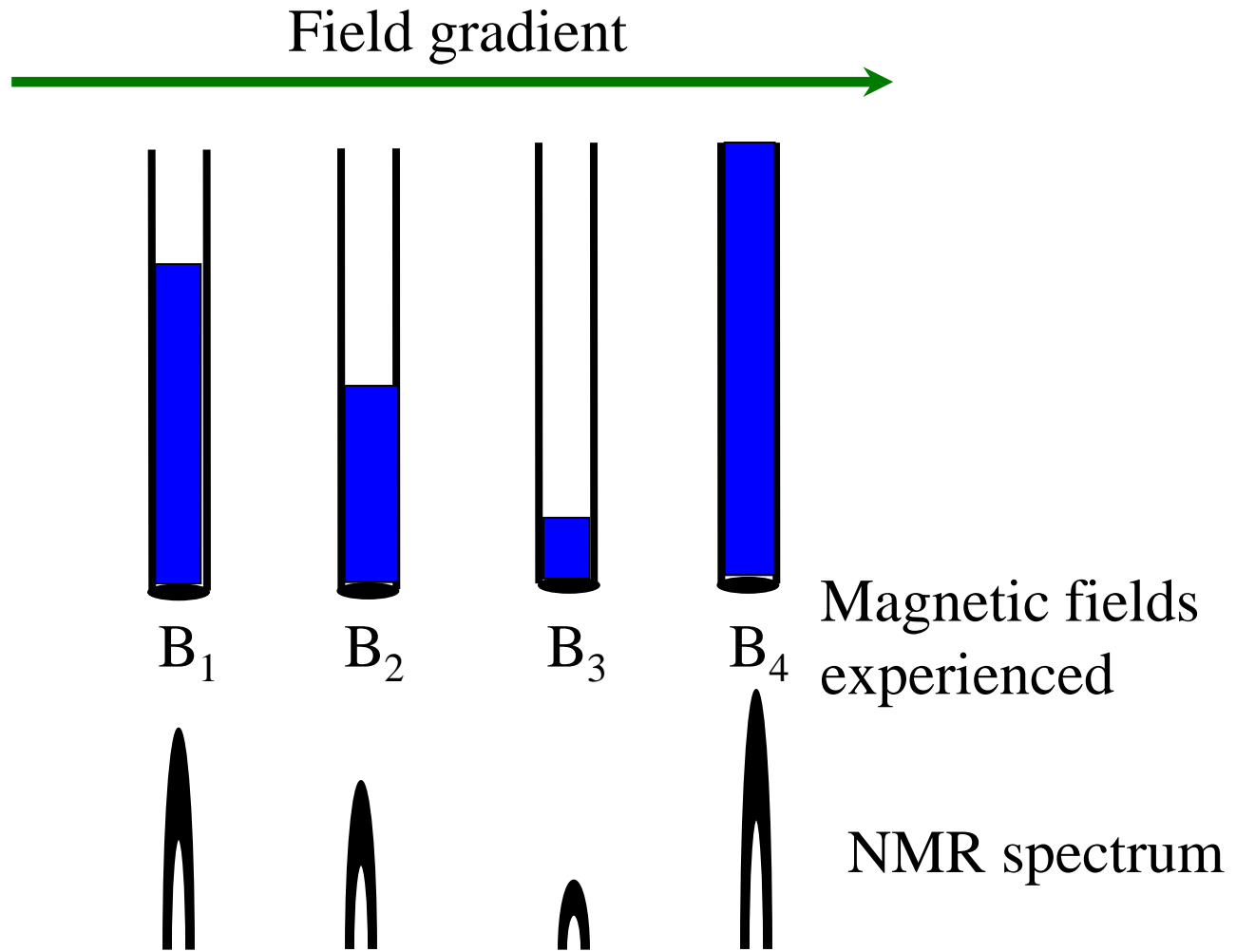
**During the gradients, due to different Larmor frequencies, the magnetisation dephases, the net magnetisation becoming zero**

**Before the gradients, the transverse magnetisation vectors are aligned**



**Gradients dephase magnetisation, but, one can apply appropriate gradients to restore the lost magnetisation in a desired way**

# Spatial Encoding with Gradients



# Gradients Vs. Phase Cycling

## Phase cycling:

- All signals are present.
- Desired pathway selection via subtraction.
- Imperfection in pulses and phases will interfere with subtraction
- Imperfect subtraction can lead to considerable amount of  $t_1$  noise, particularly for solvent signals which may obscure with cross peaks, affecting resolution
- Experiments need to be repeated as many times the number of phase cycles steps are
- Experiment time is sometimes dictated by the phase cycle steps and not by the signal

## Gradient pulses:

Gradients dephase all the signals.

Gradients can then refocus certain signals by applying gradients of different durations and strengths (This is because the dephasing rate varies for each of the coherence, DQC dephase twice as fast as SQC).


Gradients enable the observation of only the required signals

No subtraction process, no repetition of the experiment required

# Formal Definition of Coherence Order

Coherences of different orders respond at different rates to  $z$ -rotations: central property in both phase cycling and gradient schemes.

The effect of a  $z$ -rotation on a coherence order  $p$ ,  $\sigma^{(p)}$ , can be written as

$$\sigma^{(p)} \xrightarrow{\phi F_z} \sigma^{(p)} \exp(-ip\phi)$$


Definition of coherence order,  $p$

$z$ -rotation can be brought about by phase shifted pulses (phase cycling) or by applying spatially varying magnetic fields (pulsed field gradients).

# Gradient Induced Dephasing

- The Larmor frequency at a particular point

$$\omega(z) = \gamma(B_0 + Gz)$$

- After time  $t$  the phase

$$\phi(z) = \gamma(B_0 + Gz)t$$

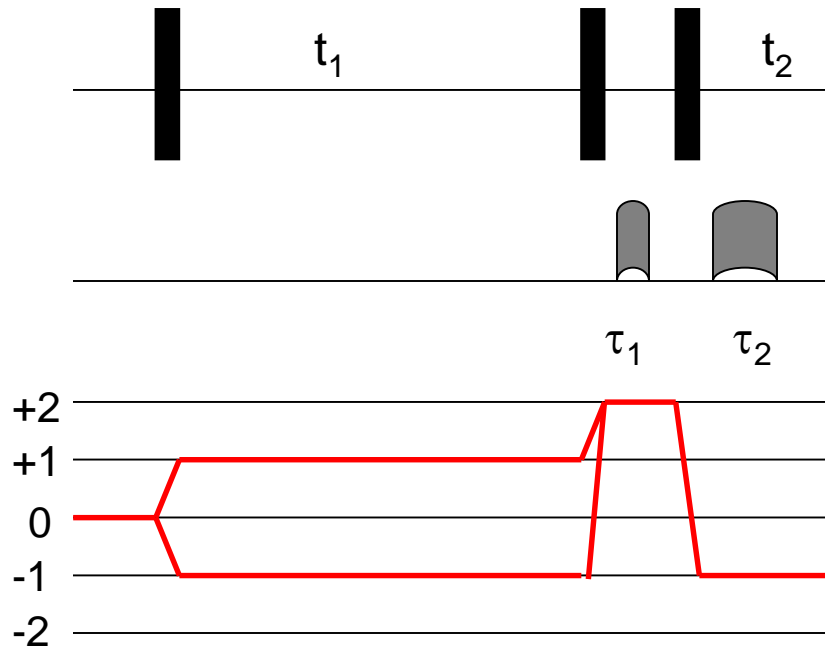
- More generally

$$\phi(z) = Gzt \sum_i \gamma_i p_i$$

$\gamma Gzt$  is the spatially dependent phase induced by the gradient pulse

# CTP Selection with Gradients

## DQF-COSY



$$\phi_1 = B_{g1} p_1 \tau_1$$

$$\phi_2 = B_{g2} p_2 \tau_2$$

Net phase is  $\phi_1 + \phi_2$  and this should be zero for a selection of pathways  $p_1$  and  $p_2$ .

This refocusing condition means that among the components dephased with the first gradient, one particular component is rephased with the second gradient

The refocusing condition

$$\frac{B_{g1} \tau_1}{B_{g2} \tau_2} = -\frac{p_2}{p_1}$$

For example, in DQF-COSY, where  $p_1=2$  and  $p_2=-1$  either  $\tau_2=2\tau_1$  or  $B_{g2}=2B_{g1}$

# CTP Selection with Gradients

The refocusing condition, in general, is

$$\sum_i B_{gi} p_i \tau_i = 0$$

Gradient pulses select only the ratio of coherence orders

Phase cycle by  $r$  steps of  $360/r$  selects a particular change in the coherence order  $\Delta p = p_2 - p_1$ , and further pathways which have  $(p_2 - p_1) \pm Nr$  where  $N = 0, 1, 2, \dots$

Gradients can only select one pathway, there is hence a loss of signal as compared with phase cycling method of equal length



# Conclusion

- Phase cycling/Field gradients an integral part of any NMR experiment
- Nested, Cogwheel, Multiplex phase cycle schemes available in the literature
- Cogwheel gaining some prominence, especially in solid state and TROSY kind of experiments in solution-state NMR (*Zuckerstaetter and Mueller, Concepts in Magnetic Resonance, 81, 30, 2007*)

# **Relaxation in Nuclear Magnetic Resonance: Phenomenology**

# Relaxation References

A. Abragam: Principles of Nuclear Spin Magnetism

C. P. Slichter: Principles of Magnetic Resonance

J. Kowalewski and L. Mäler, Nuclear Spin Relaxation in Liquids: Theory, Experiments, and Applications

A. G. Redfield: Adv. Magn. Reson., 1, 1, 1961

L. Werbelow and D. M. Grant: Adv. Magn. Reson., 9, 189, 1977

N. Murali and V. V. Krishnan, Conc. Magn. Reson., 17, 86, 2003 (A primer in nuclear magnetic relaxation in liquids)

J. Jeener, Superoperators in magnetic resonance, Adv. Magn. Reson. 10, 1-50, 1982

# Phenomenological Approach to NMR Relaxation

- Some basic quantum mechanics
- Operators, observables, eigen functions, ....
- Density operator
- Bloch equation
  - Lab frame/Rotating frame/solutions
- CW/Pulsed NMR
- Basic ideas of longitudinal and transverse relaxation time
- Relaxation mechanisms

The basic formalisms of spin-lattice relaxation have been developed from 1948 to about 1960, essentially in the following references:

BLOEMBERGEN, PURCELL and POUND (BPP)[8],  
WANGSNESS and BLOCH[9] and BLOCH[10],  
SOLOMON[11],  
ABRAGAM (ref.[1], Chap. VIII),  
REDFIELD[12].

The formalisms that emerged from these developments are  
good,  
efficient,  
well understood (at least by their authors).

However, some of them contain minor blemishes in the form of lack of rigour of presentation, which may cause (and have caused) some perplexity among beginner students of NMR. It is the purpose of this lecture to present a formal derivation of relaxation theory that avoids these pitfalls as much as possible. We will, therefore, not analyse specific relaxation mechanisms, but we will only be concerned with the form of the relaxation equations and their justification.

One must also mention the numerous later developments of relaxation theory, which fall broadly into two categories, those that are pointless or of no practical incidence, and those that are completely wrong.

The derivation given below conforms closely to the formalism of Abragam (ref.[1], Chap. VIII). It is followed by a section where some of the approaches or variants that are erroneous or likely to be misused are listed and analysed.

*2.1. Derivation of the master equation.* – We consider a nuclear-spin system whose Hamiltonian consists of a main, time-independent Hamiltonian  $\mathcal{H}_0$ , plus a randomly varying term  $\mathcal{H}_1(t)$ , of vanishing average value, the so-called spin-lattice coupling:

$$(2.1) \quad \mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1(t).$$

We assume for the time being that  $\mathcal{H}_0$  has only discrete levels (*i.e.* it is a Zeeman or quadrupole interaction, or a combination of both).

The evolution of the density matrix  $\sigma$  of the spin system is described by the

Figure 1.2: Quote from Maurice Goldman

Copied from: Maurice Goldman "Introduction to Some Basic Aspects of NMR." in Proceedings of the International School of Physics "Enrico Fermi" Course CXXIII, Editor Bruno Maraviglia, pp. 1-68, North Holland, Amsterdam (1993).

# Relaxation: Nuclear Spin

- **Relaxation: Process by which magnetisation (phase coherence among spins) returns to its equilibrium value (given by the Boltzmann distribution)**
- **Two time constants in the case of NMR:**
  - **Restoration of longitudinal magnetisation,  $M_z = \gamma \hbar \overline{\langle I_z \rangle}$  : Characterised by a time constant,  $T_1$**
  - **Decay to zero of transverse magnetisation,  $M_{x,y} = \gamma \hbar \{ \overline{\langle I_x \rangle} + i \overline{\langle I_y \rangle} \}$ : Characterised by a time constant,  $T_2$**

# Relaxation: Nuclear Spin

- Relaxation driven by molecular motion
- Relaxation, in NMR, can be quite slow. Useful, as one can measure it!
- Relaxation can be used to probe molecular motions
- Nuclear Overhauser Effect, NOE, due to relaxation. This leads to estimate of distances and molecular structure

# The Bloch Equation for Spin-Half Nuclei

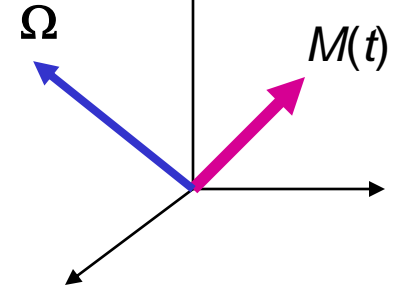
The Bloch vector:

$$\overline{\mathbf{M}}(t) = \begin{pmatrix} M_x(t) \\ M_y(t) \\ M_z(t) \end{pmatrix} = \gamma \begin{pmatrix} \langle I_x \rangle(t) \\ \langle I_y \rangle(t) \\ \langle I_z \rangle(t) \end{pmatrix}$$

$$\mathbf{M} = \sum_k \mu_k$$

Bloch vector rotation:

$$\frac{d}{dt} \overline{\mathbf{M}}(t) = \overline{\mathbf{M}}(t) \times \overline{\boldsymbol{\Omega}}$$



The Bloch equation:

$$\frac{d}{dt} \overline{\mathbf{M}}(t) = \overline{\mathbf{M}}(t) \times \overline{\boldsymbol{\Omega}} - \Gamma(\overline{\mathbf{M}}(t) - \overline{\mathbf{M}}_{eq})$$

$$\overline{\boldsymbol{\Omega}} = \begin{pmatrix} \omega_1 \cos \varphi \\ -\omega_1 \sin \varphi \\ \Delta\omega \end{pmatrix}$$

$$\Gamma = \begin{pmatrix} 1/T_2 & & \\ & 1/T_2 & \\ & & 1/T_1 \end{pmatrix}$$

$$\overline{\mathbf{M}}_{eq} = \begin{pmatrix} 0 \\ 0 \\ M_0 \end{pmatrix}$$



# The Bloch Equation for Spin-Half Nuclei

If all the magnetic moments are identical and if the magnetic field,  $B$ , is uniform:

$$\frac{d}{dt} \mathbf{M}(t) = \mathbf{M}(t) \times \boldsymbol{\Omega} = \gamma \left[ \sum_k \boldsymbol{\mu}_k \right] \times \mathbf{B} = \gamma \mathbf{M} \times \mathbf{B}$$

Once the spin system is perturbed:

$$\frac{d}{dt} \mathbf{M}(t) = \gamma \mathbf{M} \times \mathbf{B} - \frac{M_x - M_x^{eq}}{T_2} \hat{i} - \frac{M_y - M_y^{eq}}{T_2} \hat{j} - \frac{M_z - M_z^{eq}}{T_1} \hat{k}$$

$$\frac{d}{dt} \mathbf{M}(t) = \gamma \mathbf{M} \times \mathbf{B} - \frac{M_x}{T_2} \hat{i} - \frac{M_y}{T_2} \hat{j} - \frac{M_z - M_0}{T_1} \hat{k}$$

# The Bloch Equation for Spin-Half Nuclei

$$\frac{d}{dt} \mathbf{M}(t) = \gamma \mathbf{M} \times \mathbf{B} - \frac{M_x}{T_2} \hat{i} - \frac{M_y}{T_2} \hat{j} - \frac{M_z - M_0}{T_1} \hat{k}$$

Bloch equations in the laboratory frame:

$$\frac{d}{dt} \begin{pmatrix} M_x \\ M_y \\ M_z \end{pmatrix} = \begin{pmatrix} \frac{1}{T_2} & \gamma B & 0 \\ -\gamma B & \frac{1}{T_2} & 0 \\ 0 & 0 & \frac{1}{T_1} \end{pmatrix} \begin{pmatrix} M_x \\ M_y \\ M_z - M_0 \end{pmatrix}$$

Bloch equations solutions in the laboratory frame:

$$\begin{aligned} M_z(t) &= M_0 + (M_z(0) - M_0) e^{-\frac{t}{T_1}} \\ &= M_0 (1 - e^{-\frac{t}{T_1}}) \quad ; M_z(0) = 0 \end{aligned}$$

# Solutions of the Bloch Equations for Spin-Half Nuclei

The longitudinal magnetisation:

$$M_z(t) = M_0 + (M_z(0) - M_0)e^{-\frac{t}{T_1}}$$
$$= M_0(1 - e^{-\frac{t}{T_1}}) \quad ; M_z(0) = 0$$

The transverse magnetisation:

$$M_{\pm}(t) = M_{\pm}(0) \exp(-\frac{t}{T_2} \mp i\gamma B t)$$
$$M_x(t) = e^{-\frac{t}{T_2}} [M_x(0) \cos \gamma B t + M_y(0) \sin \gamma B t]$$
$$M_y(t) = e^{-\frac{t}{T_2}} [-M_x(0) \sin \gamma B t + M_y(0) \cos \gamma B t]$$

The transverse magnetisation with:

$$M_x(0) = M_y(0) = M_0$$

$$M_x(t) = M_0 e^{-\frac{t}{T_2}} \cos \gamma B t$$
$$M_y(t) = -M_0 e^{-\frac{t}{T_2}} \sin \gamma B t$$

# Relaxation in the Presence of a Time-Varying RF Field

Consider an RF with angular frequency,  $\omega$ , perpendicular to  $B_0$  and with constant magnitude.  $\phi$  is its phase with respect to the lab frame  $x$  and  $y$  axes.

$$B_1(t) = B_1[\cos(\omega t + \phi)\hat{i} + \sin(\omega t + \phi)\hat{j}]$$

Assume  $\phi=0$  at  $t=0$

$$B_z = B_0$$

$$B_x = B_1 \cos \omega t$$

$$B_y = -B_1 \sin \omega t$$

This corresponds to a field perpendicular to  $B_0$  and rotating about the  $z$ -axis in a clockwise direction.

## Bloch Equation with RF Field

$$\frac{d}{dt} \mathbf{M}(t) = \gamma \mathbf{M} \times \mathbf{B} - \frac{M_x - M_x^{eq}}{T_2} \hat{i} - \frac{M_y - M_y^{eq}}{T_2} \hat{j} - \frac{M_z - M_z^{eq}}{T_1} \hat{k}$$

$$\frac{d}{dt} M_x - \gamma B_0 M_y - \gamma B_1 M_z \sin \omega t + \frac{M_x}{T_2} = 0$$

$$\frac{d}{dt} M_y + \gamma B_0 M_x - \gamma B_1 M_z \cos \omega t + \frac{M_y}{T_2} = 0$$

$$\frac{d}{dt} M_z + \gamma B_1 M_x \sin \omega t + \gamma B_1 M_y \cos \omega t - \frac{M_z - M_0}{T_1} = 0$$

# Bloch Equations with RF Field

Transforming these equations into a rotating frame at an angular frequency,  $\omega$ , about the  $z$ -axis, and defining:

$$\alpha = \frac{1}{T_1}; \beta = \frac{1}{T_2}; \delta = \gamma B_0 - \omega, \omega_1 = \gamma B_1$$

$$\frac{du}{dt} + \beta u + \delta v = 0$$

$$\frac{dv}{dt} + \beta u - \delta v + \omega_1 M_z = 0$$

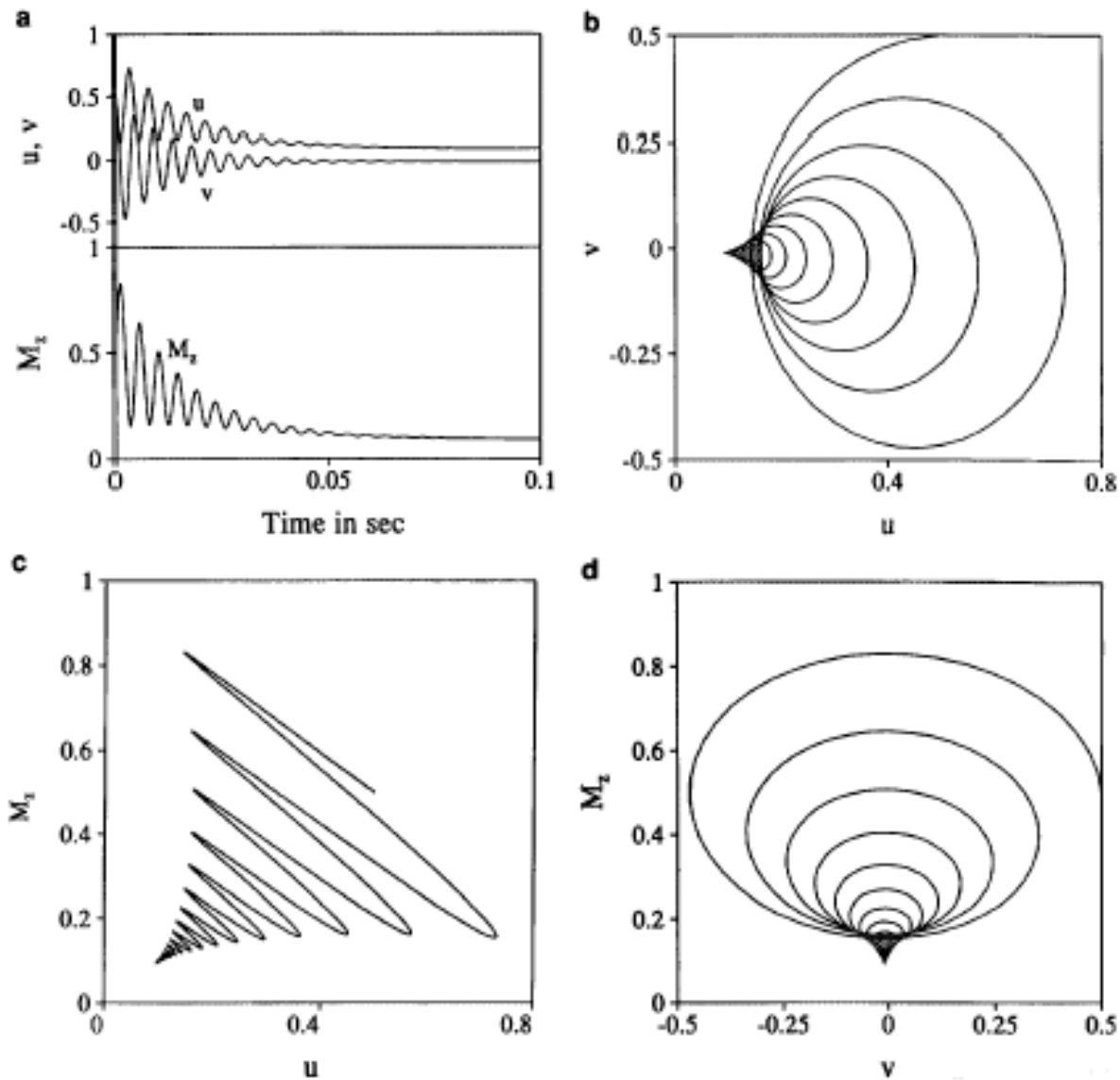
$$\frac{dM_z}{dt} + \alpha M_z - \omega_1 v = \alpha M_0$$

Here, the  $x$  and  $y$  components of  $M$  in the lab frame are related to the  $u$  and  $v$  components in the rotating frame as:

$$M_x = u \cos \omega t - v \sin \omega t$$

$$M_y = -(v \cos \omega t + u \sin \omega t)$$

# Magnetisation Trajectories



# Bloch Equations: Solution Under Steady State and CW NMR

$$u^\infty = \tilde{M}_x = \frac{\gamma B_1 \delta T_2^2}{1 + (\delta T_2)^2 + (\gamma B_1)^2 T_1 T_2} M_0$$

$$v^\infty = \tilde{M}_y = \frac{-\gamma B_1 \delta T_2}{1 + (\delta T_2)^2 + (\gamma B_1)^2 T_1 T_2} M_0$$

$$M_z^\infty = \tilde{M}_z = \frac{1 + (\delta T_2)^2}{1 + (\delta T_2)^2 + (\gamma B_1)^2 T_1 T_2} M_0$$

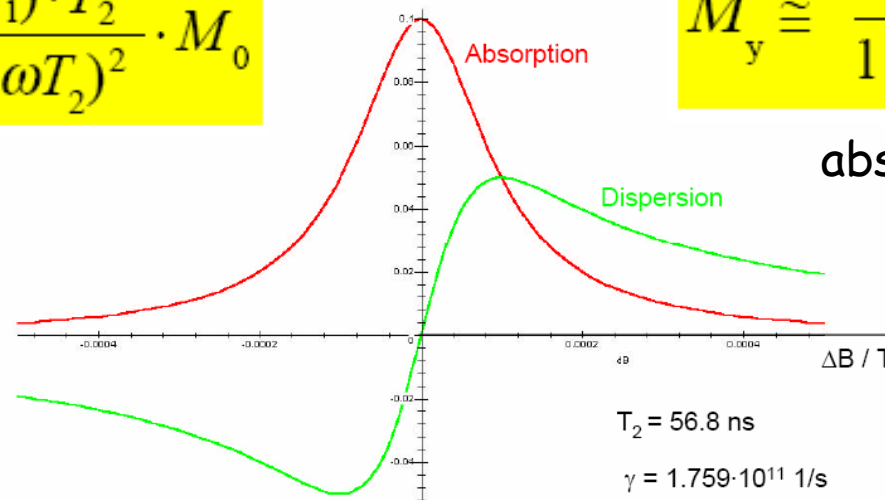
Saturation term

$$\tilde{M}_x \cong \frac{\Delta\omega \cdot (\gamma B_1) \cdot T_2^2}{1 + (\Delta\omega T_2)^2} \cdot M_0$$

dispersion

$$\tilde{M}_y \cong \frac{(\gamma B_1) \cdot T_2}{1 + (\Delta\omega T_2)^2} \cdot M_0$$

absorption



Calculated with: bloch\_nosat.mws

$T_2 = 56.8 \text{ ns}$

$\gamma = 1.759 \cdot 10^{11} \text{ 1/s}$

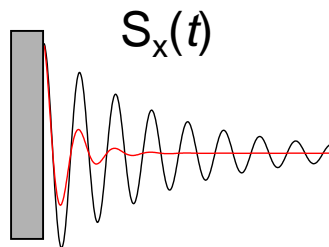
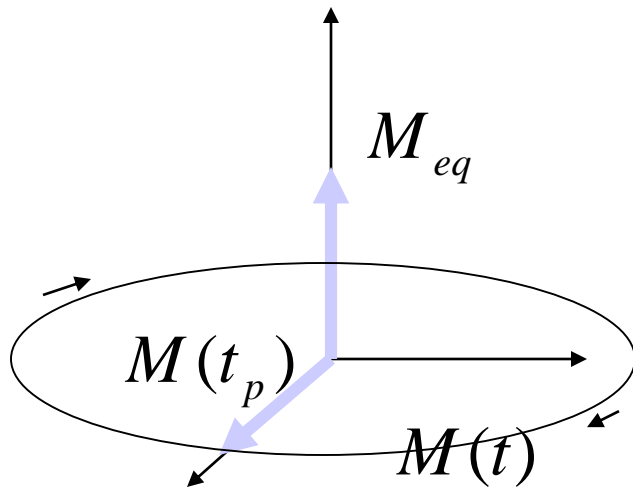
$B_1 = 1 \cdot 10^{-5} \text{ T}$



## Modern NMR

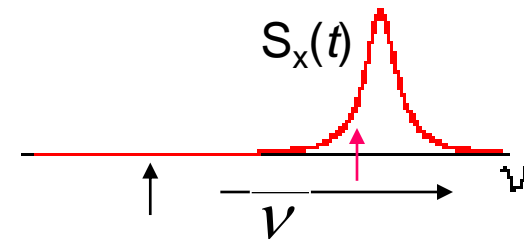
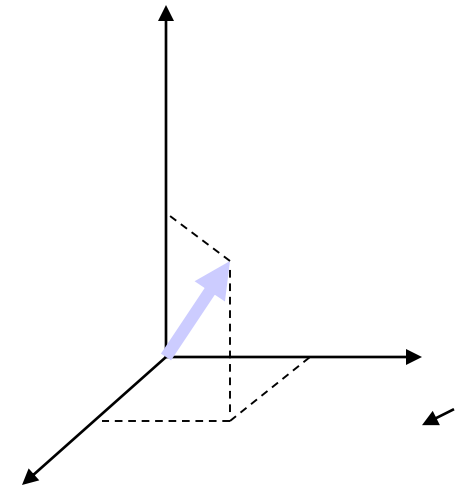
After short RF pulses

Free induction Decay signals are detected



## “Earlier” NMR

Steady state CW signals are measured during (weak) RF irradiation



In MR we measure both transverse magnetization components simultaneously

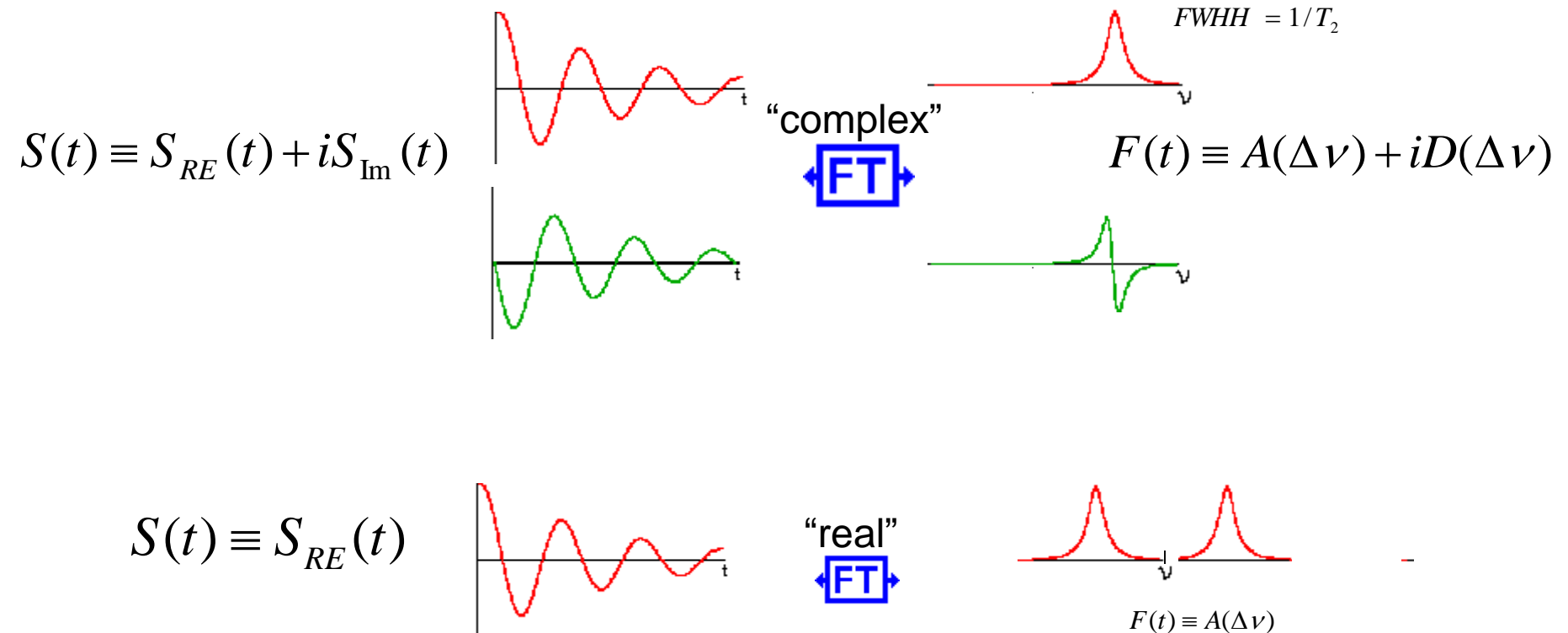
# Pulsed NMR

After a  $90^\circ$  pulse:

$$s_x = \langle \mathcal{H}_x \rangle(t) = M_0 \cos \Delta\omega t \times e^{-t/T_2}$$

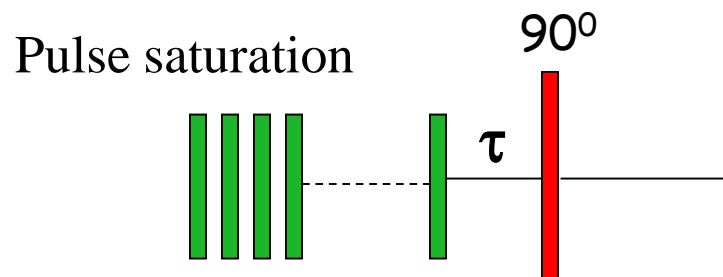
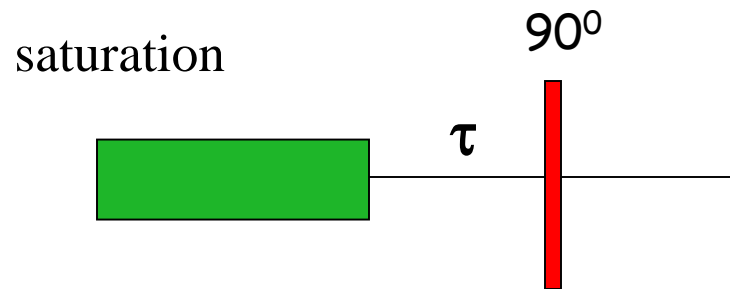
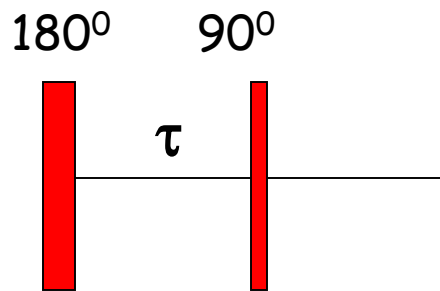
$$s_y = \langle \mathcal{H}_y \rangle(t) = M_0 \sin \Delta\omega t \times e^{-t/T_2}$$

Fast Fourier Transform

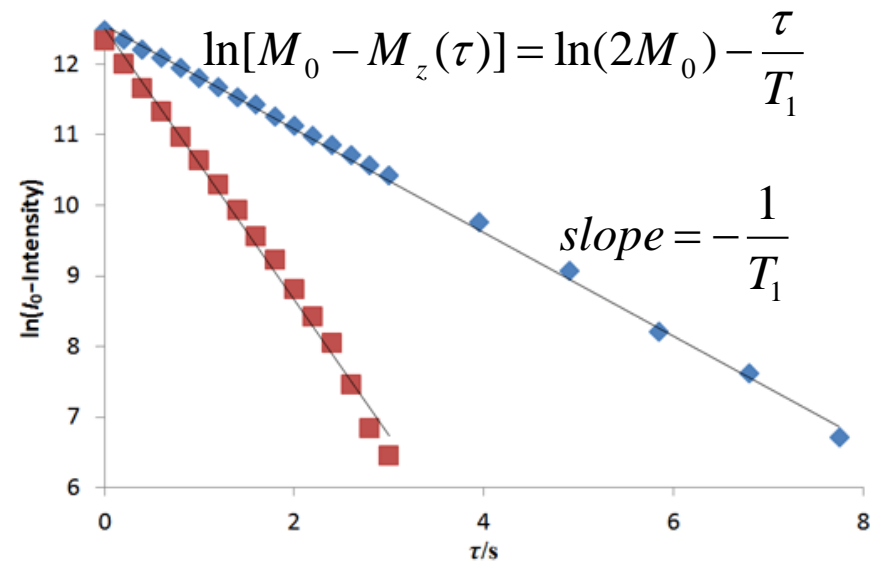
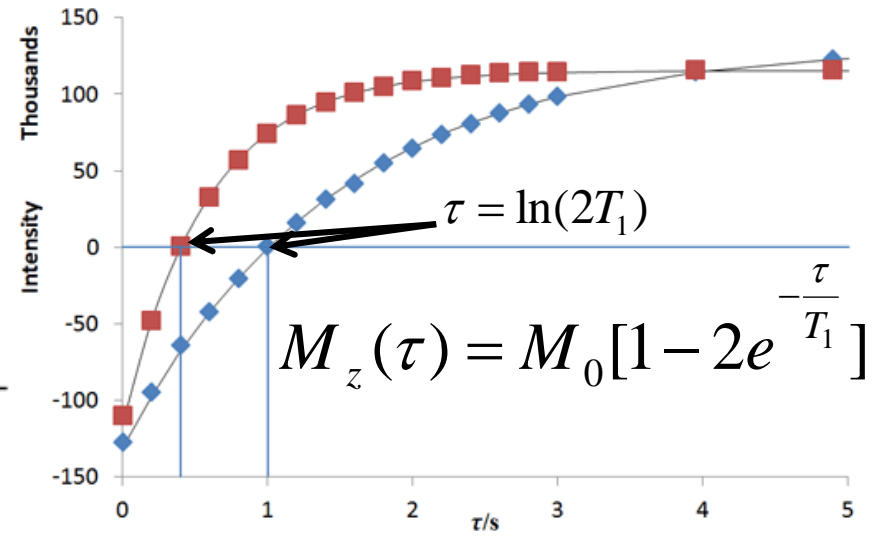
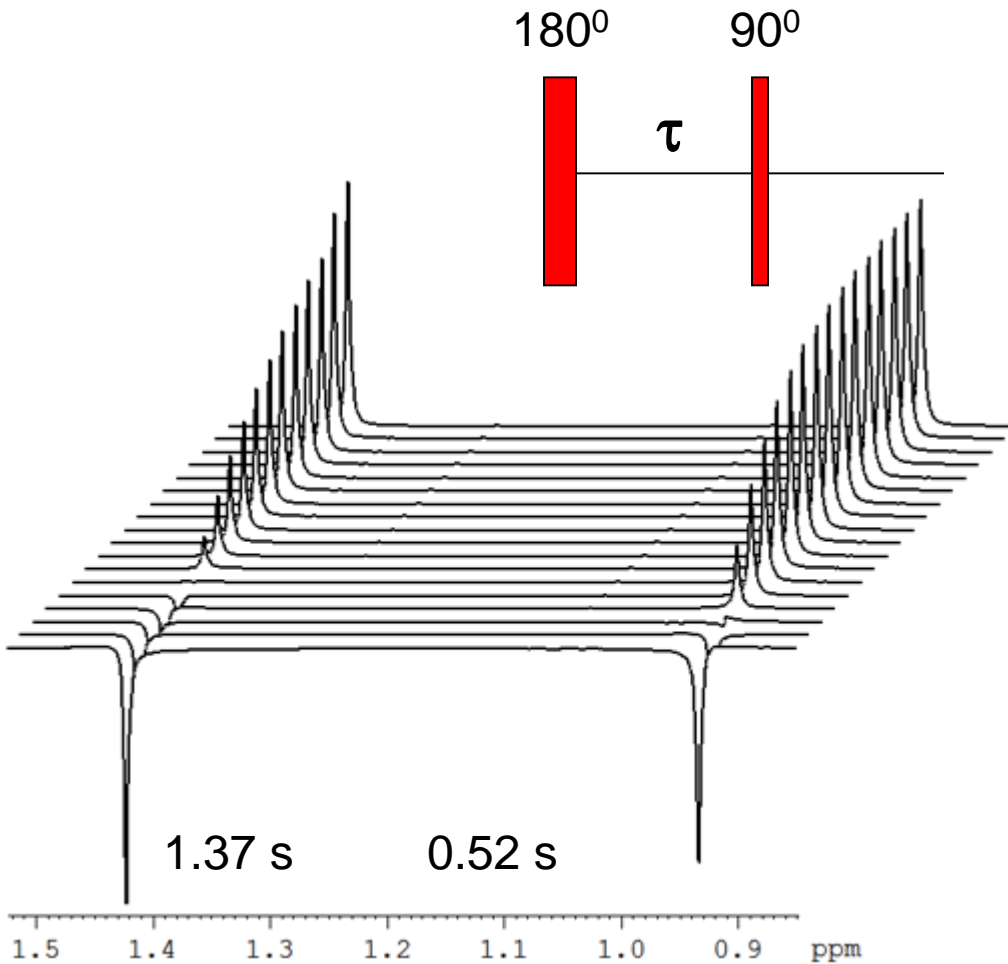


# Simple $T_1$ Experiments

Inversion recovery



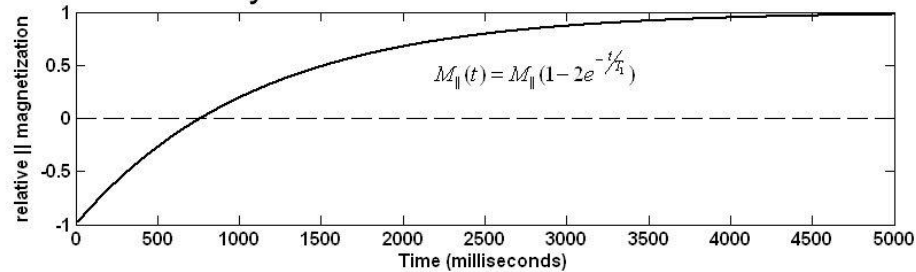
# Inversion Recovery



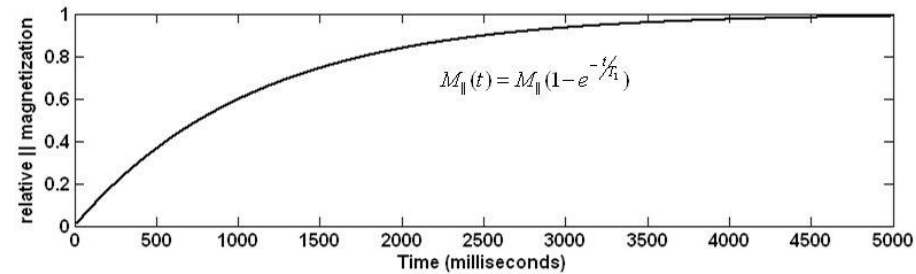
# Saturation Recovery

## Exponential recovery

### Inversion recovery



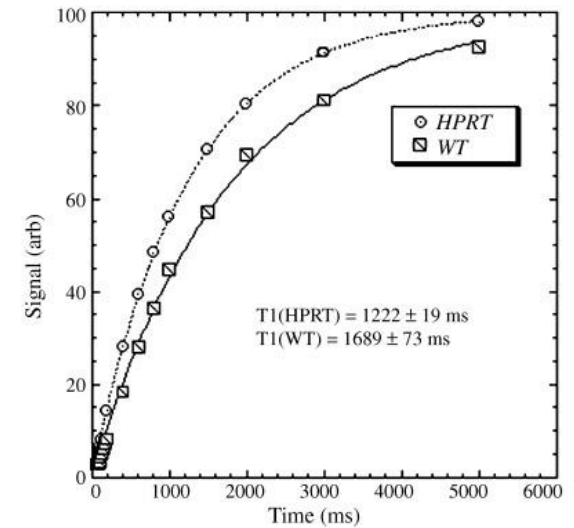
### Saturation recovery



Psy 8960, Fall '06

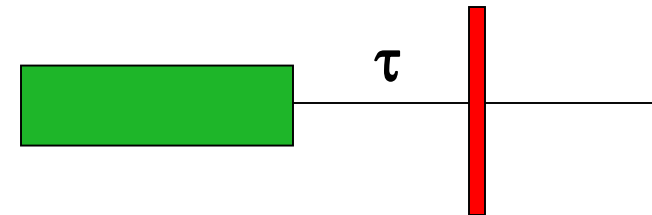
Relaxation

4



saturation

90°

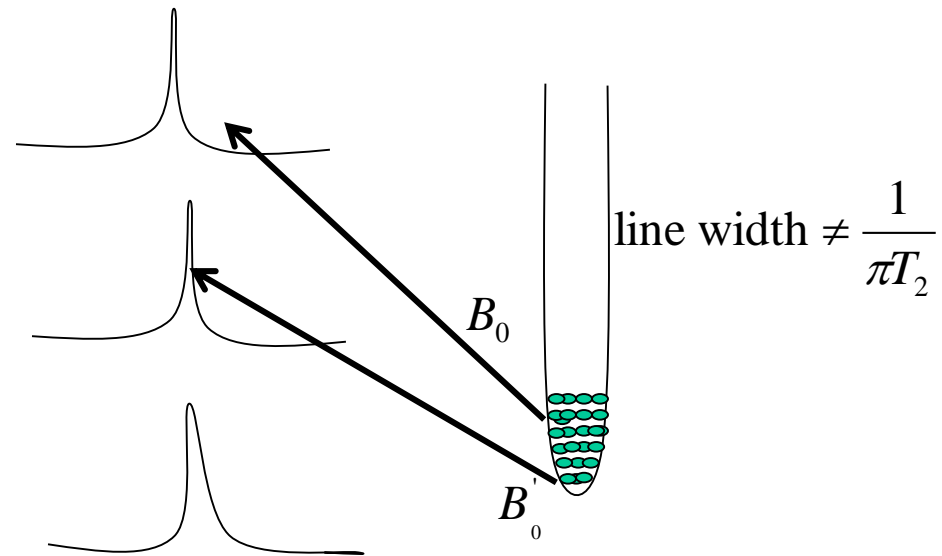


# Saturation Recovery

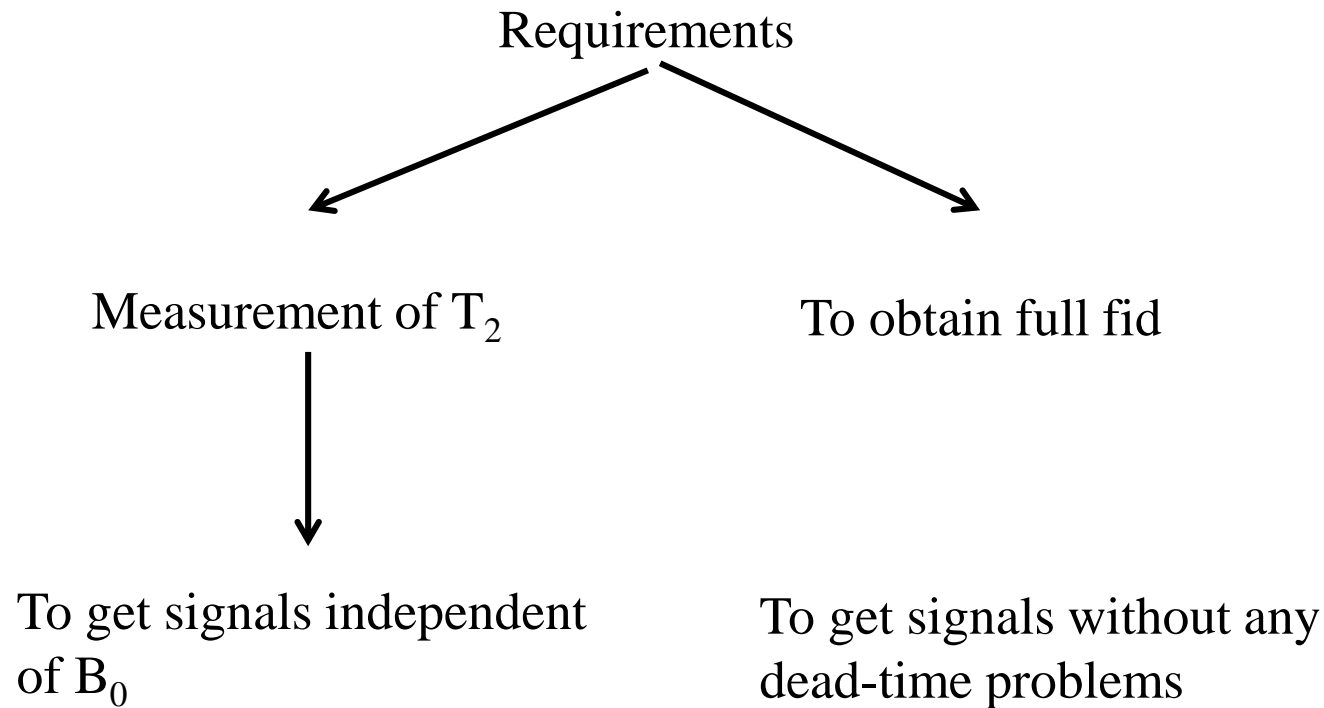
- SR is less sensitive than IR
- It is not necessary to wait for a long time ( $10 T_1$ ) as in the case of IR (magnetisation should get back to equilibrium before repetition) and a priori unknown time between scans
- SR is a quick method to measure  $T_1$  than IR
- Useful in cases where the lineshapes are very broad, like in the case of quadrupolar nuclear spins or if the signal cannot be inverted

# $T_2$ - Measurements

- Homogeneous broadening due to fluctuating microscopic magnetic fields: This is quantified in  $T_2$
- Inhomogeneous broadening: Due to the variation of the macroscopic magnetic field over the volume of the sample due to instrumental imperfections or susceptibility effects
- The observed transverse relaxation time,  $T_2'$  is due to both these effects
- Can the inhomogeneous effects/decay be distinguished from homogeneous decay for an accurate measurement of  $T_2$
- Other effects to be removed are the dead-time delay, how can we get back the signal with initial point in tact so that phase distortions are absent



# $T_2$ - Measurements

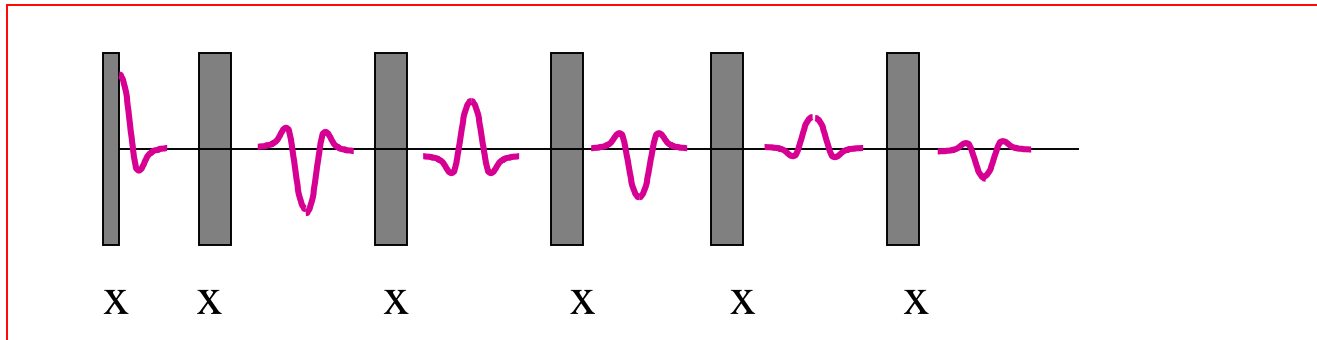


Spin-echo schemes

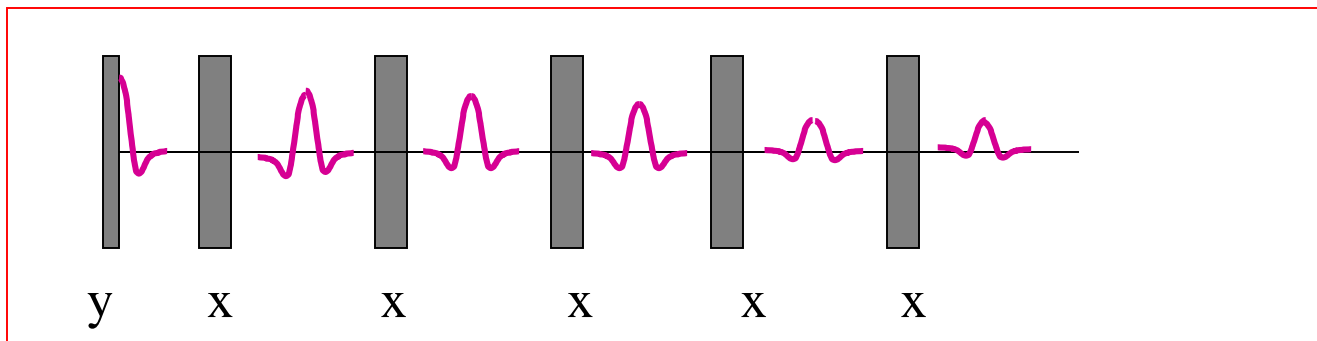


# Measuring Spin-Spin Relaxation Times

## Carr - Purcell

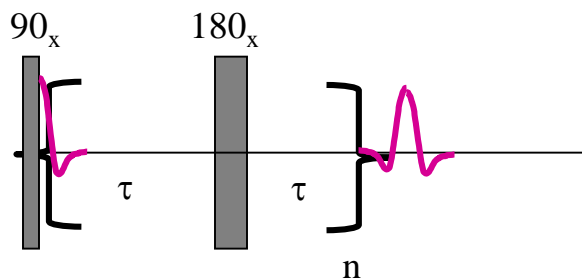


## Carr - Purcell – Meiboom - Gill

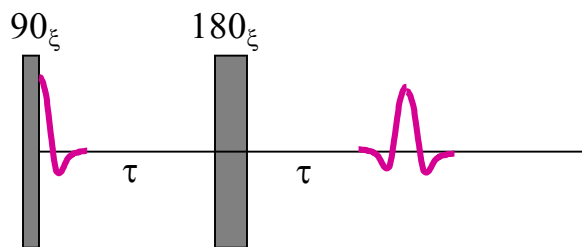


Finite pulse compensation (even echoes will be free of pulse errors)

# $T_2$ - Measurements



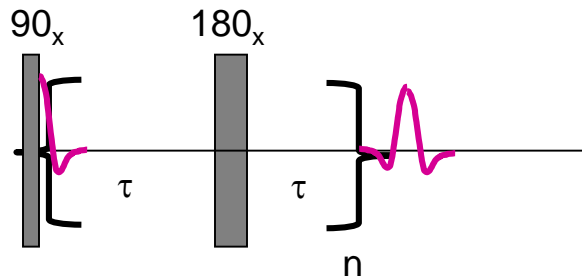
Keep incrementing  $n$



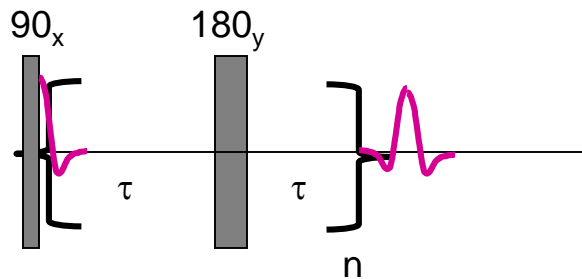
Keep incrementing  $\tau$   
Like inversion recovery  
(logical thing to do?)

In the method two, formation of the echoes depends on the isochromats (spin vectors) experiencing exactly the same field through out the duration of the pulse sequence. If any particular spin diffuses into a neighbourhood region during the sequence, it will experience a slightly different magnetic field from that where it began, and thus will not be fully refocussed. As  $\tau$  increases, such diffusion losses become more severe and the relaxation data more unreliable. (However, this is a way to measure molecular diffusion in liquid-state NMR.)

# $T_2$ – Measurements: CPMG Scheme

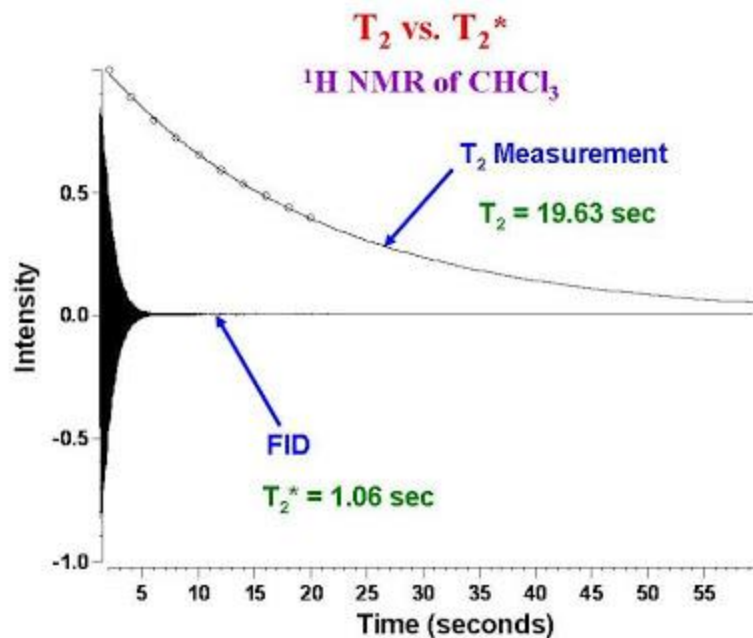
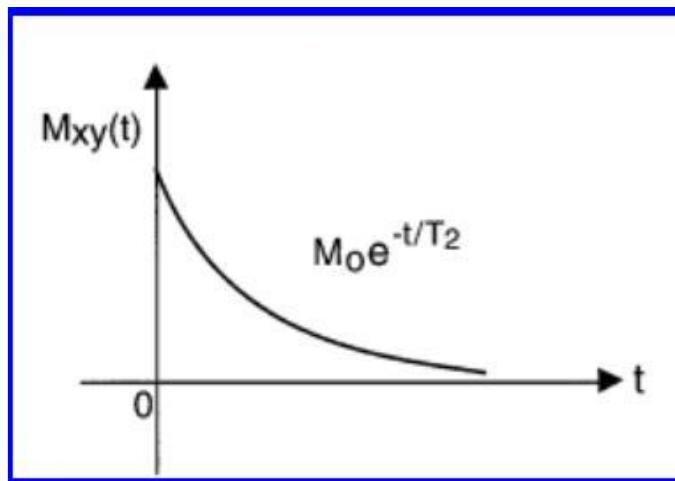


Keep incrementing  $n$   
CP *scheme*



Keep incrementing  $n$   
Free of pulse imperfections  
CPMG *scheme*

# $T_2$ and $T_2'$



Even in a well-shimmed magnet, the actual  $T_2$  of the example here is 19 times than the observed value. The observed value corresponds to 0.33 Hz as FWHH.

# Relaxation Time Constants

The longitudinal and transverse relaxation mechanisms are independent of each other.

The restraints on  $T_1$  and  $T_2$  require that the magnetisation vector can never exceed the thermal equilibrium value by pulses or by relaxation processes.

This means that the norm is always limited by

$$\sqrt{M_x^2 + M_y^2 + M_z^2} \leq M_0$$

A common restraint is

$$T_1 > T_2$$

But mathematically it turns out that

$$T_1 \geq T_2 / 2$$



# Relaxation in Nuclear Magnetic Resonance: Transition Rate Theory

# Relaxation Time Constants

*Concepts in Magnetic Resonance*, 1991, 3, 171-177

## Relaxation

### Can $T_2$ Be Longer Than $T_1$ ?

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Received May 29, 1991

The basic equations describing the decay of the magnetization vector in the transverse plane and its growth along the longitudinal axis, after a pulse, are briefly examined for very simple systems that obey the extreme narrowing condition, and relax in the absence of radiation damping. It is shown that in these cases, the vector does not simply tip backwards with a constant magnitude, retracing the path it followed during the pulse. It is mathematically proven that if  $T_2$  is equal to or less than twice  $T_1$ , then immediately after a pulse, the vector first shrinks and then grows back to its initial magnitude while it tips back toward the longitudinal axis, instead of simply retracing its path. It is also shown that if  $T_2$  is greater than this threshold value, then at some point during the relaxation, the magnitude of the resultant will exceed its starting value, a situation apparently not consistent with our present understanding of the laws of physics.

# NMR Relaxation: Towards Transition Rate Theory

- **Relaxation mechanisms**
- Correlation times, functions
- **Spectral densities**
- Transition probabilities, W's
- **Relaxation in a two coupled spin-1/2 system**
- Dipolar relaxation
- **Solomon equations**
- Nuclear Overhauser effect



# Relaxation: Some Intuitive Arguments

- A spin in an external magnetic field undergoes Larmor precession
- Relaxation revolves around phase (de)coherence among groups of spins
- Relaxation is brought about by fluctuating magnetic fields around the nuclear spin sites
  - Only magnetic fields can do this as only they can interact with the magnetic moment of a spin  $\frac{1}{2}$  nuclei. Nuclei with spins greater than  $\frac{1}{2}$ , quadrupolar nuclei, can also interact with electric-field gradients. This is a much stronger interaction.
- A nuclear spin can change its magnetic moment associated with any change in the surrounding magnetic fields, either in magnitude and/or direction
- NMR relaxation is mainly brought out by spatial and temporal fluctuations/variation in the surrounding/local magnetic fields at the respective nuclear spin sites

# Relaxation Sources

Where do the fluctuations come from?

- Random events:
  - Rotational diffusion
  - Translational diffusion
  - Vibrational/librational motions
  - Conformational sampling/variability
- Non-random events:
  - Magic-angle spinning
  - $B_0$  quenching